

FIG. 1

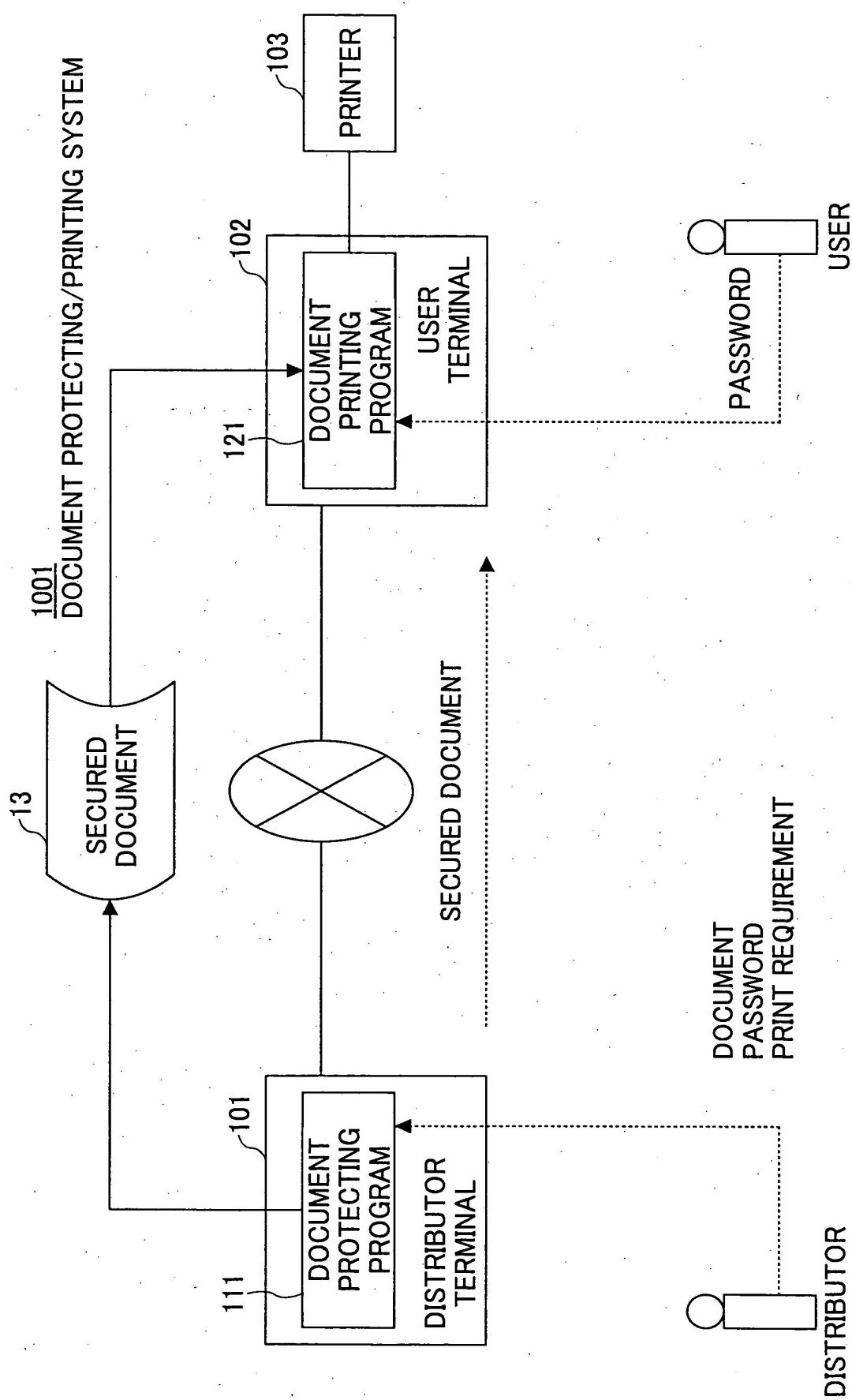


FIG.2

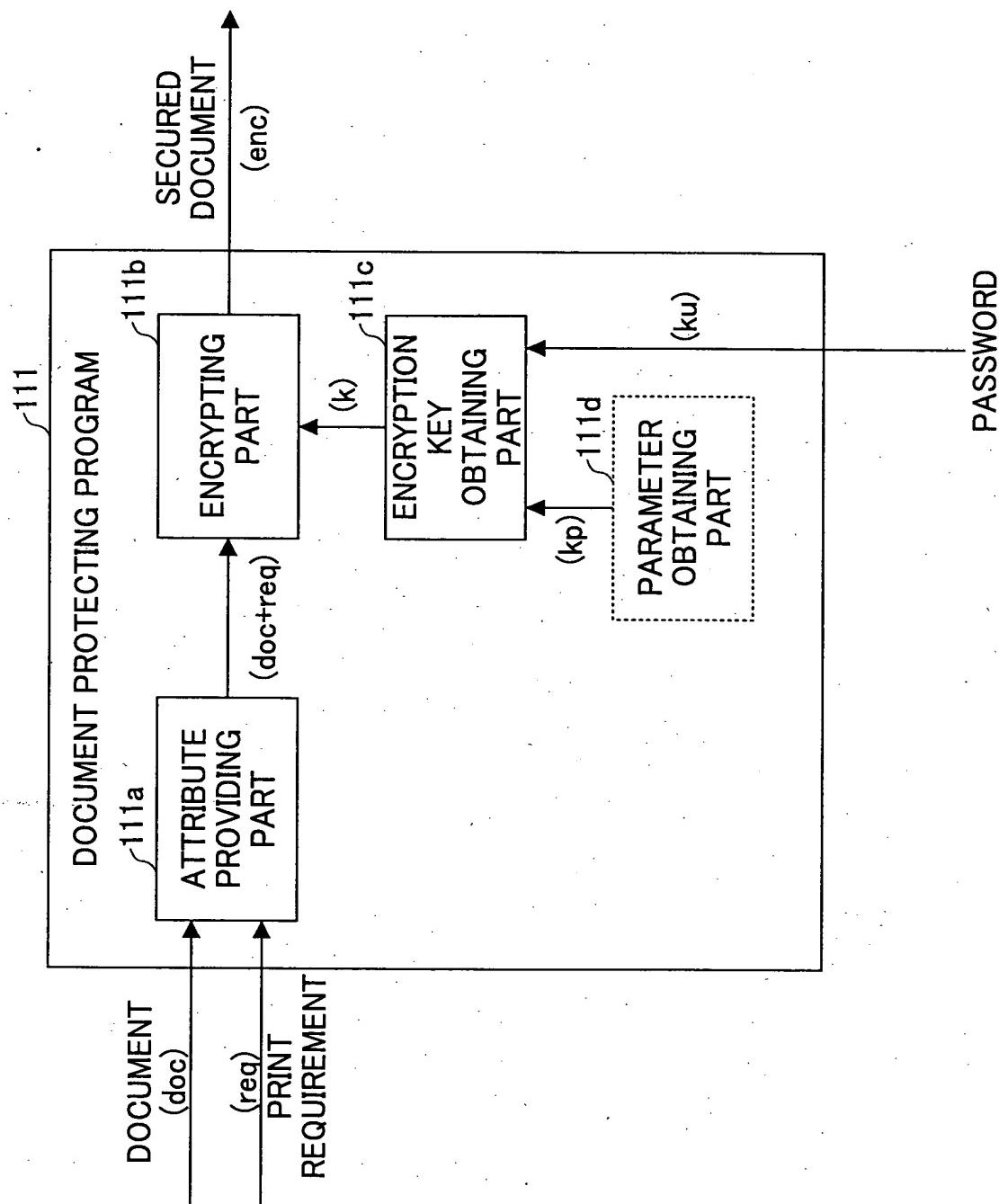


FIG.3

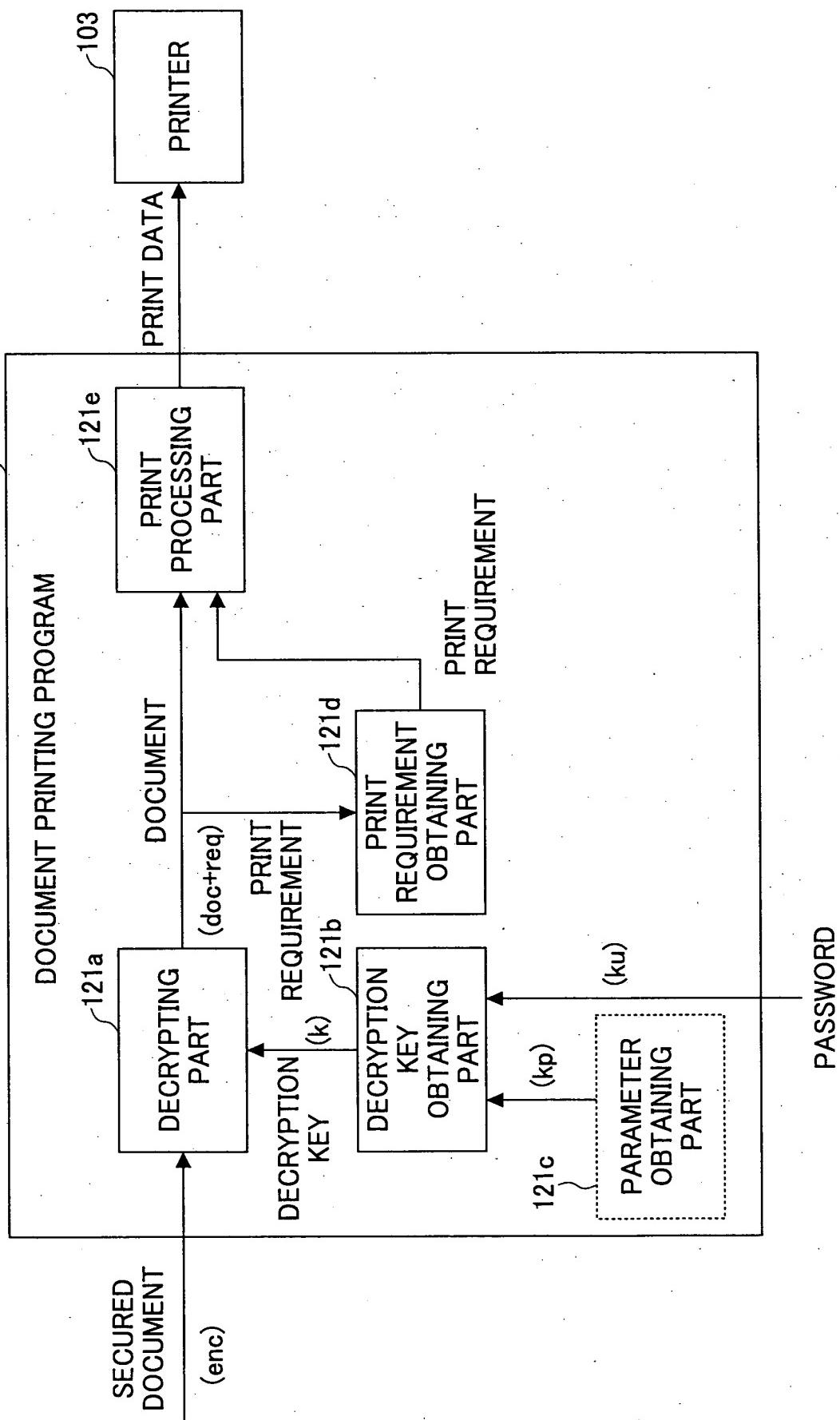
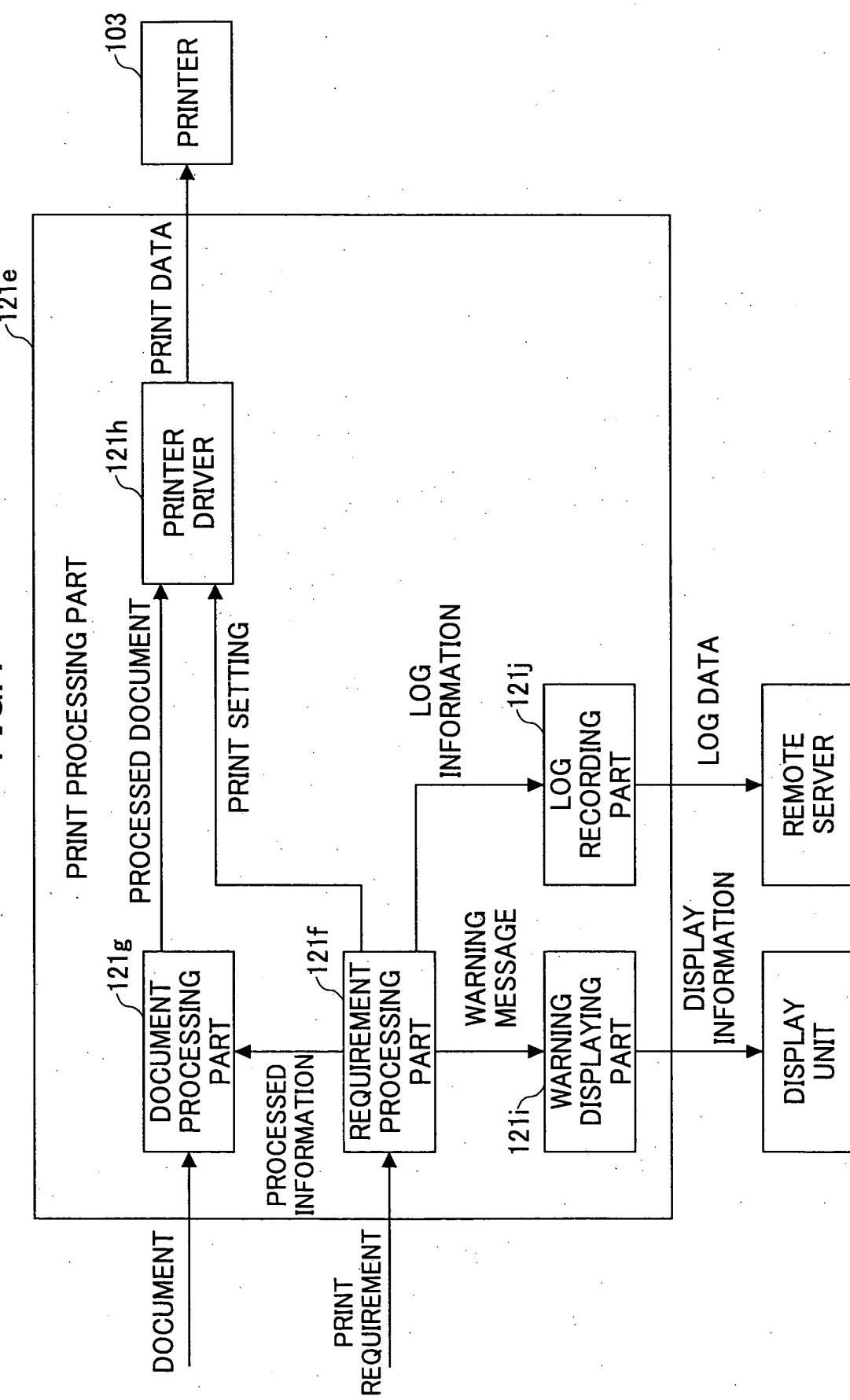


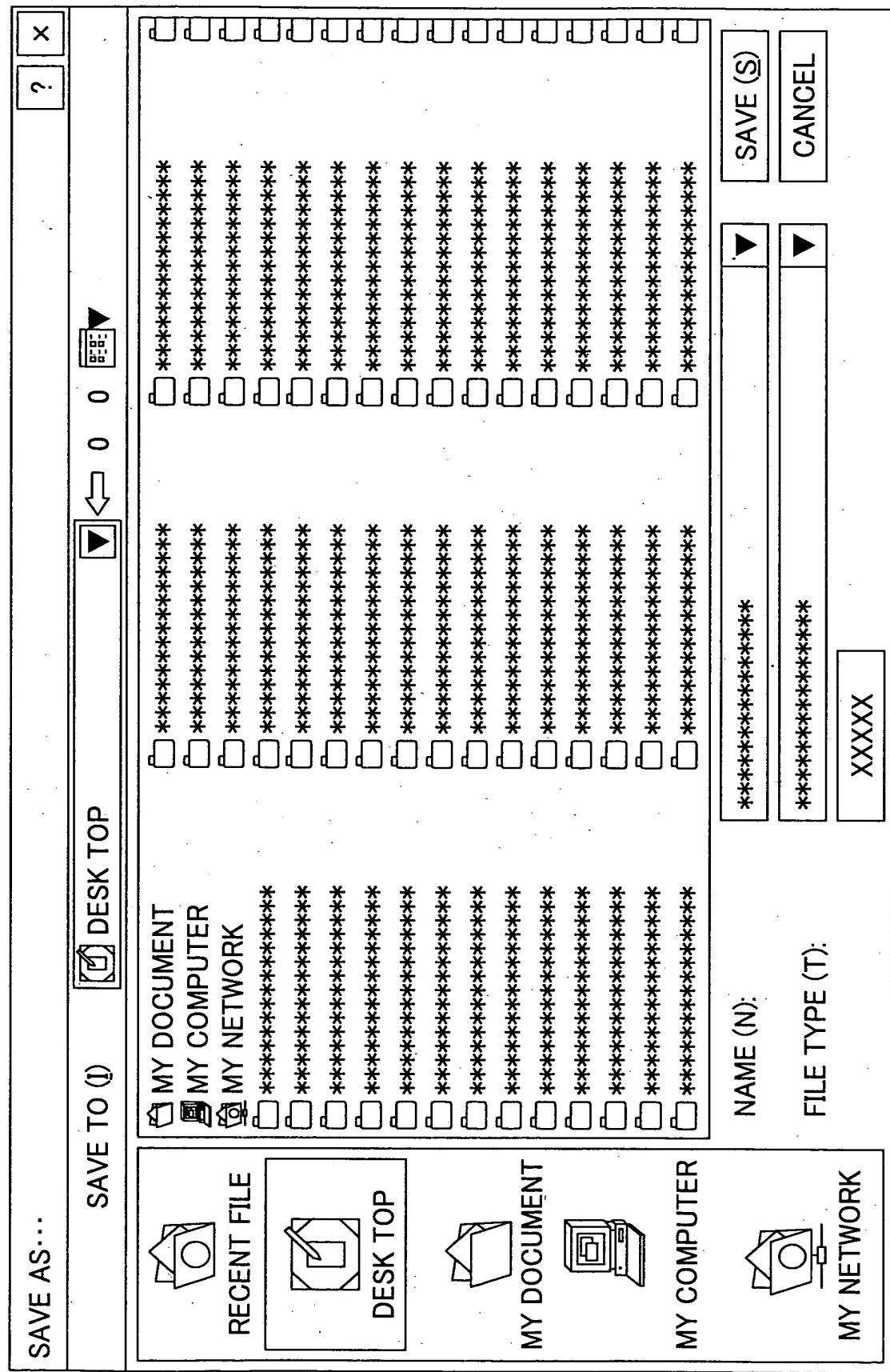
FIG.4



## FIG.5

PASSWORD:	*****
PRINT SECURITY:	
ALLOW TO PRINT	<input checked="" type="checkbox"/>
UNDER	
PRIVATE ACCESS	<input type="checkbox"/>
SECURITY LABEL	<input type="checkbox"/>
STAMP	
DIGITAL	
WATERMARK	<input checked="" type="checkbox"/> CONFIDENTIAL
BACKGROUND	<input type="checkbox"/>
DOT PATTERN	
FILE:	C: ¥My Documents¥sample.doc
	REFER
	ENCRYPT

FIG.6



# FIG.7

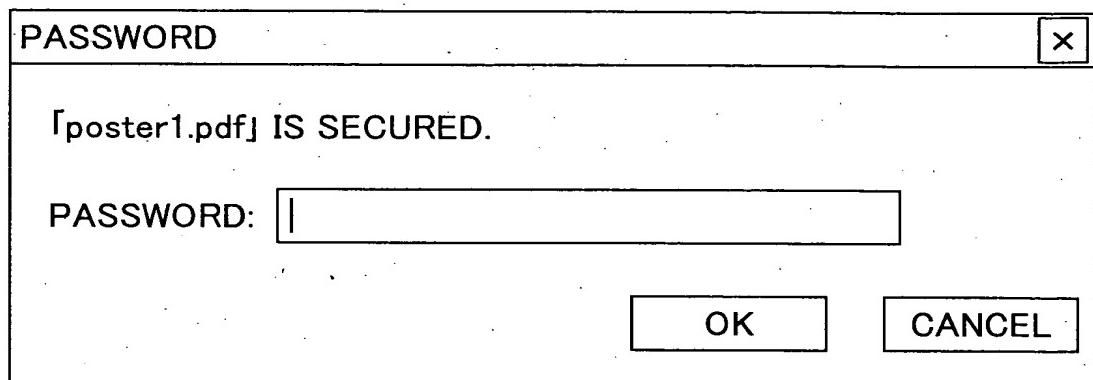


FIG.8

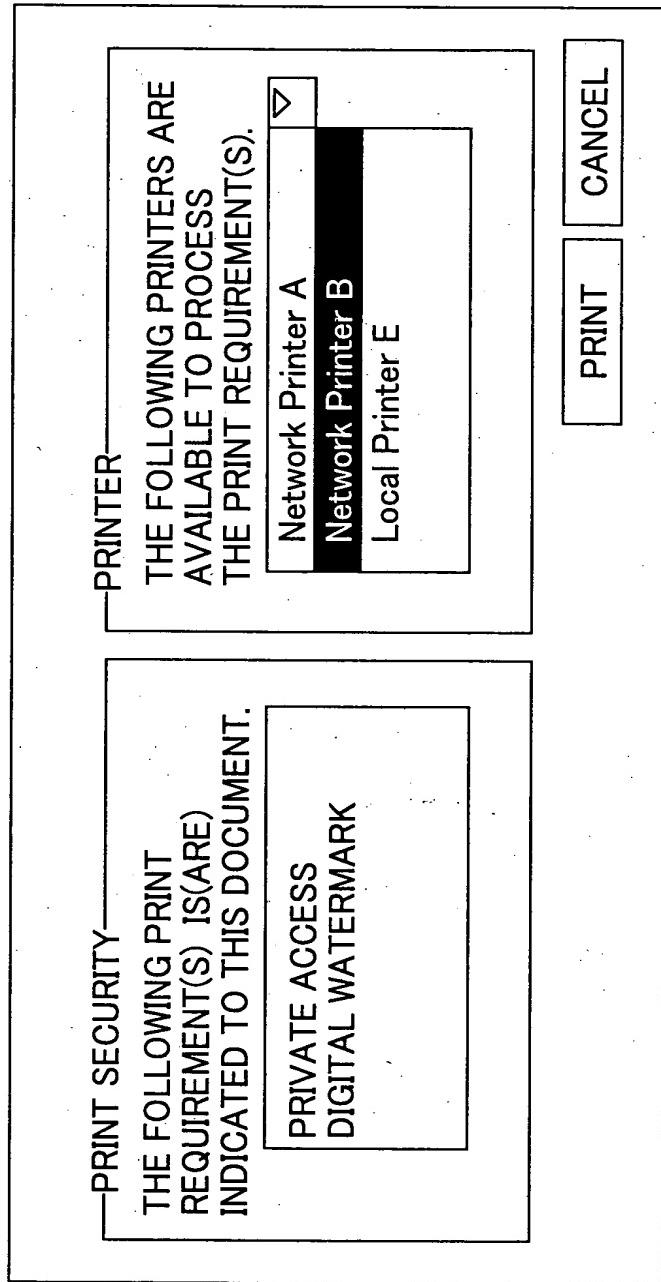


FIG.9

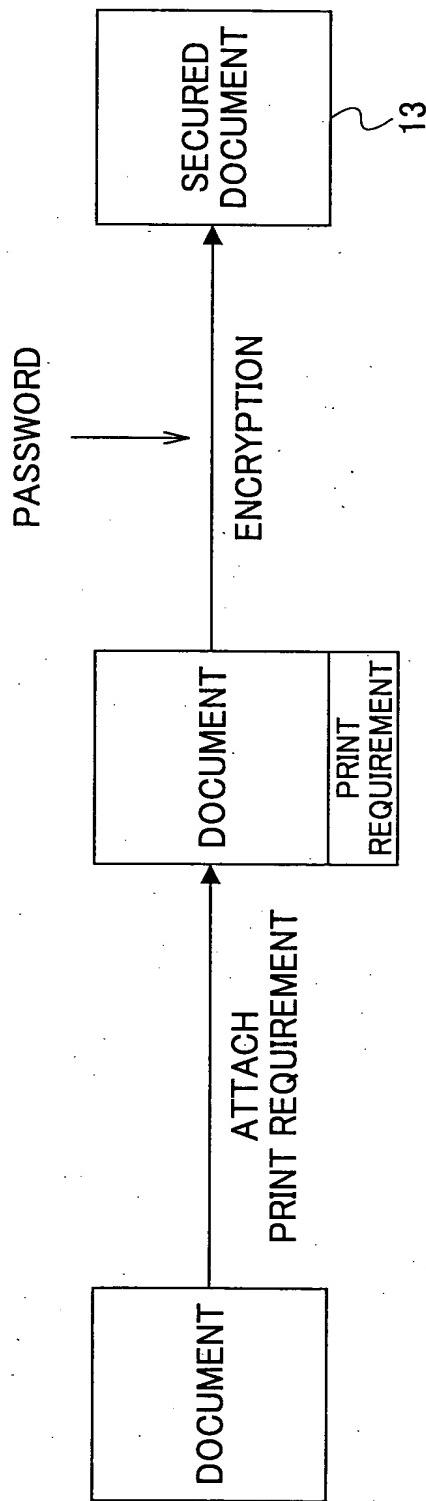


FIG.10

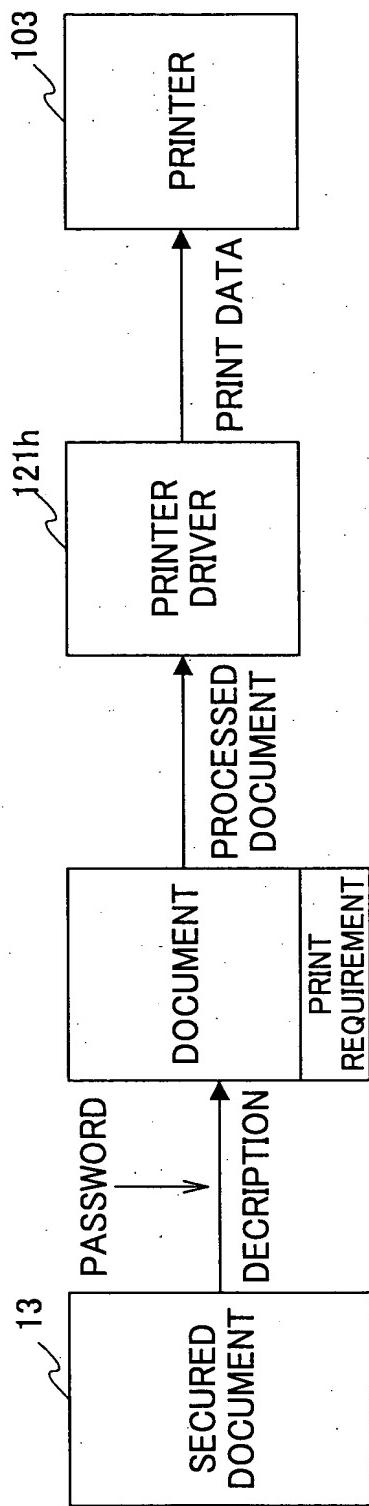


FIG. 11

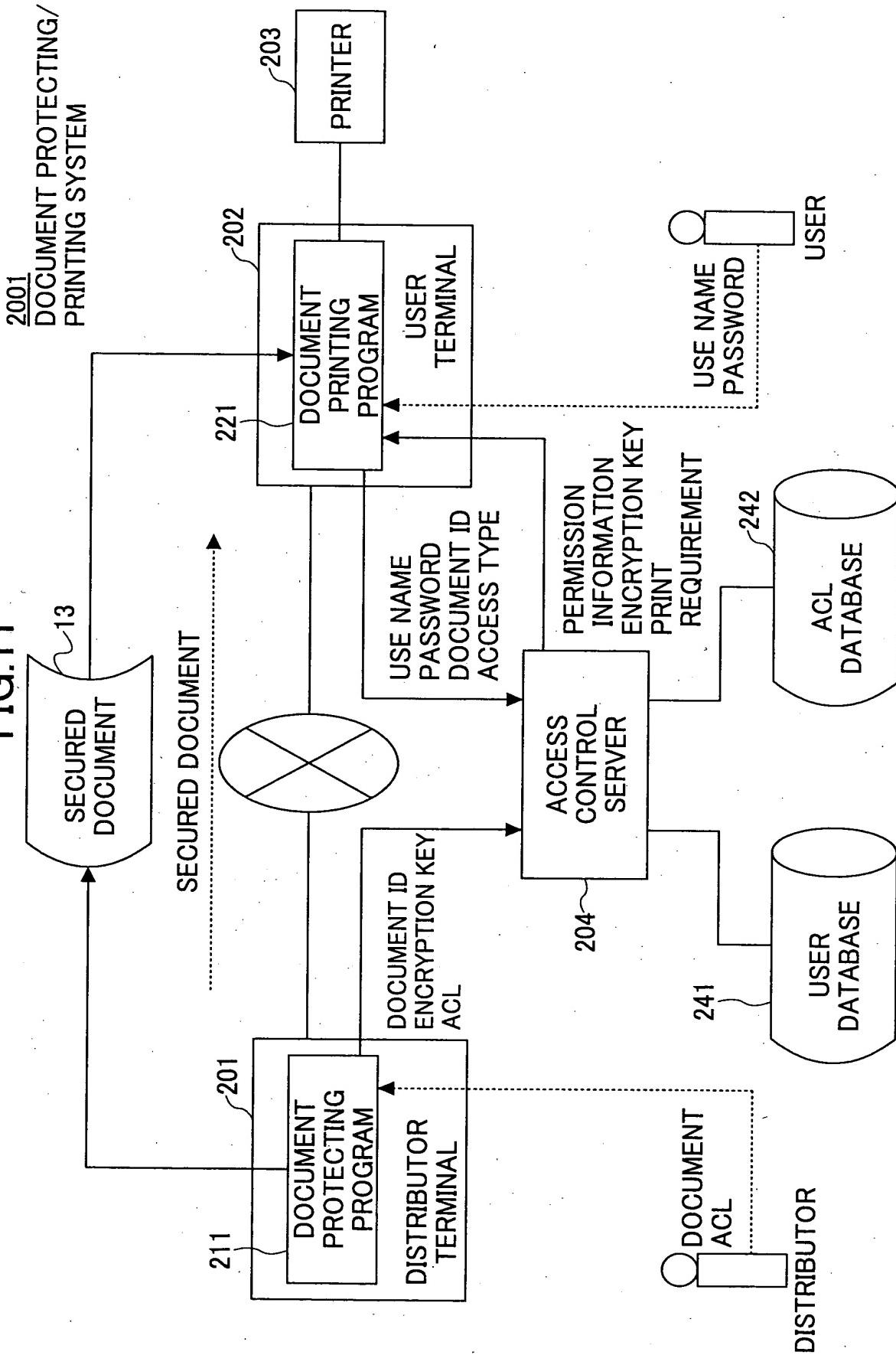


FIG.12

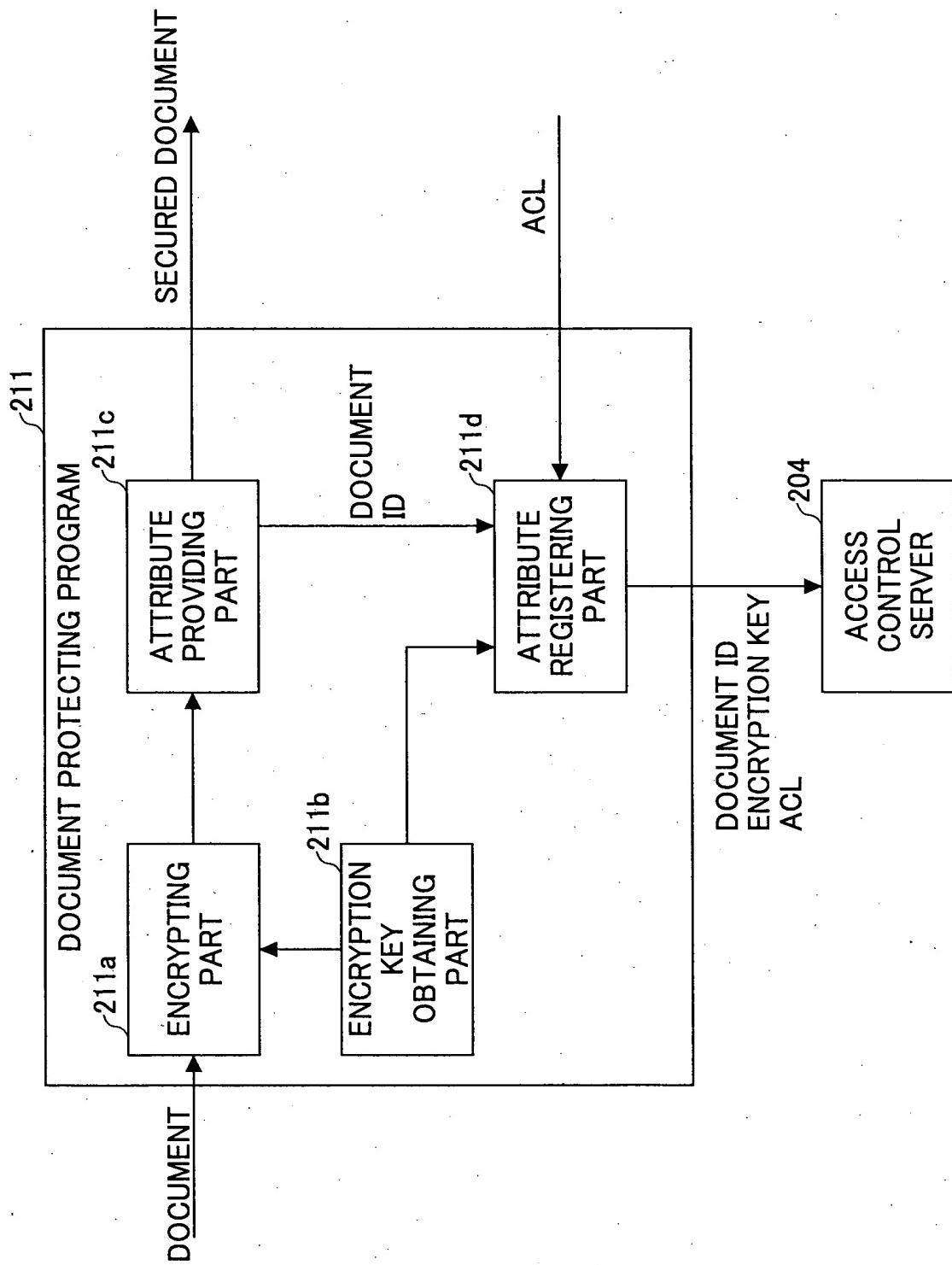


FIG. 13

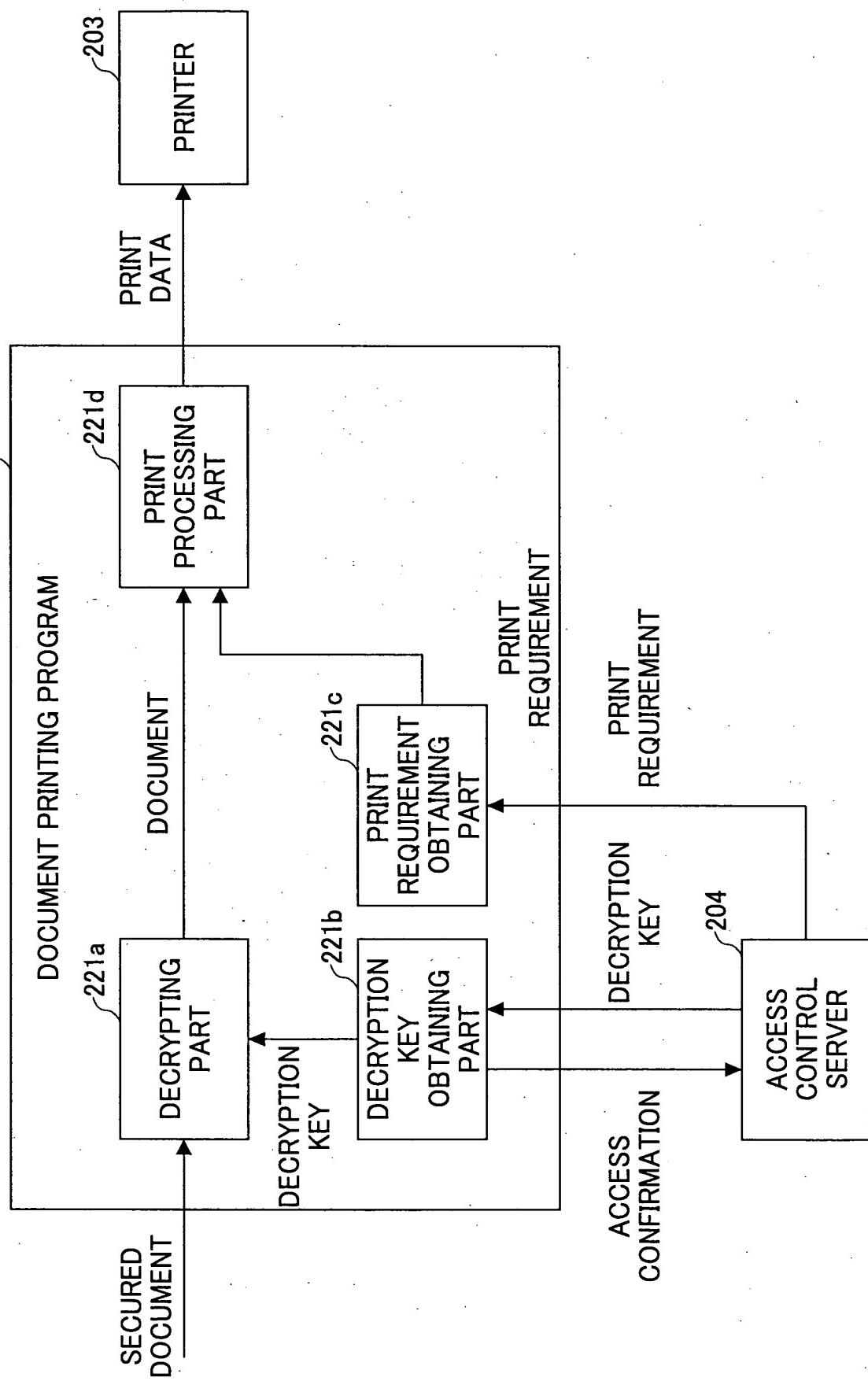


FIG. 14

221d

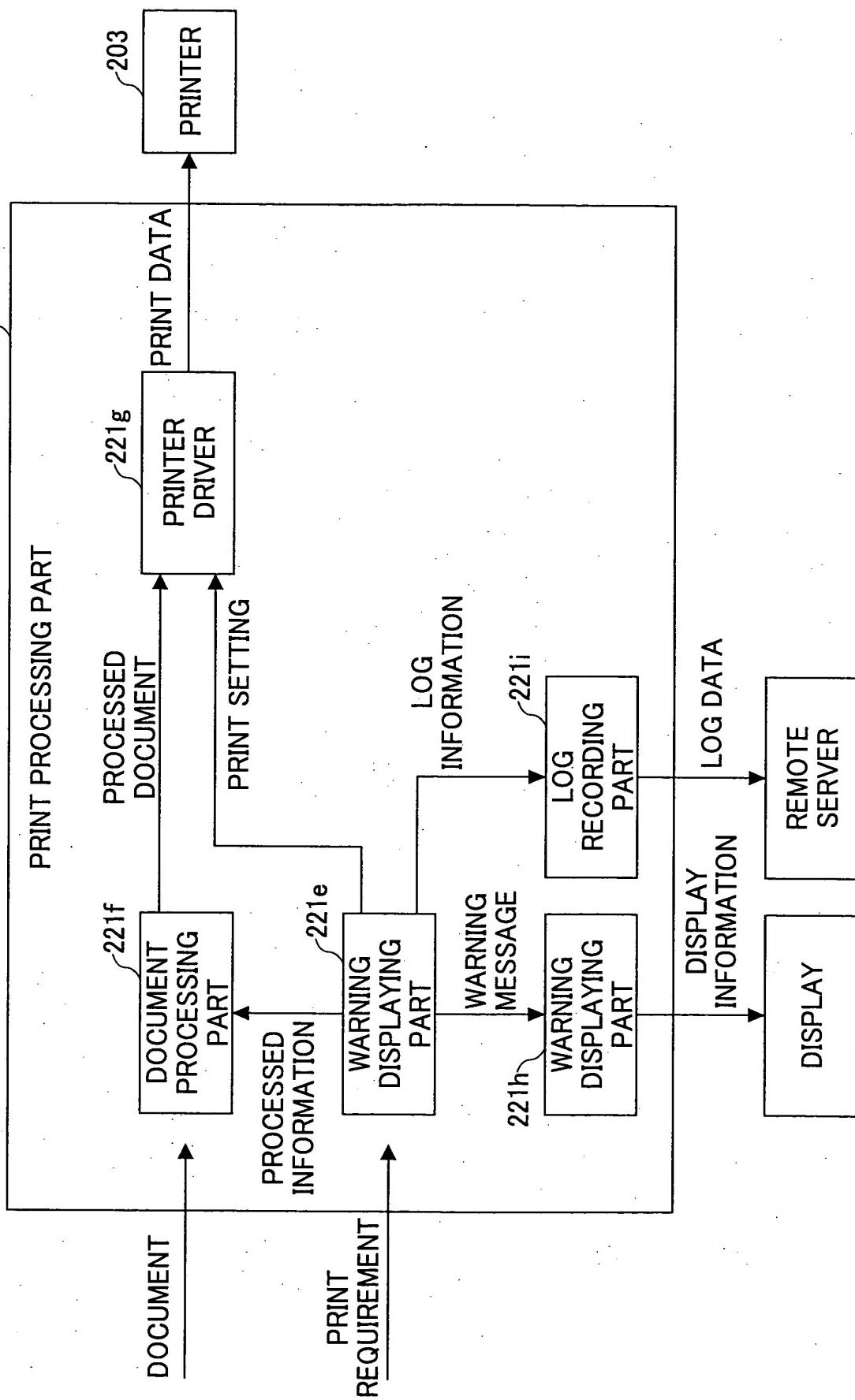
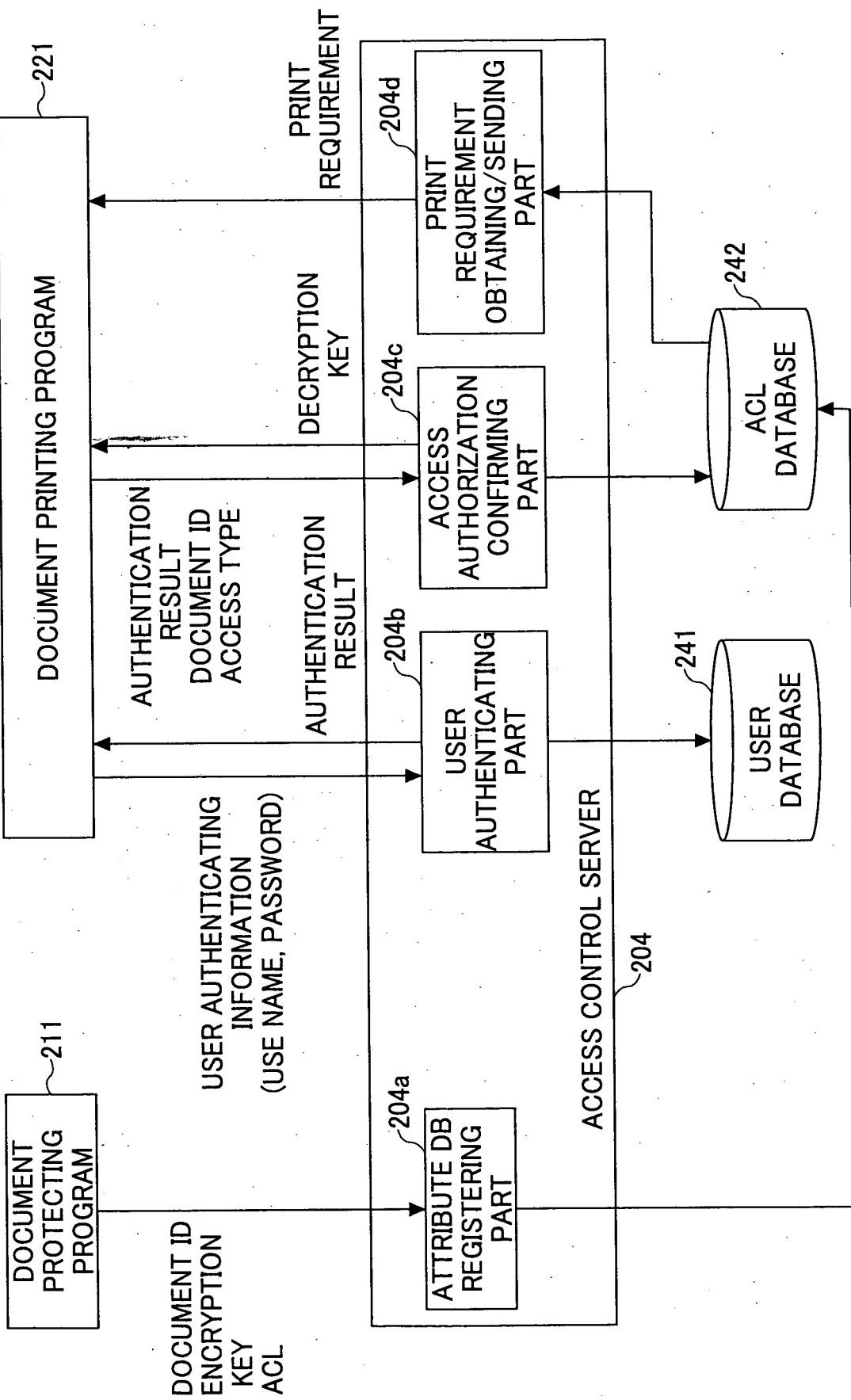


FIG. 15



## FIG.16

User name	Access type	Permission	Requirement
Ichiro	Read	Allowed	—
	Write	Denied	—
	Print	Allowed	PAC(Private Access)
			BDP(Background Dot Patten)
			EBC(Embedding BarCode)
	Hardcopy	Allowed	RAD(Record Audit Date)
Taro	Read	Allowed	—
	Write	Denied	—
	Print	Denied	—
	Hardcopy	Denied	—
⋮			

FIG.17

Document ID	Key	ACL
133.139.234.23.22.125.98.192	89FECA8D2B	(binary data)
133.139.234.23.22.125.99.105	A73C44DA59	(binary data)

## FIG.18

### ACCESS CONTROL LIST

#### GROUP NAME OR USER NAME

GROUP: Administrators  
GROUP: FIRST DESIGN MEMBER  
USER: taro.yamada  
USER: hanako.tanaka

#### ACCESS ALLOWANCE OF taro.yamada

ALLOWED REQUIREMENT

FULL CONTROL	<input type="checkbox"/>	<input type="checkbox"/>
UPDATE	<input type="checkbox"/>	<input type="checkbox"/>
PRINT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
READ	<input checked="" type="checkbox"/>	<input type="checkbox"/>

#### PRINT REQUIREMENT INDICATION SUPPLEMENT INFORMATION

PRIVATE ACCESS	<input type="checkbox"/>
SECURITY LABEL	
STAMP	<input type="checkbox"/>
DIGITAL WATERMARK	<input checked="" type="checkbox"/>
BACKGROUND DOT PATTERN	<input type="checkbox"/>

**CONFIDENTIAL**

FILE: C: \My Documents\sample.doc

FIG.19

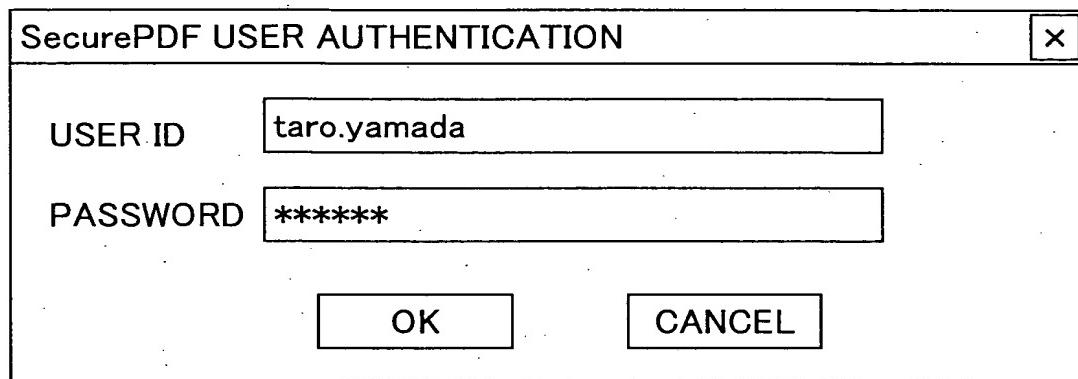
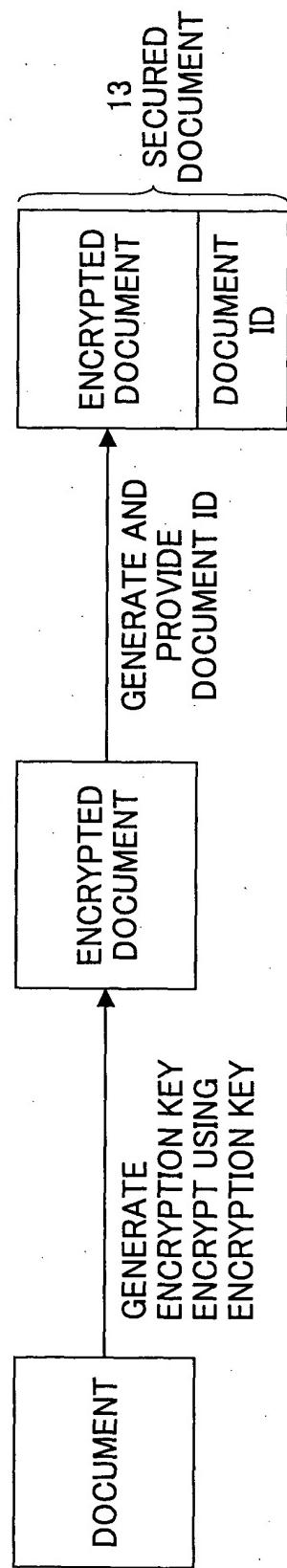
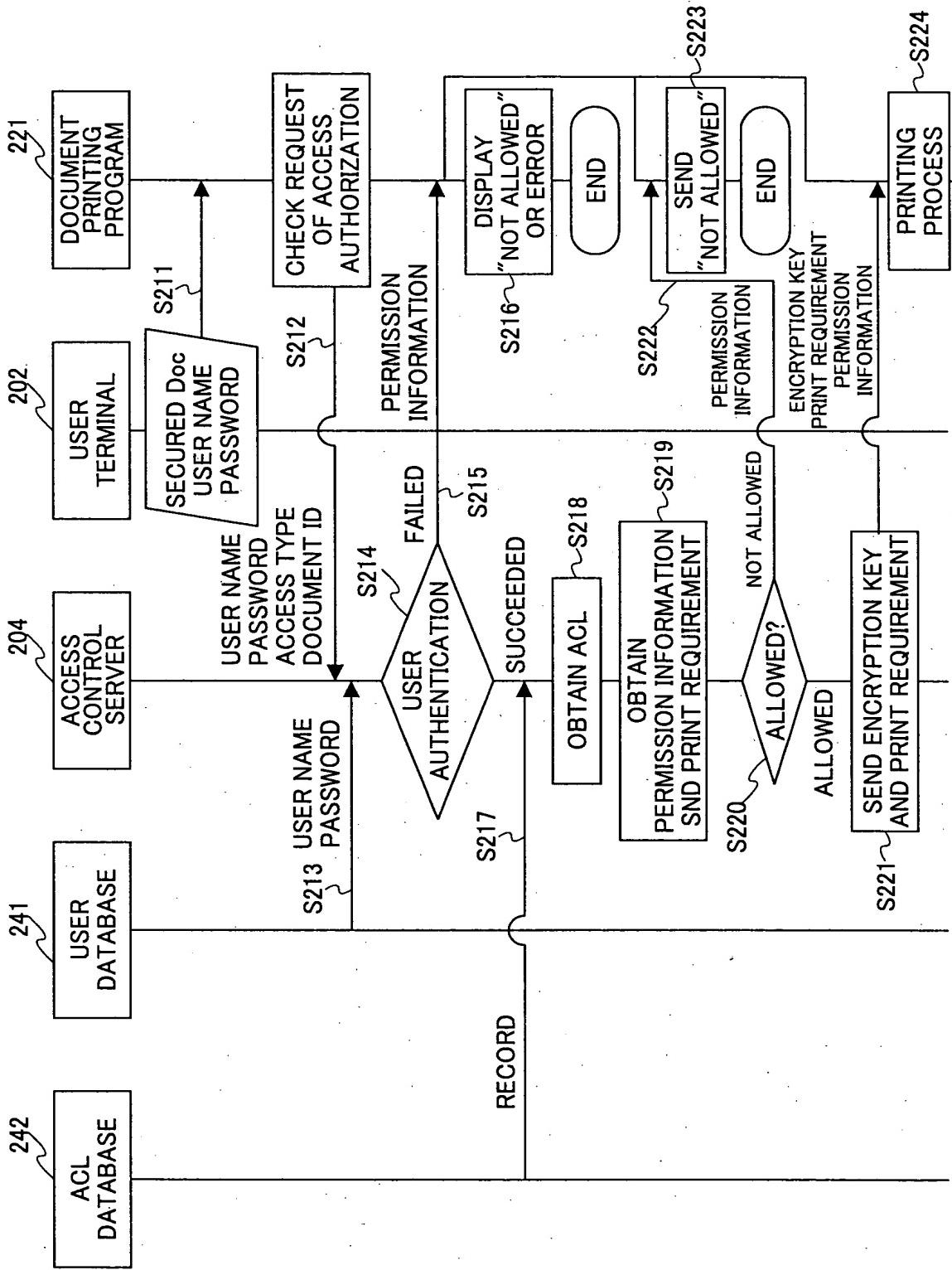


FIG.20



**FIG.21**



## FIG.22

291

```
<?xml version="1.0" encoding="UTF-8" ?>
<s:Envelope xmlns:s="http://schemas.xmlsoap.org/soap/envelope/"
s:encodingStyle="http://schemas.xmlsoap.org/soap/encoding/">
<s:Body>
<m:isAllowed xmlns:m="http://sample.com/sample">
<sessionId>adfkla;iowoemads</sessionId>
<userId>taro.yamada</userId>
<docId>shm000000000003</docId>
<accessType>print</accessType>
</m:isAllowed>
</s:Body>
</s:Envelope>
```

292

```
<?xml version="1.0" encoding="UTF-8" ?>
<s:Envelope xmlns:s="http://schemas.xmlsoap.org/soap/envelope/"
s:encodingStyle="http://schemas.xmlsoap.org/soap/encoding/">
<s:Body>
<m:isAllowedResponse xmlns:ns1="http://sample.com/sample">
<isAllowedReturn>
<allowed xsi:type="xsd:boolean">true</allowed>
<requirements>
<item>
<requirement>private_access</requirement>
</item>
<item>
<requirement>watermark</requirement>
<supplement>CONFIDENTIAL</supplement>
</item>
</requirements>
</isAllowedReturn>
</m:isAllowedResponse>
</s:Body>
</s:Envelope>
```

FIG.23

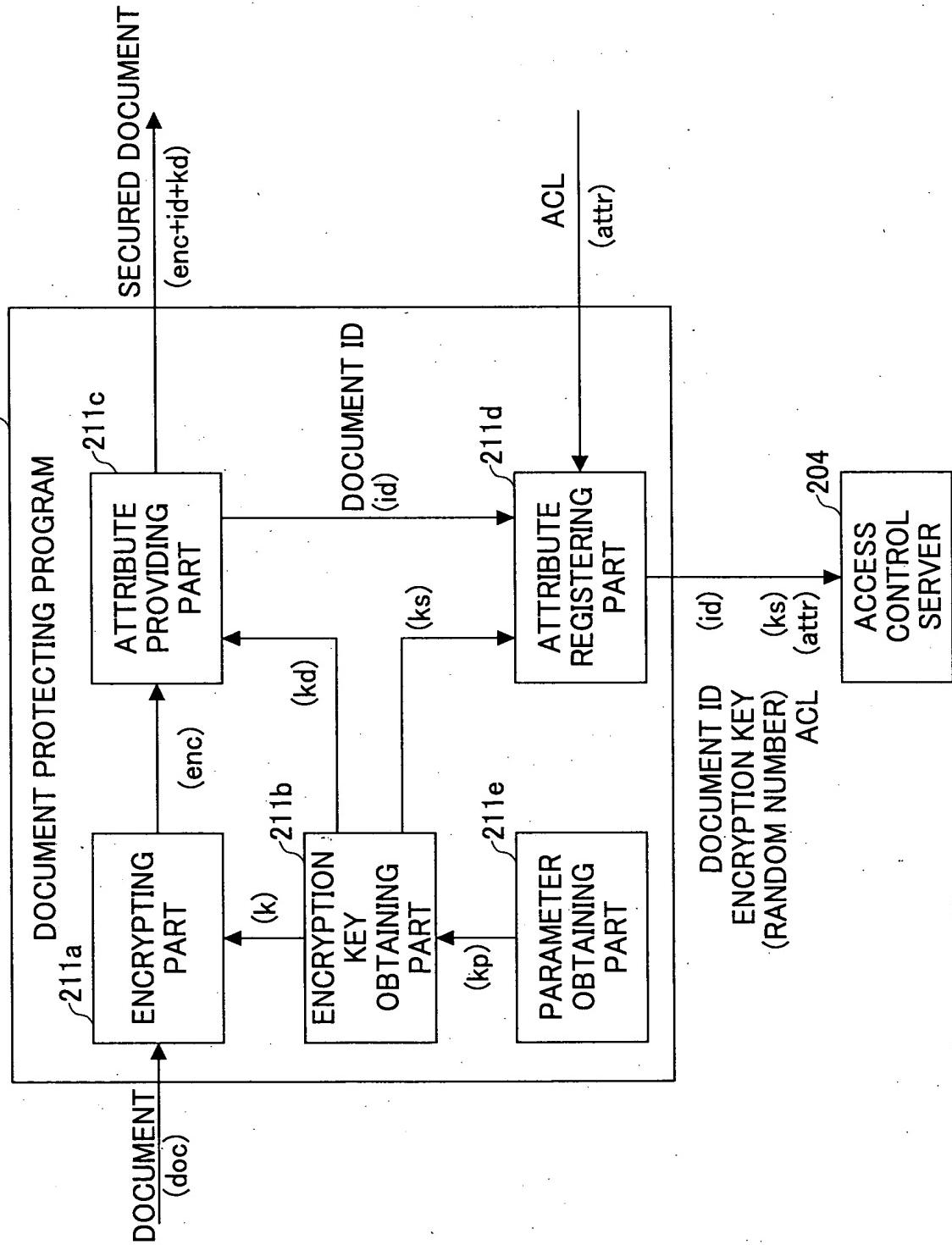


FIG.24

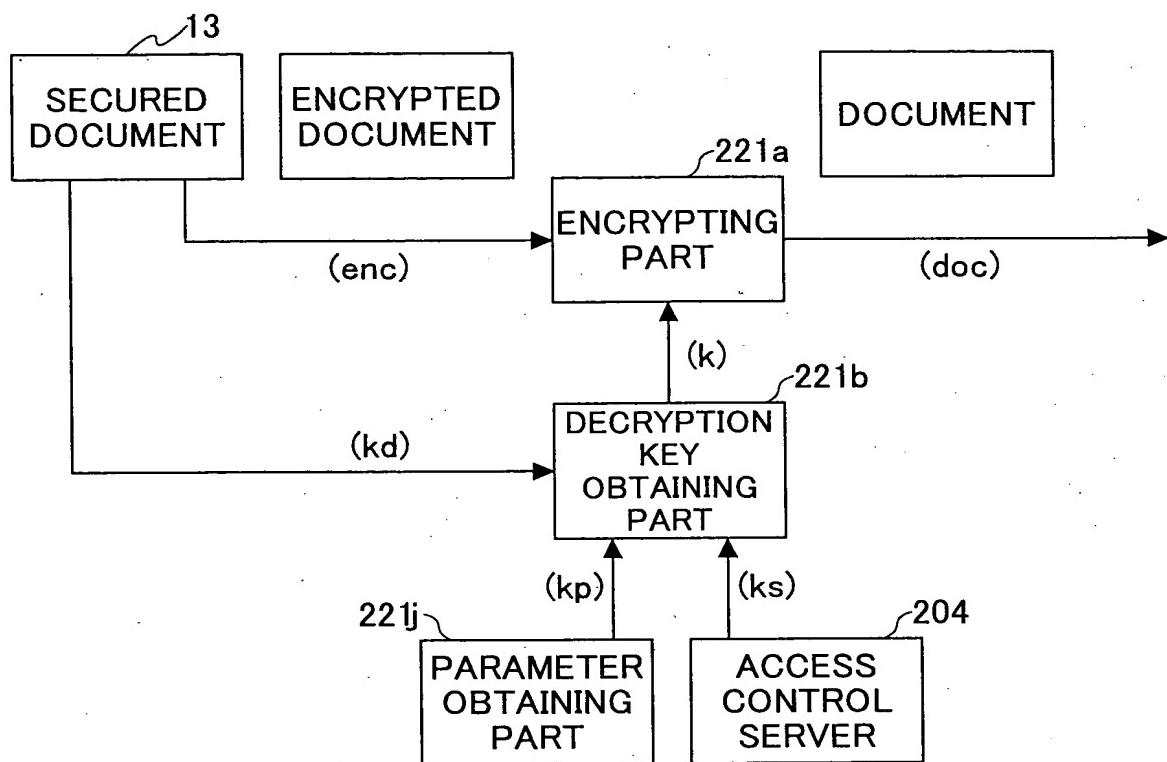


FIG.25

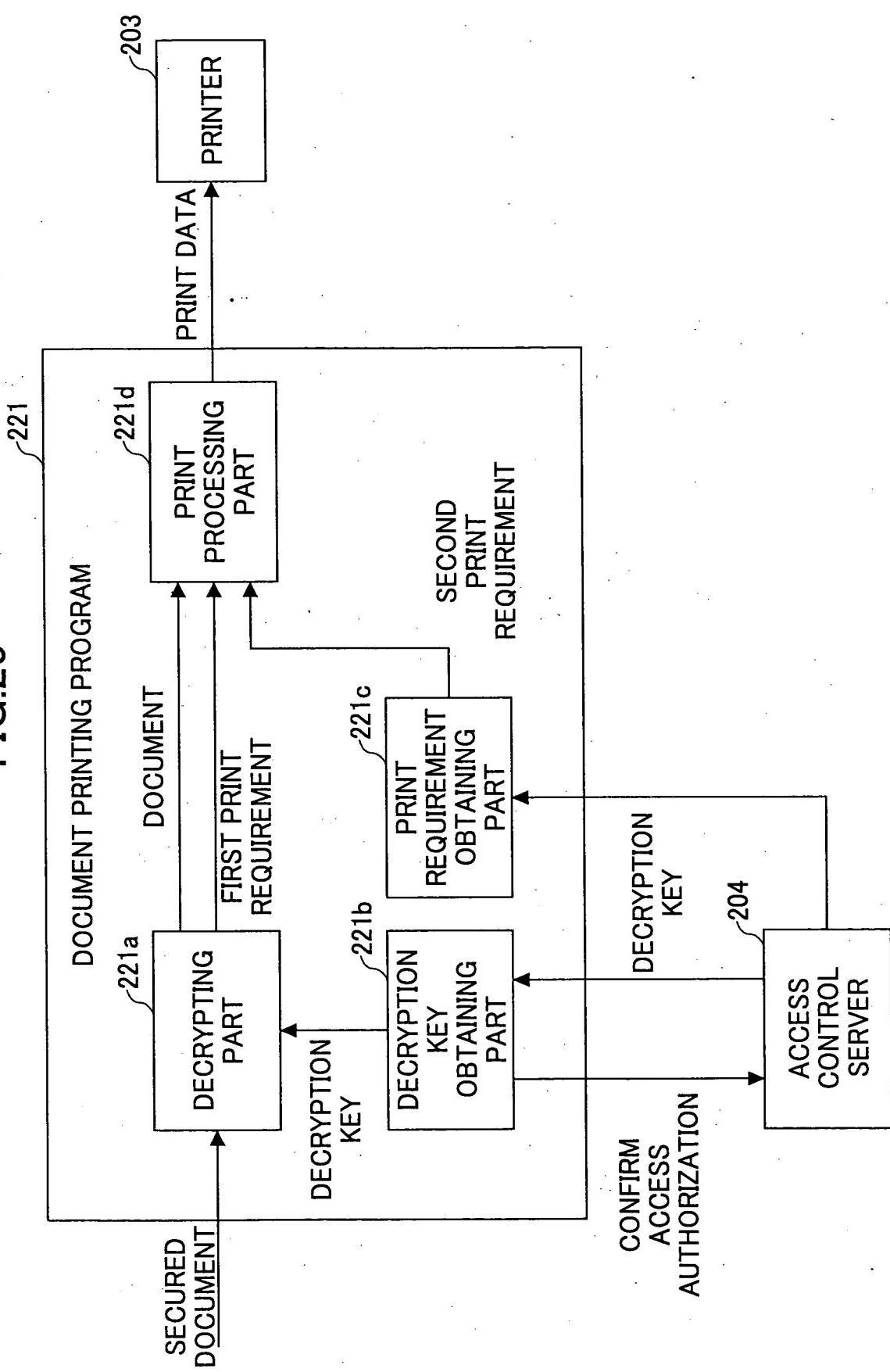


FIG.26

PRINT SECURITY FUNCTIONS	
SECURITY LABEL STAMP	FUNCTION FOR PRINTING BY OVERLAPPING MANUSCRIPT WITH A MARK SHOWING "SECRET" AS STAMP OR WATERMARK AT GIVEN LOCATION IN PAGE. BITMAP IMAGE OR CHARACTER STRING SUCH AS "SECRET", "CONFIDENTIAL" OR A LIKE CAN BE USED AS STAMP.
BACKGROUND DOT PATTERN	FUNCTION FOR PRINTING BY OVERLAPPING MANUSCRIPT WITH BACKGROUND IMAGE THAT IS CONTROLLED SO THAT THE A SPECIAL IMAGE IS RAISED WHEN COPIER COPIES. IN GENERAL, IMAGE INDICATED AS STAMP IN ABOVE STAMP FUNCTION IS USED TO BE BACKGROUND IMAGE.
PRIVATE ACCESS	FUNCTION FOR PRINTING OUT UNTIL USER COMES TO PRINTER AND INPUTS PIN (PERSONAL IDENTIFICATION NUMBER) TO OPERATION PANEL OF PRINTER IF USER INDICATES PIN TO PRINTER DRIVER WHEN INDICATING TO PRINT OUT

FIG.27

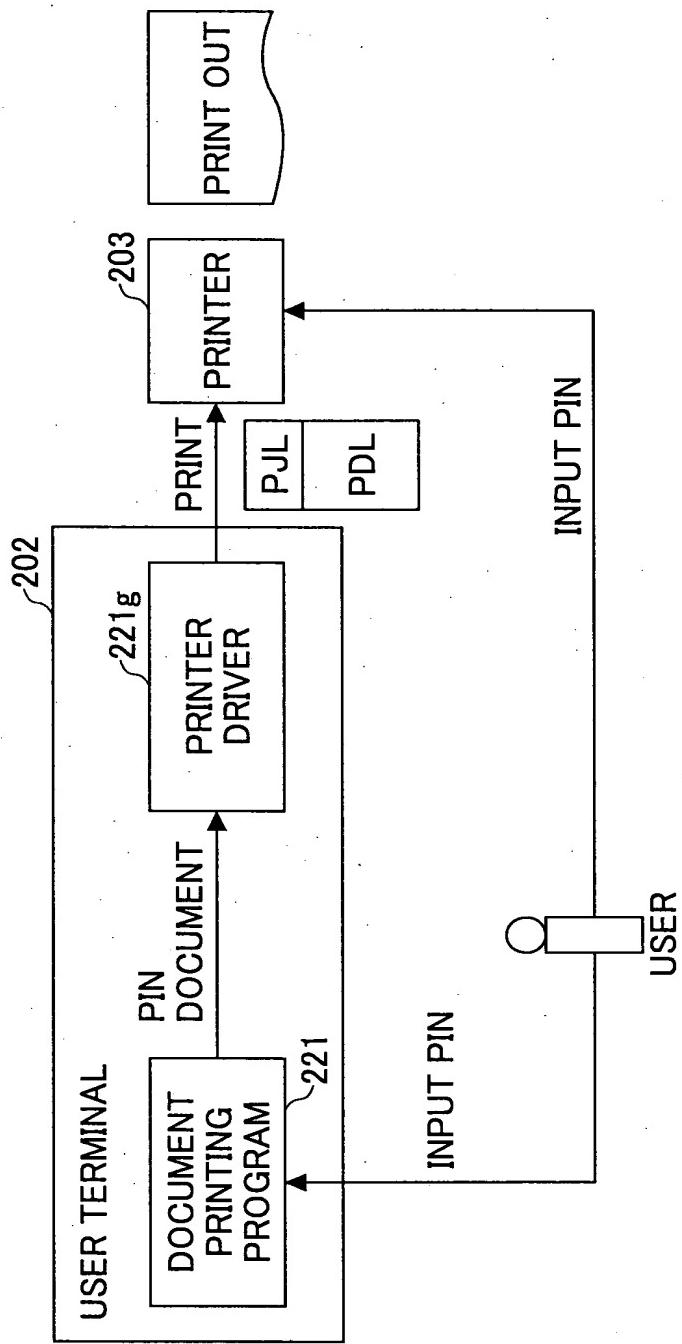


FIG.28

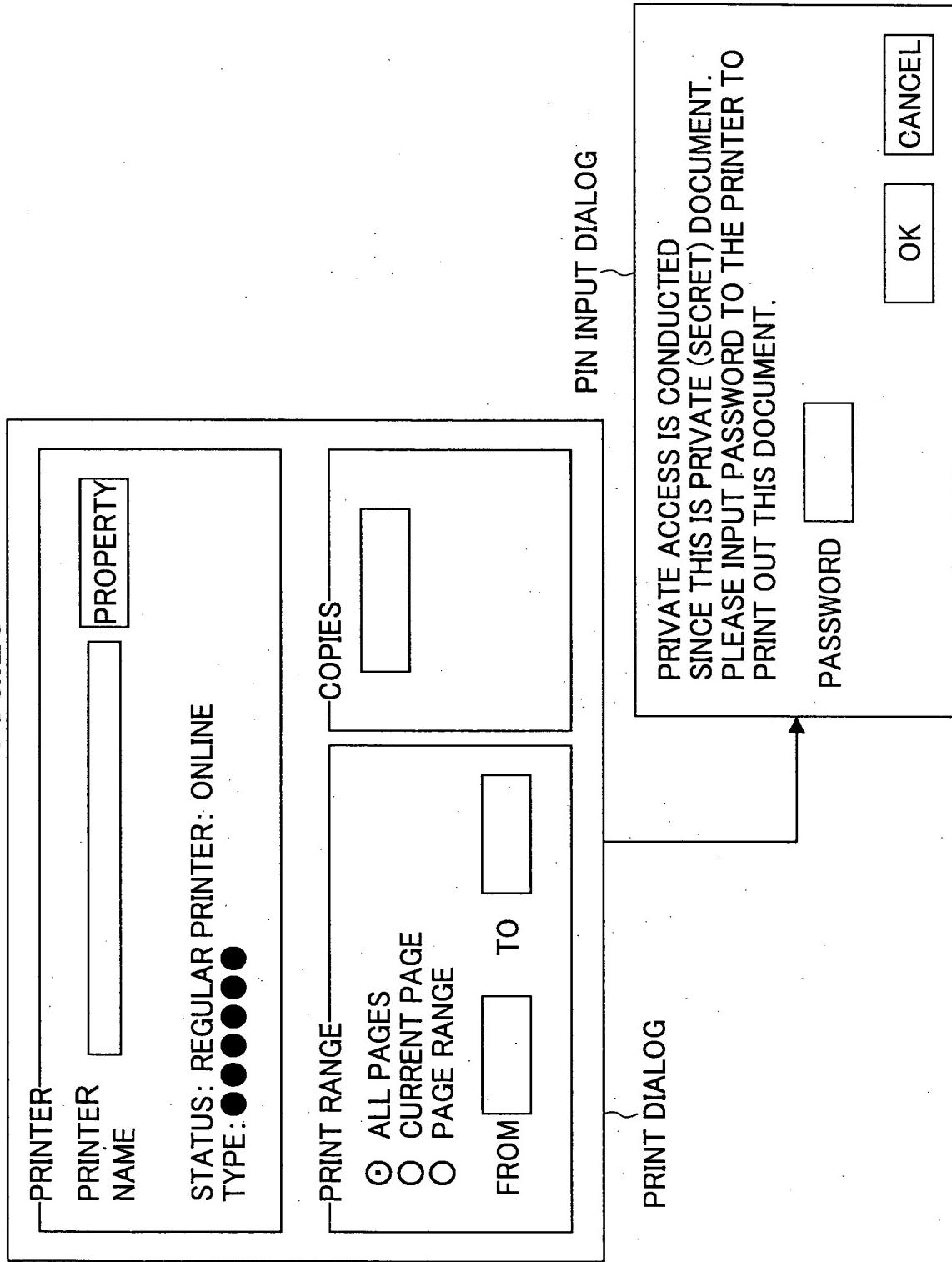


FIG.29

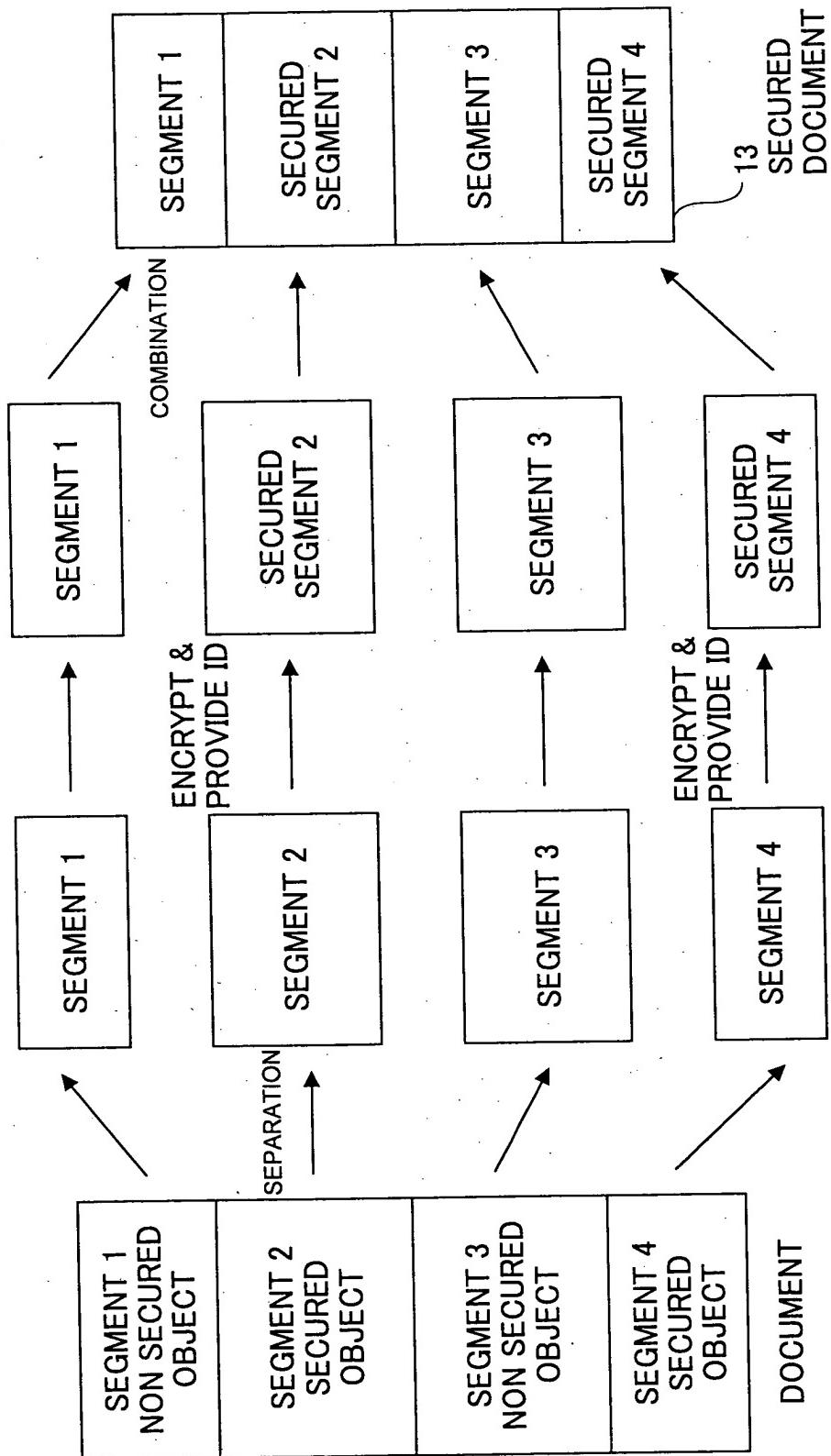


FIG.30

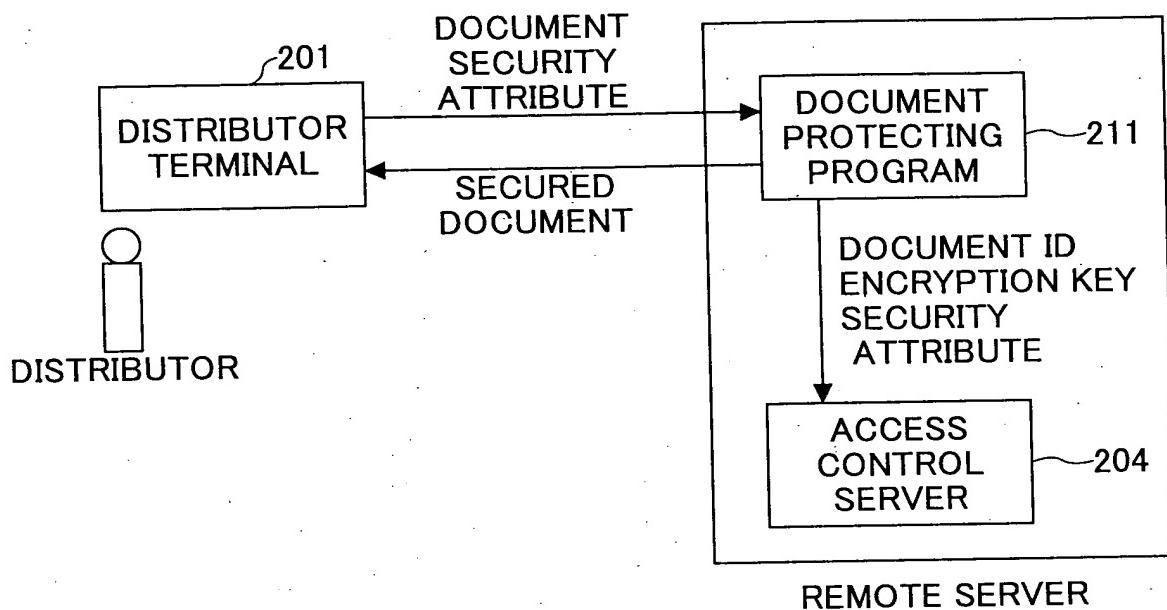


FIG.31

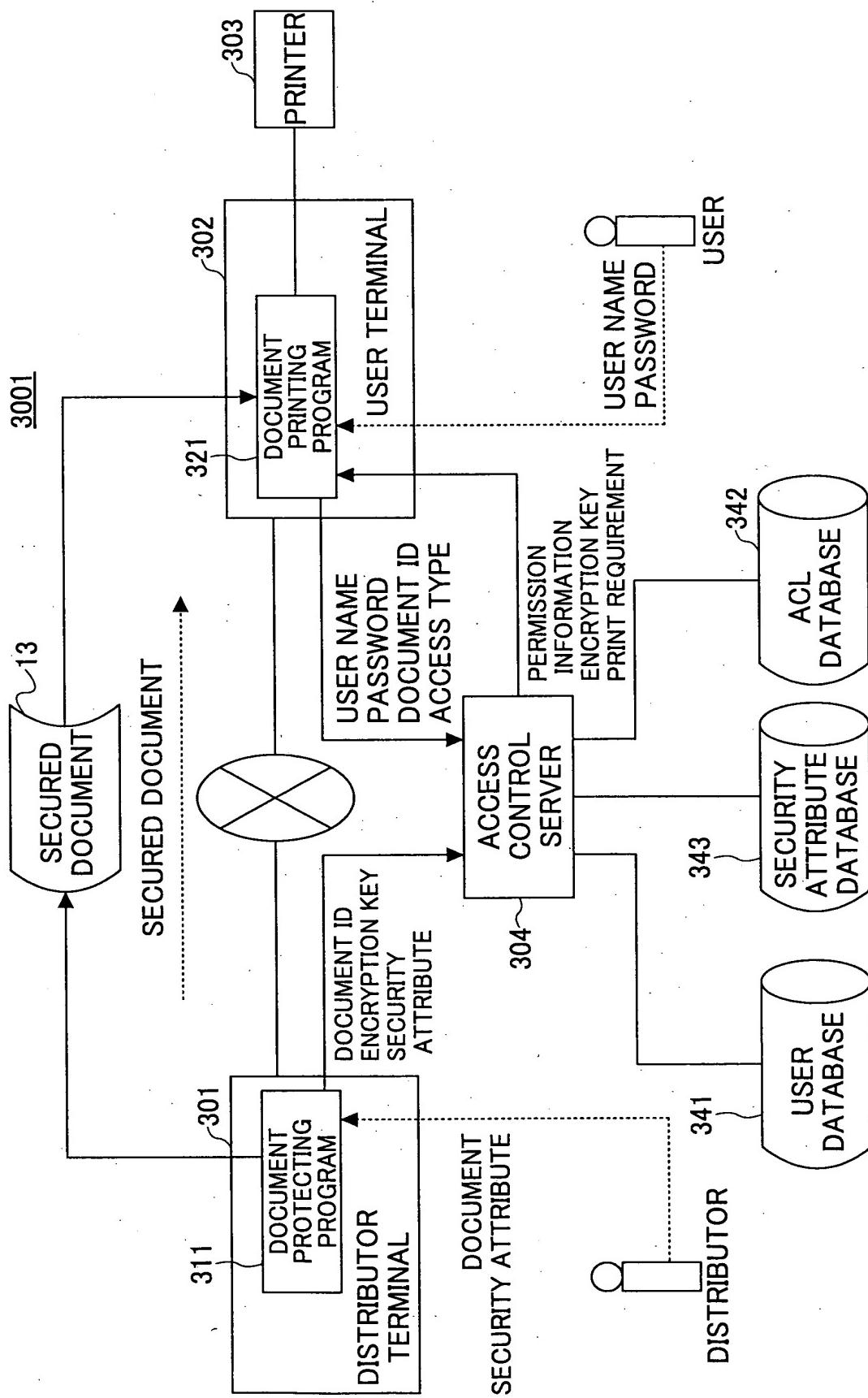
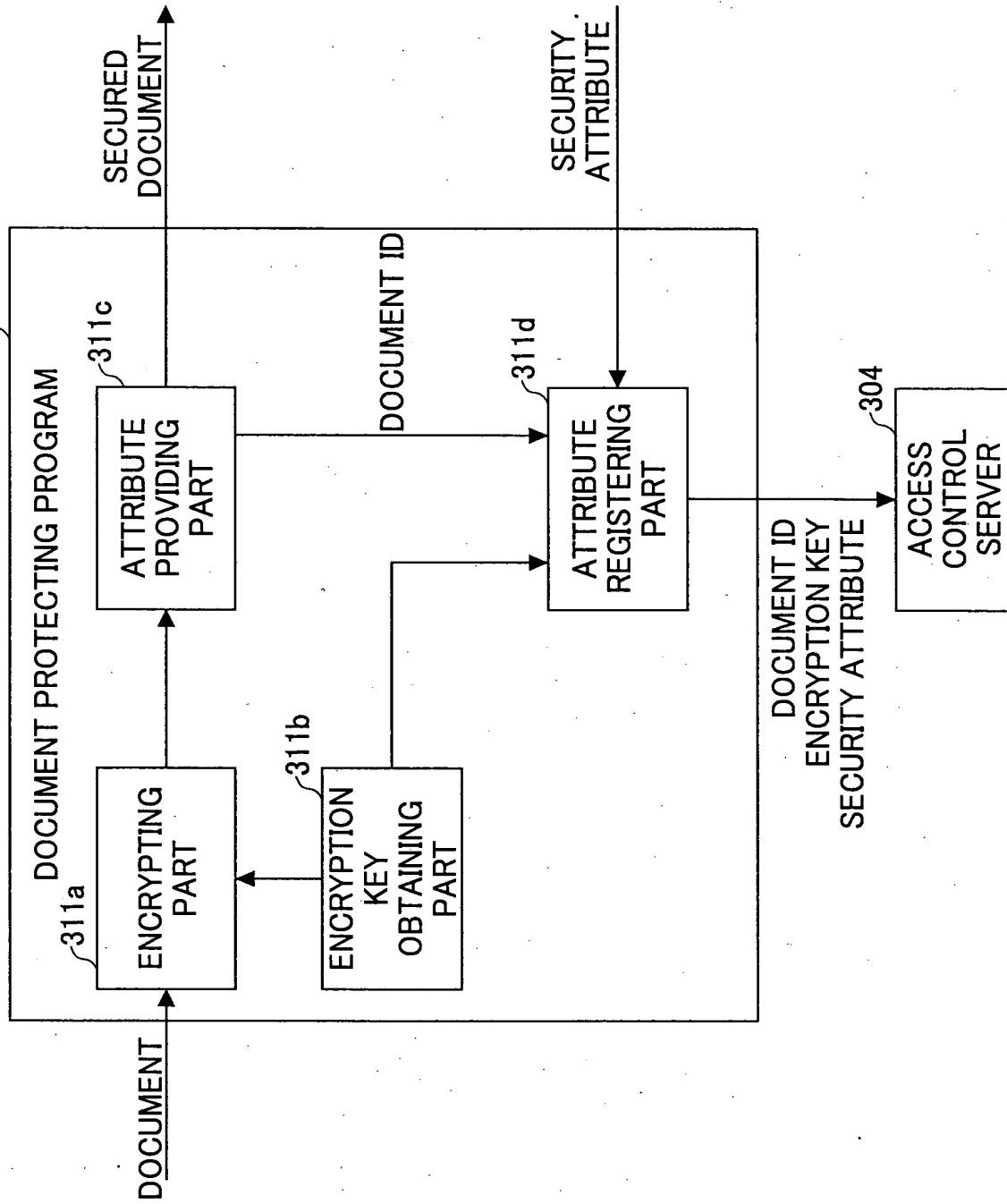


FIG.32



**FIG.33**

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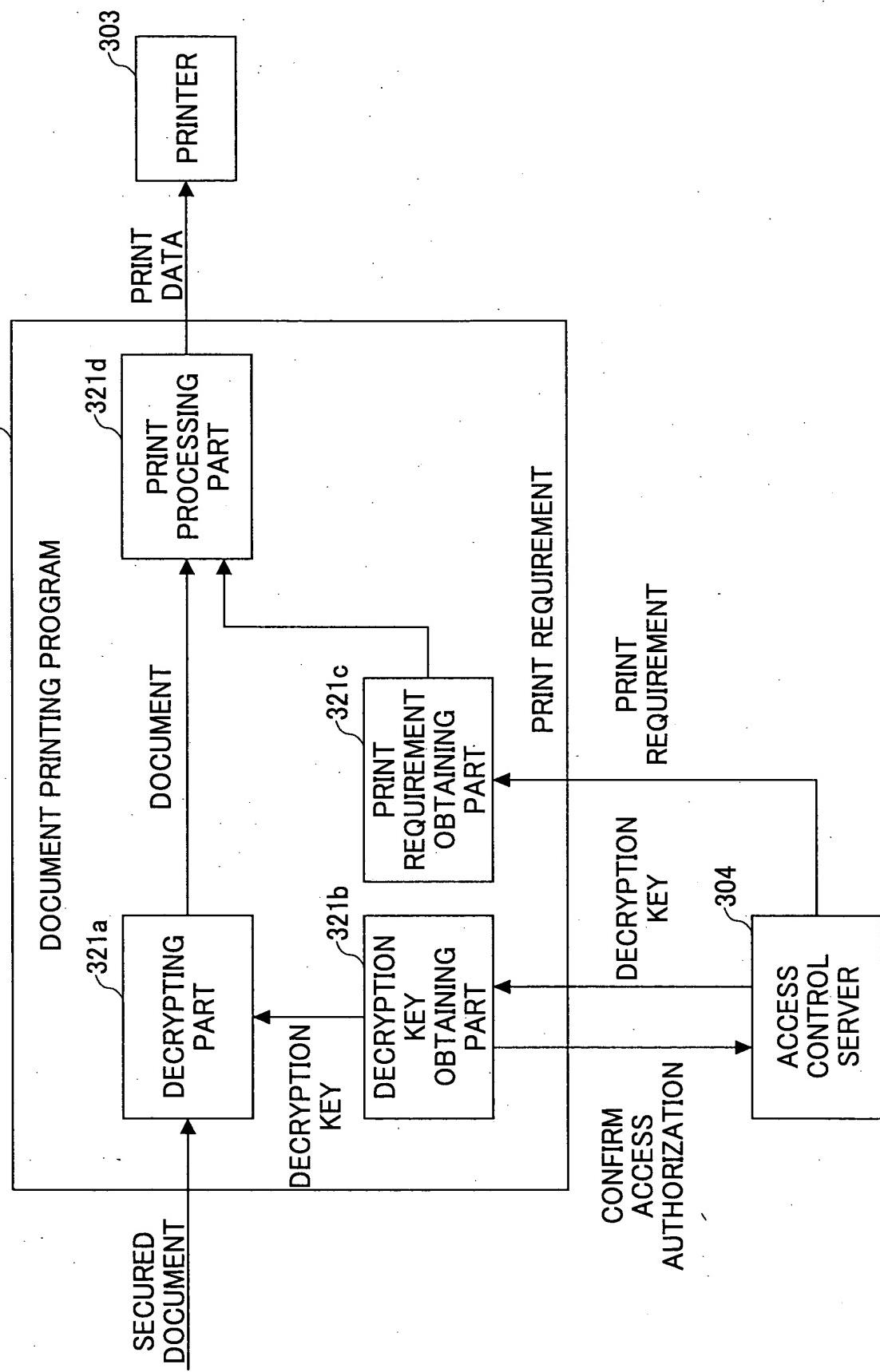


FIG.34

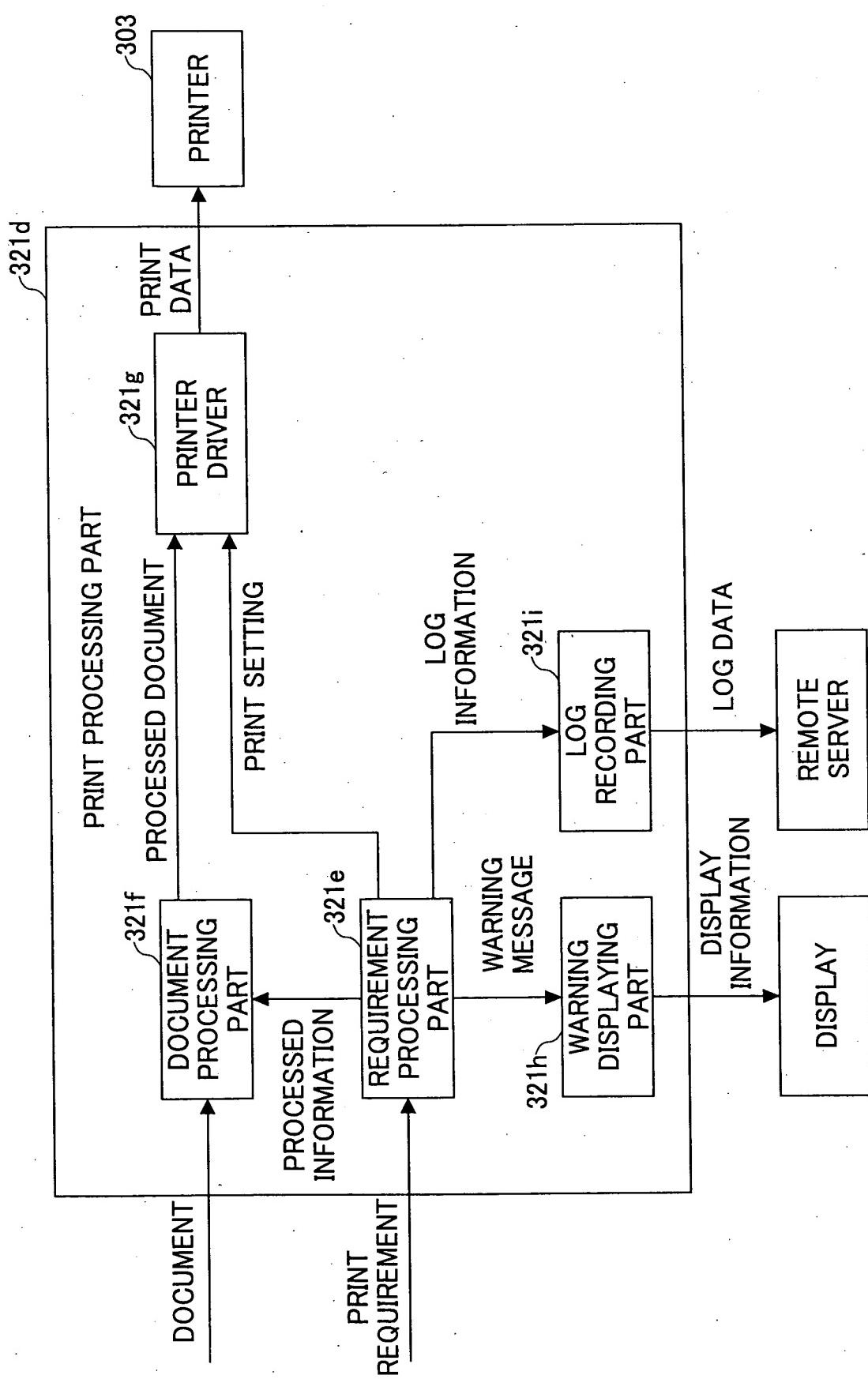
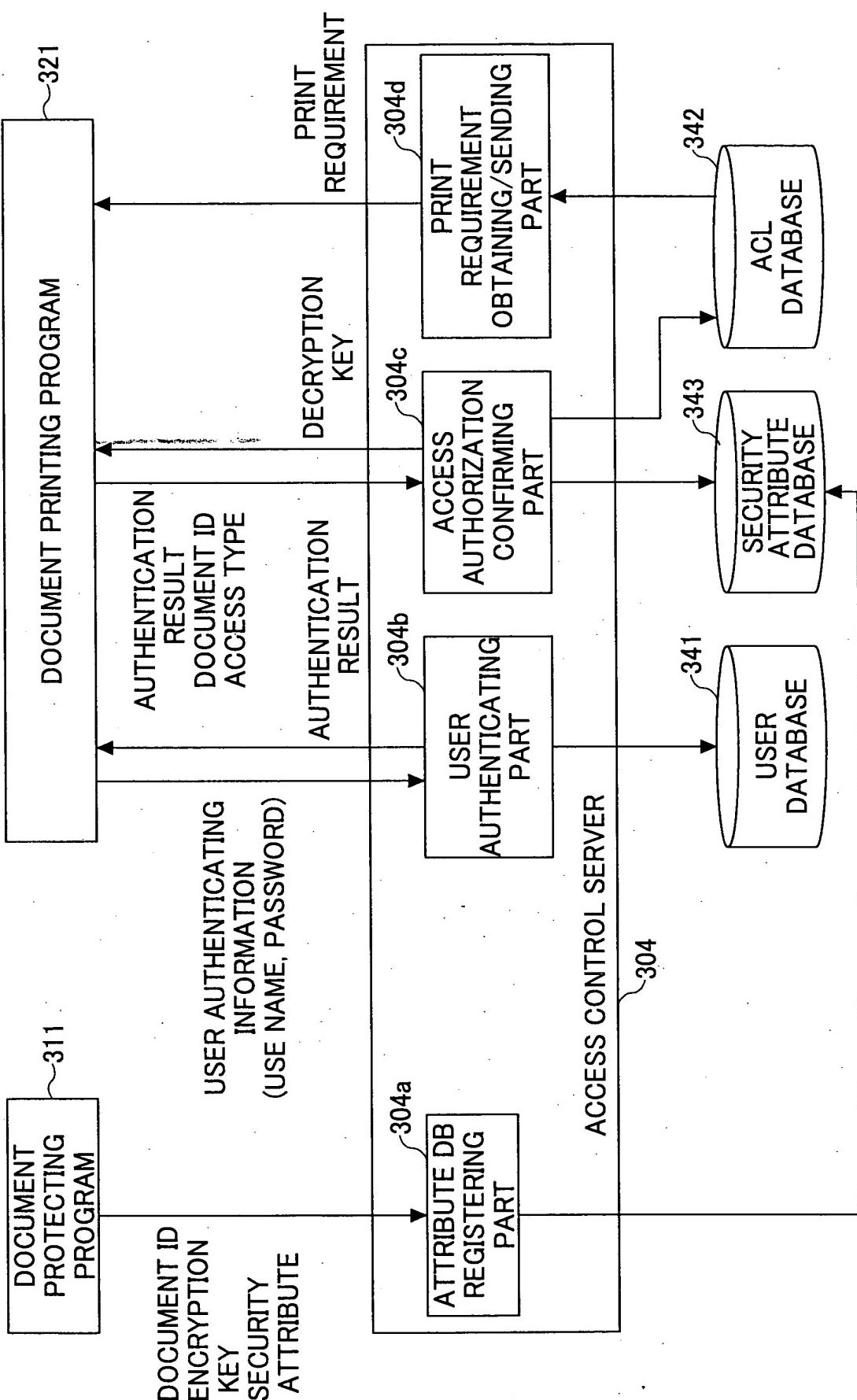


FIG.35



## FIG.36

SECURITY ATTRIBUTE OF DOCUMENT	
DOCUMENT CATEGORY	TECHNOLOGY DOCUMENT <input type="button" value="▼"/>
SECRET LEVEL	TOP SECRET <input type="button" value="▼"/> CONFIDENTIAL INTERNAL USE ONLY OPEN
FILE:	C: ¥My Documents¥sample.doc <input type="button" value="REFER"/>
<input type="button" value="ENCRYPT"/>	

FIG.37

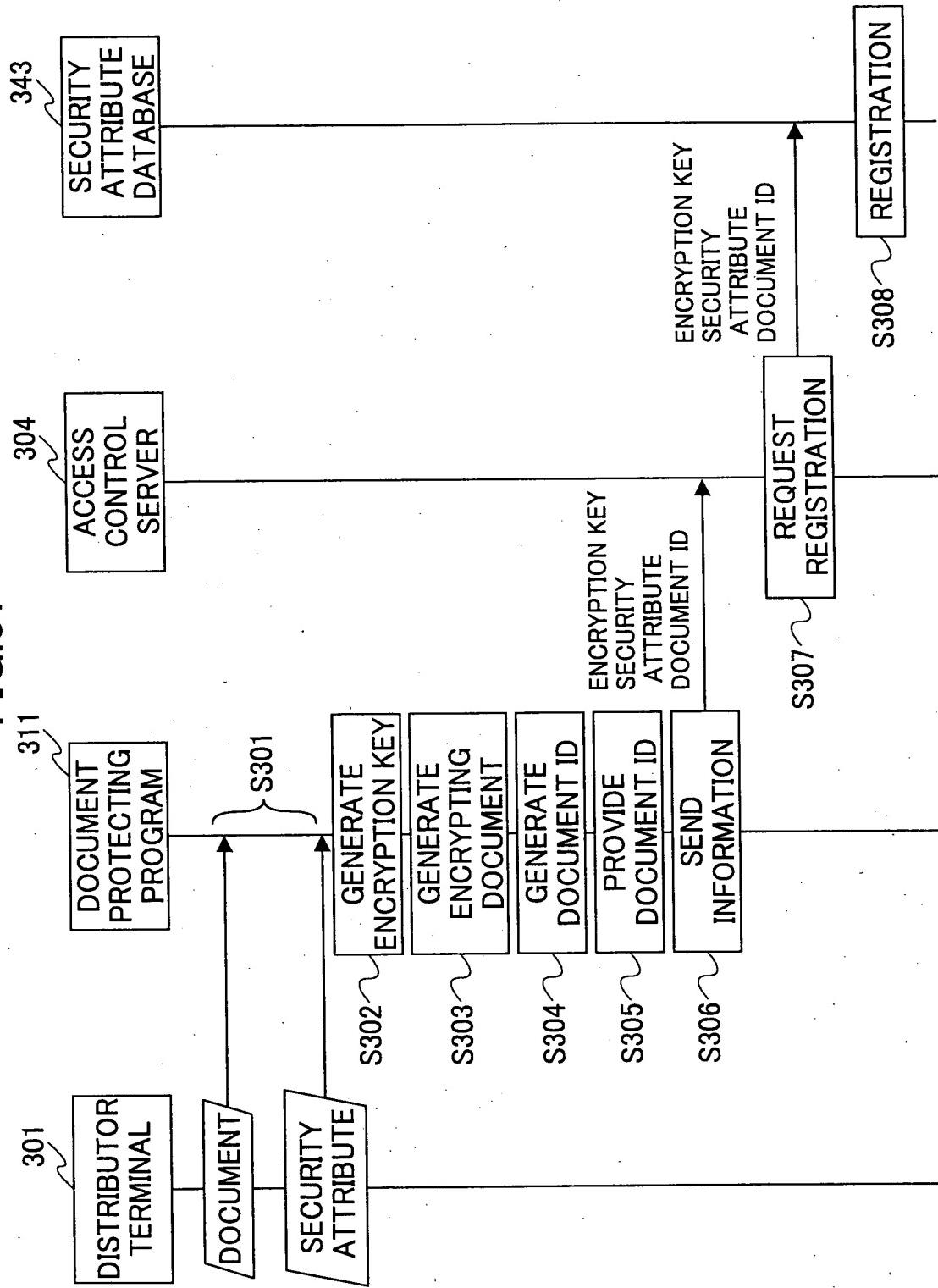
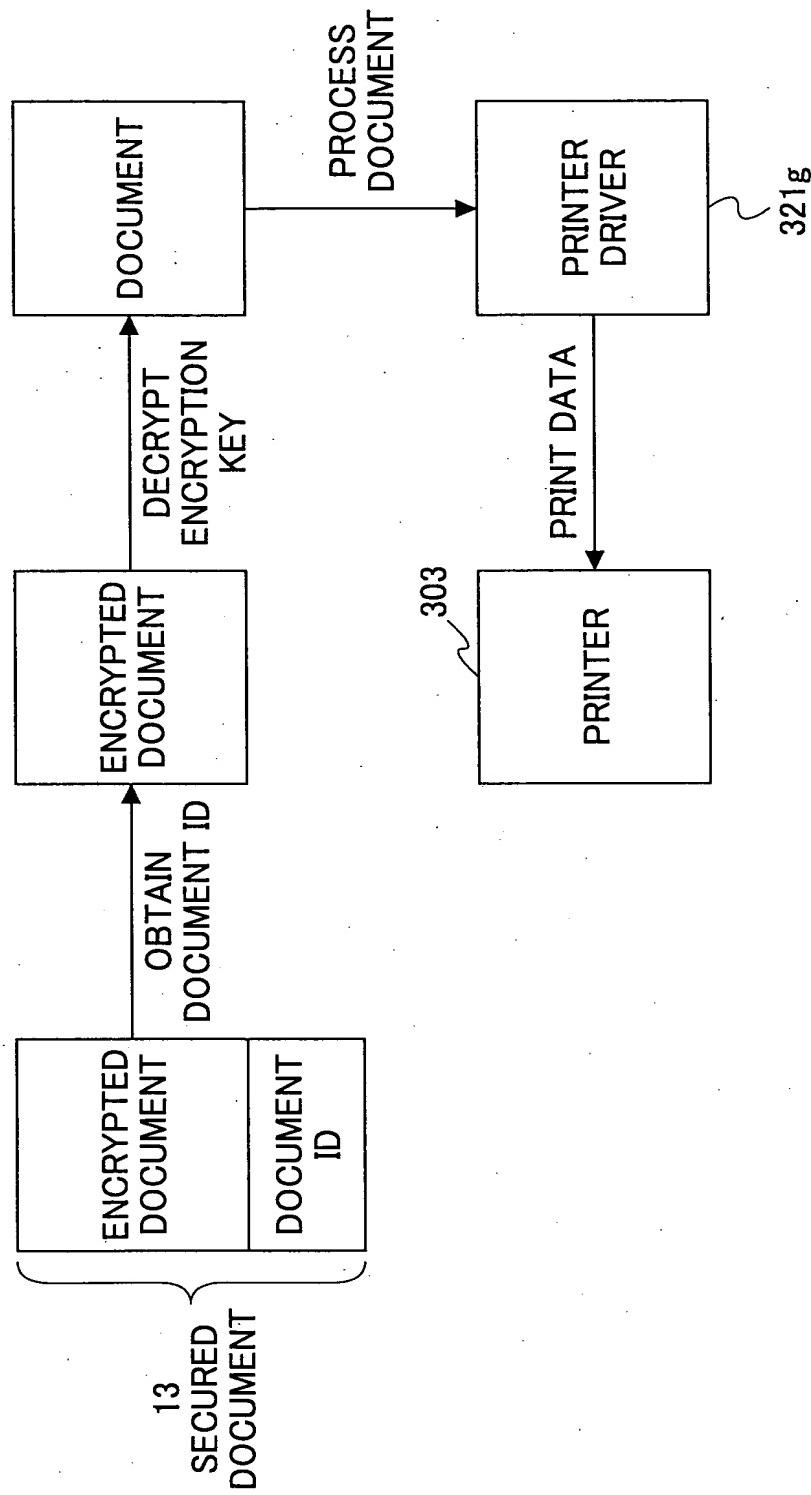


FIG.38



**FIG. 39**

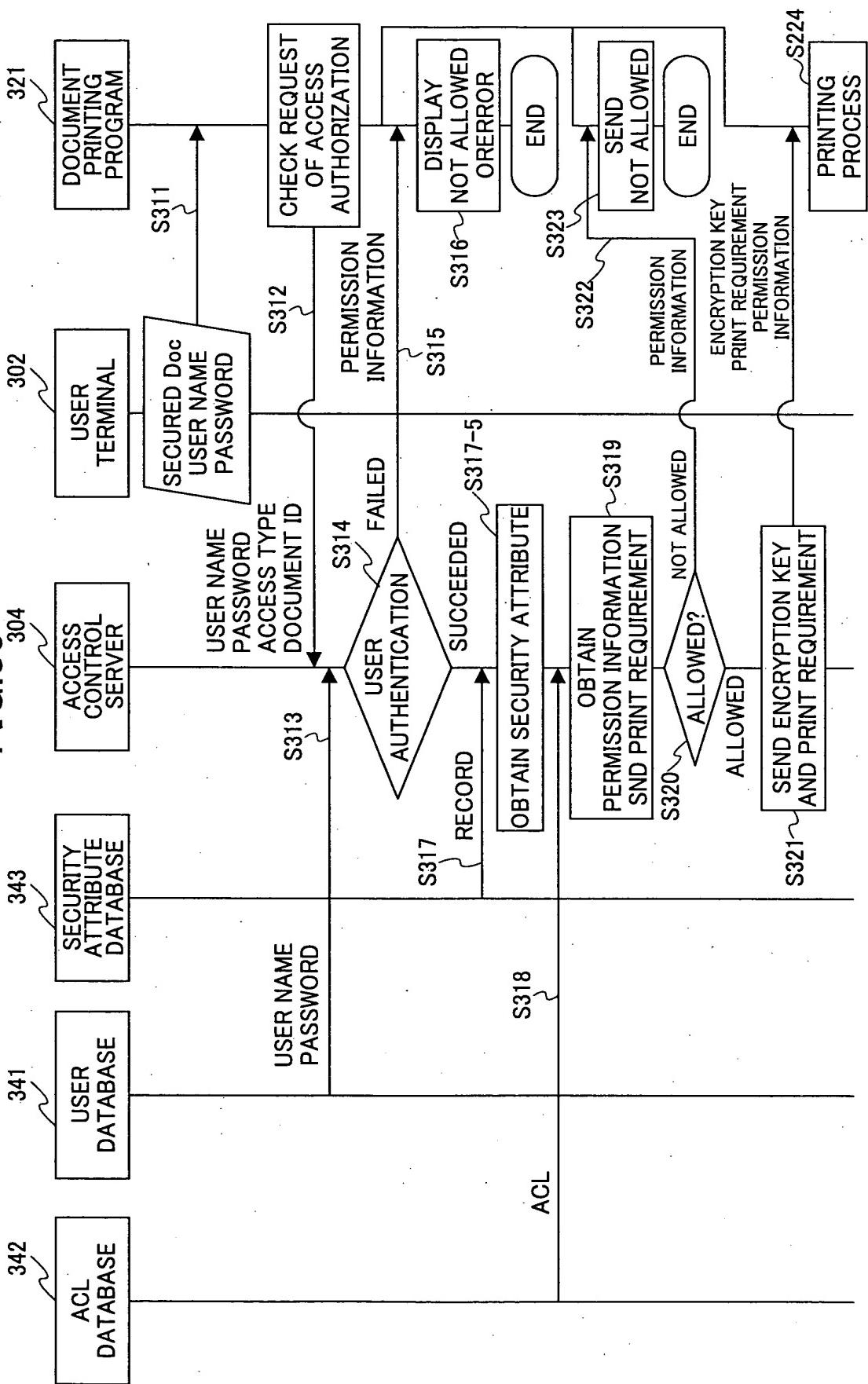


FIG.40

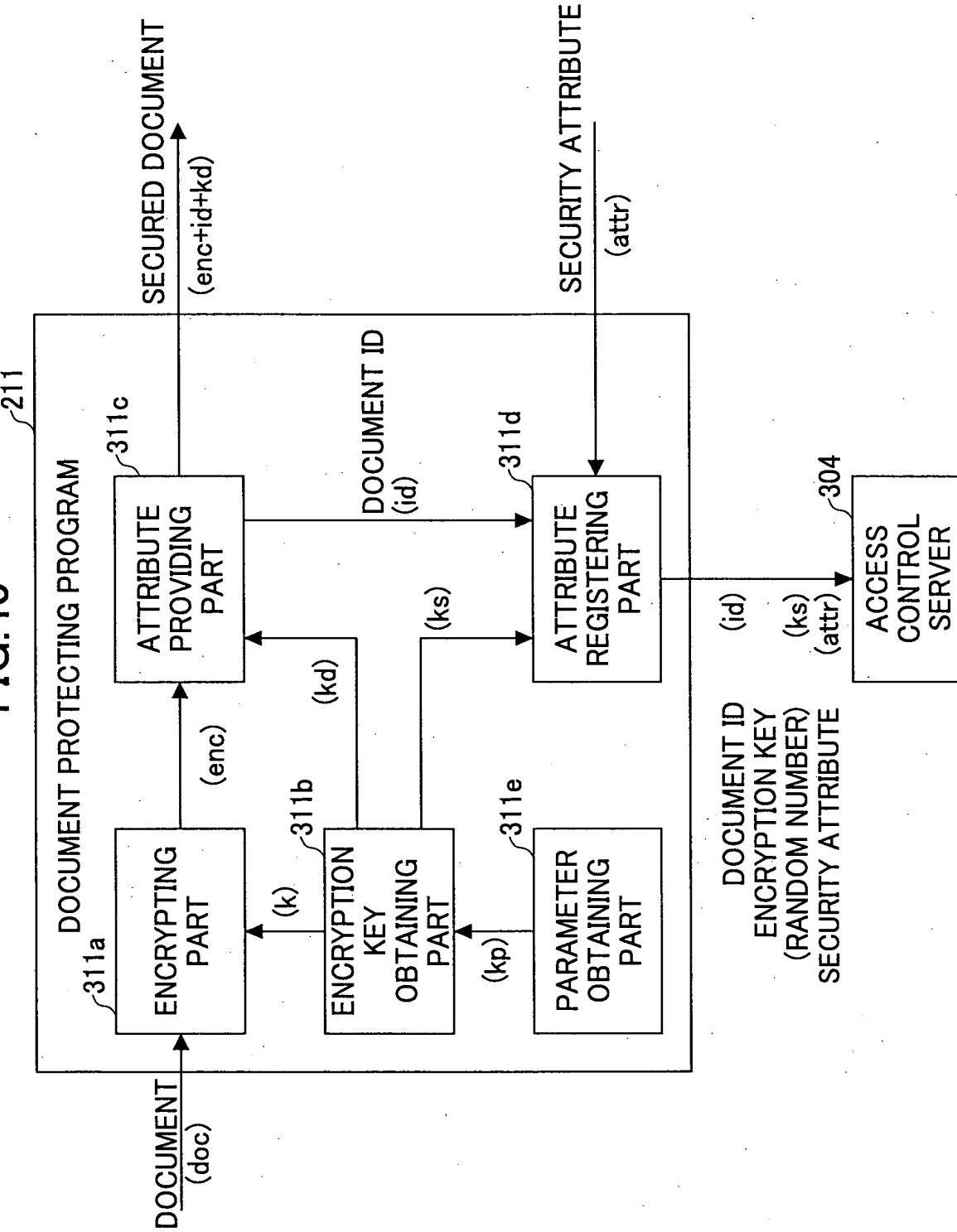


FIG.41

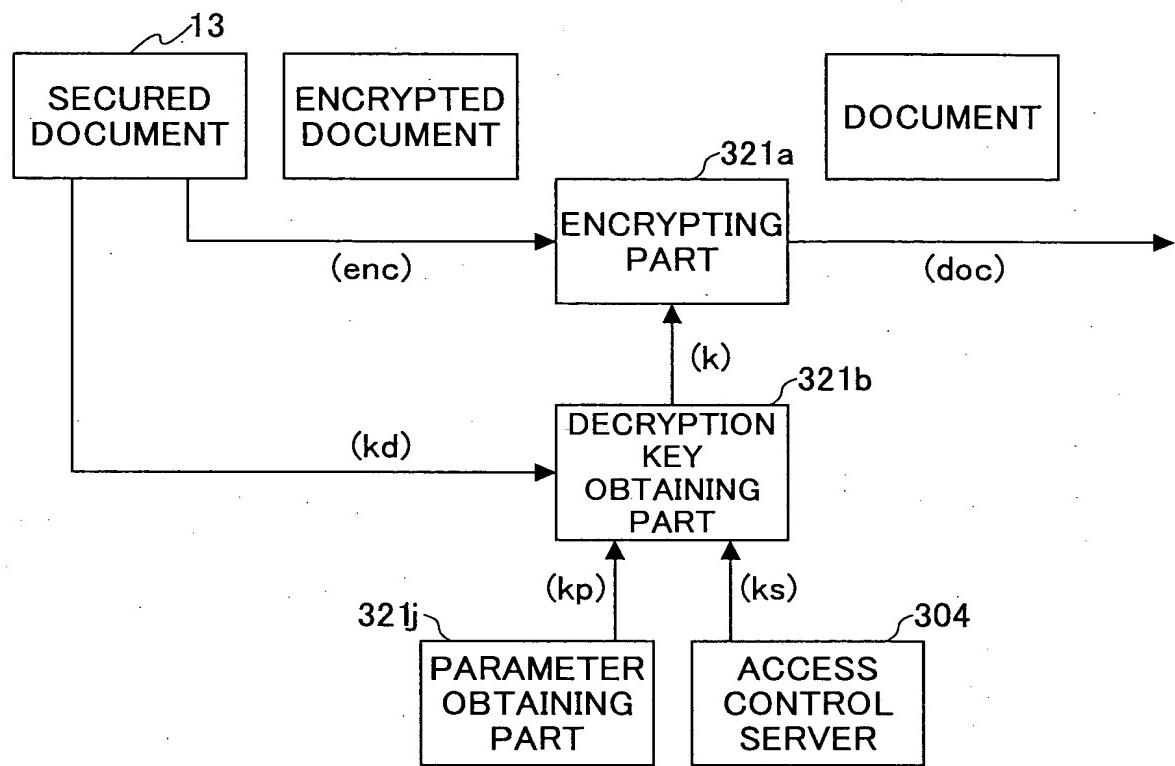
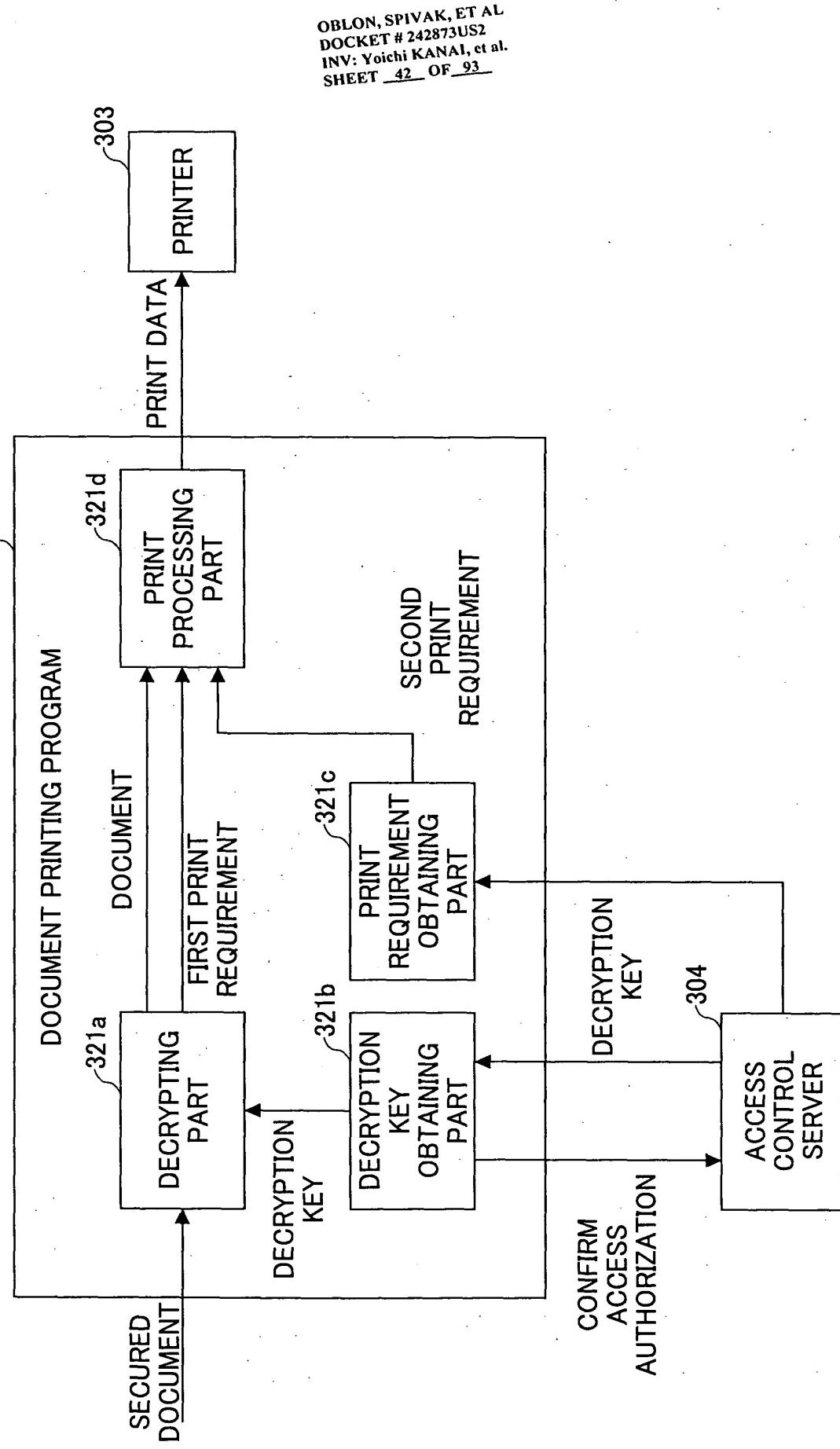


FIG.42



## FIG.43

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AS FOR TOP SECRET DOCUMENTS:  
PROHIBIT COPYING AS A GENERAL RULE MUST BE PERMITTED BY THE MANAGEMENT  
REPRESENTATIVE WHEN COPY. MOREOVER, MUST RECORD THAT YOU COPIED. MUST OVERLAP  
WATERMARK SHOWING "PROHIBIT COPYING" WHEN PRINT. FURTHERMORE, MUST RECORD  
THAT YOU PRINTED.  
ONLY RELATED PERSON IS ALLOWED TO READ

AS FOR CONFIDENTIAL DOCUMENTS:  
ONLY RELATED PERSON IS ALLOWED TO COPY  
MUST PRINT A LABEL SHOWING "CONFIDENTIAL DOCUMENT" SIMULTANEOUSLY  
WHEN YOU PRINTS OUT  
ONLY RELATED PERSON IS ALLOWED TO READ

AS FOR INTERNAL USE ONLY DOCUMENTS:  
MUST PERMITTED BY THE MANAGER WHEN YOU SENDS OUTSIDE  
ALLOW TO COPY, PRINT OUT, AND READ ONLY INSIDE THE OFFICE WITHOUT PERMISSION

AS FOR PERSONNEL RELATED DOCUMENTS:  
MUST HANDLE ALL AS THE CONFIDENTIAL DOCUMENT

**FIG.44**

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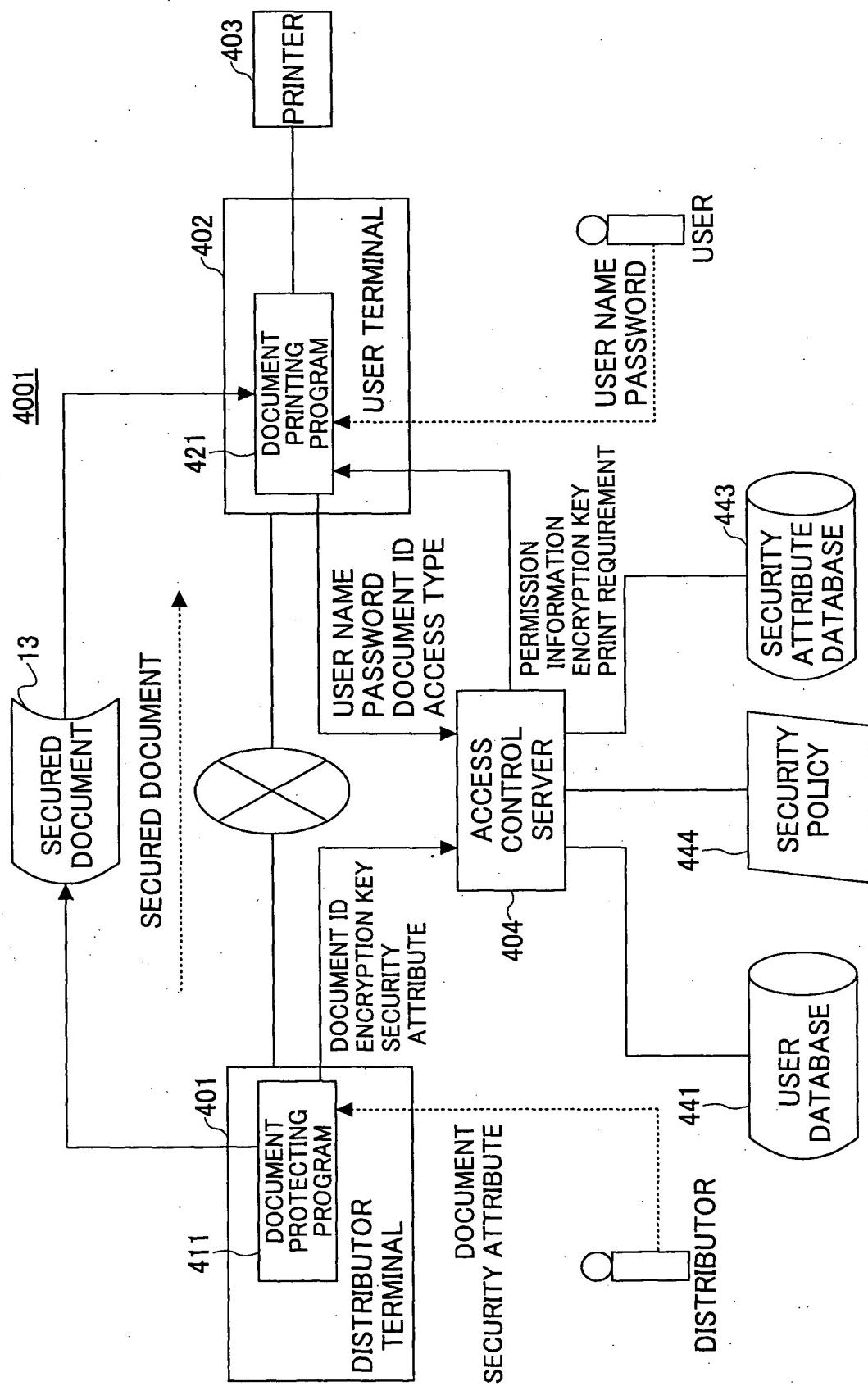


FIG.45

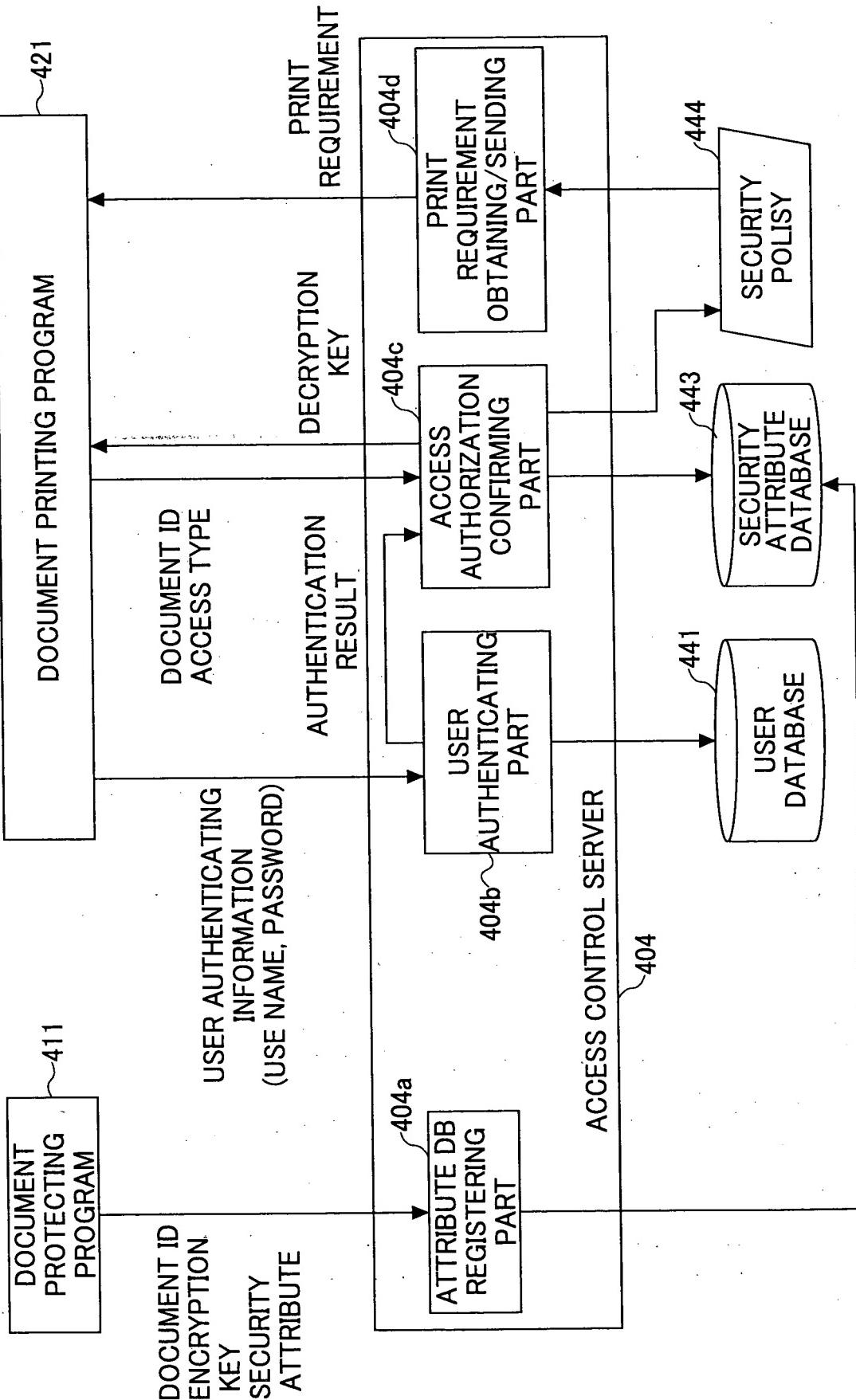


FIG. 46

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Document Type	User Type	Access Type	Permission	Requirement
Category	Category	Level		
Secret	Technical	Medium	Allowed	RAD
Technical		High	Allowed	PAC BDP EBC RAD
			Hardcopy	Denied
			...	
Top Secret	Technical	High	...	
...		...		
Human Resource	Top Secret	Human Resource	High	Read Print Hardcopy
			Allowed Denied Denied	RAD Denied Denied

FIG. 47

```
<?xml version="1.0" encoding="UTF-8"?>
<document_security_policy>
  <about_this_file>
    <policy>
      </document_security_policy>
    </policy>
  </about_this_file>
</document_security_policy>
```

→

```
<policy>
  <acc_rule>
    <doc_category>Technical</doc_category>
    <doc_sensitivity>Secret</doc_sensitivity>
  <acl>
    </acc_rule>
    <acc_rule>
      <acc_rule>
        <acc_rule>
          </policy>
```

→

```
<acl>
  <ace>
    <user_group>Technical</user_group>
    <user_level>High or Medium</user_level>
  <operations>
    <name>print</name>
    <requirement>RAD</requirement>
    <requirement>PAC</requirement>
    <requirement>BDP</requirement>
    ...
  </operations>
  <operations>
    <name>read</name>
    <requirement>RAD</requirement>
  </operations>
  <operations>
    <ace>
      <ace>
        <ace>
          </acl>
```

## FIG.48

User name	Password	Category	Level
Ichiro	98q34rah	Technical	Medium
		General	Basic
Taro	Adoijoqer	Human Resource	Top Secret
		General	Basic
•••			

FIG.49

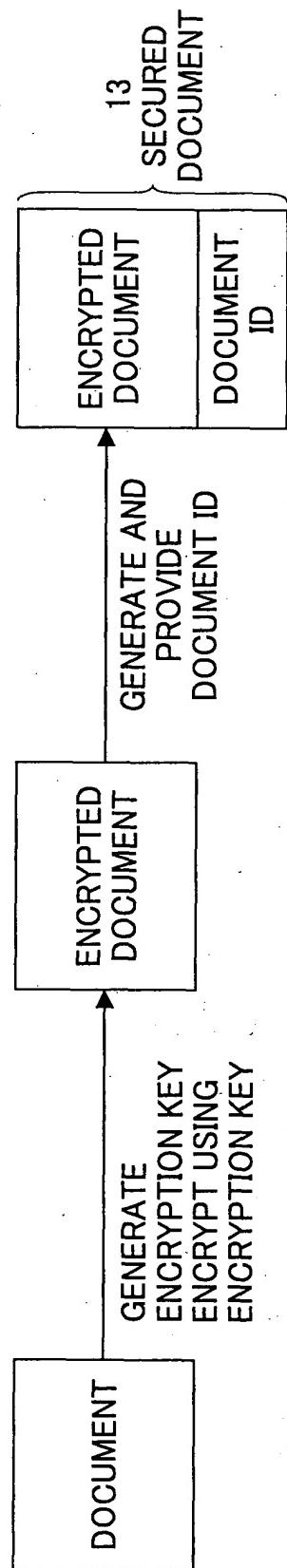
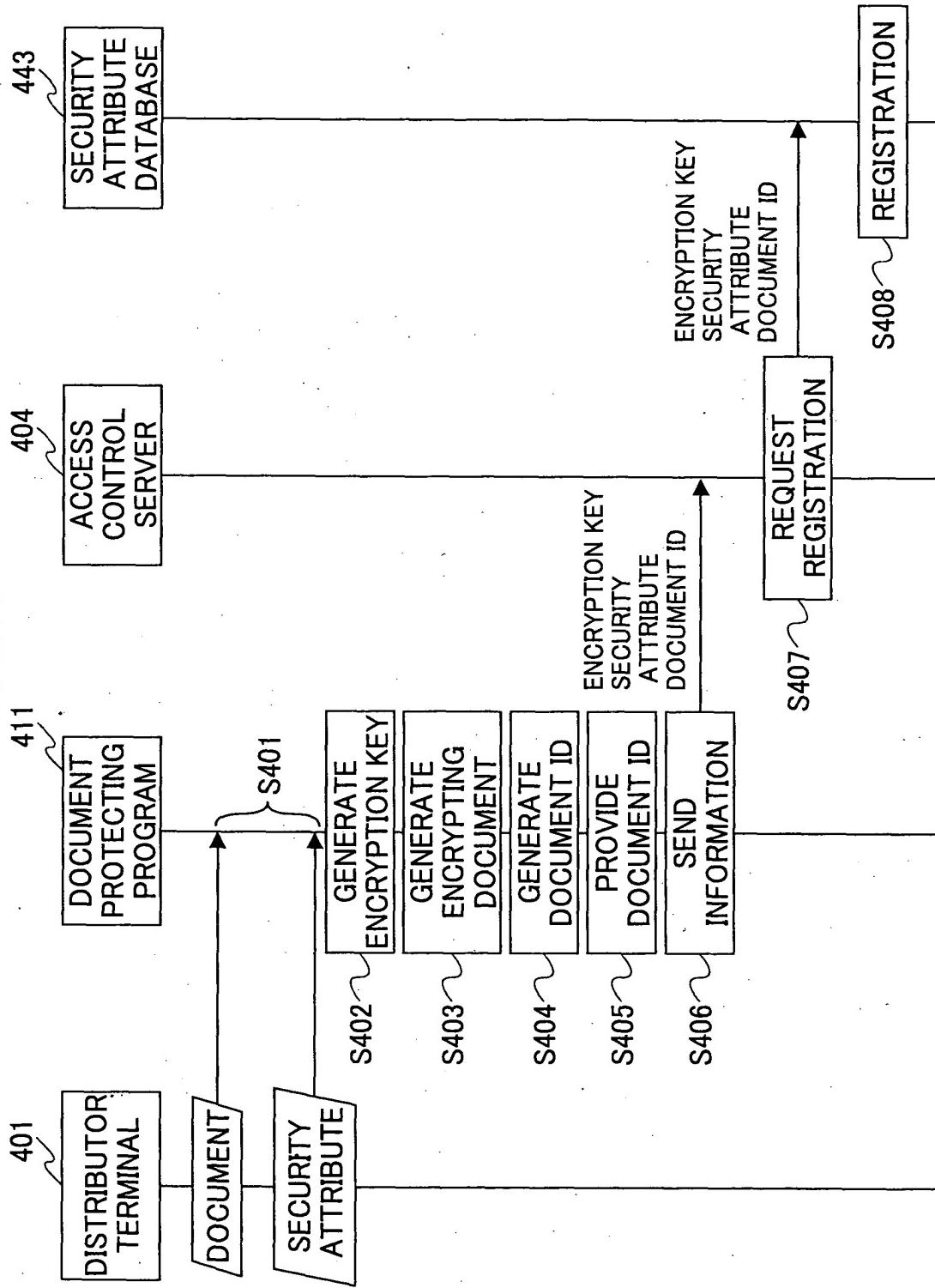


FIG.50



**FIG.51**

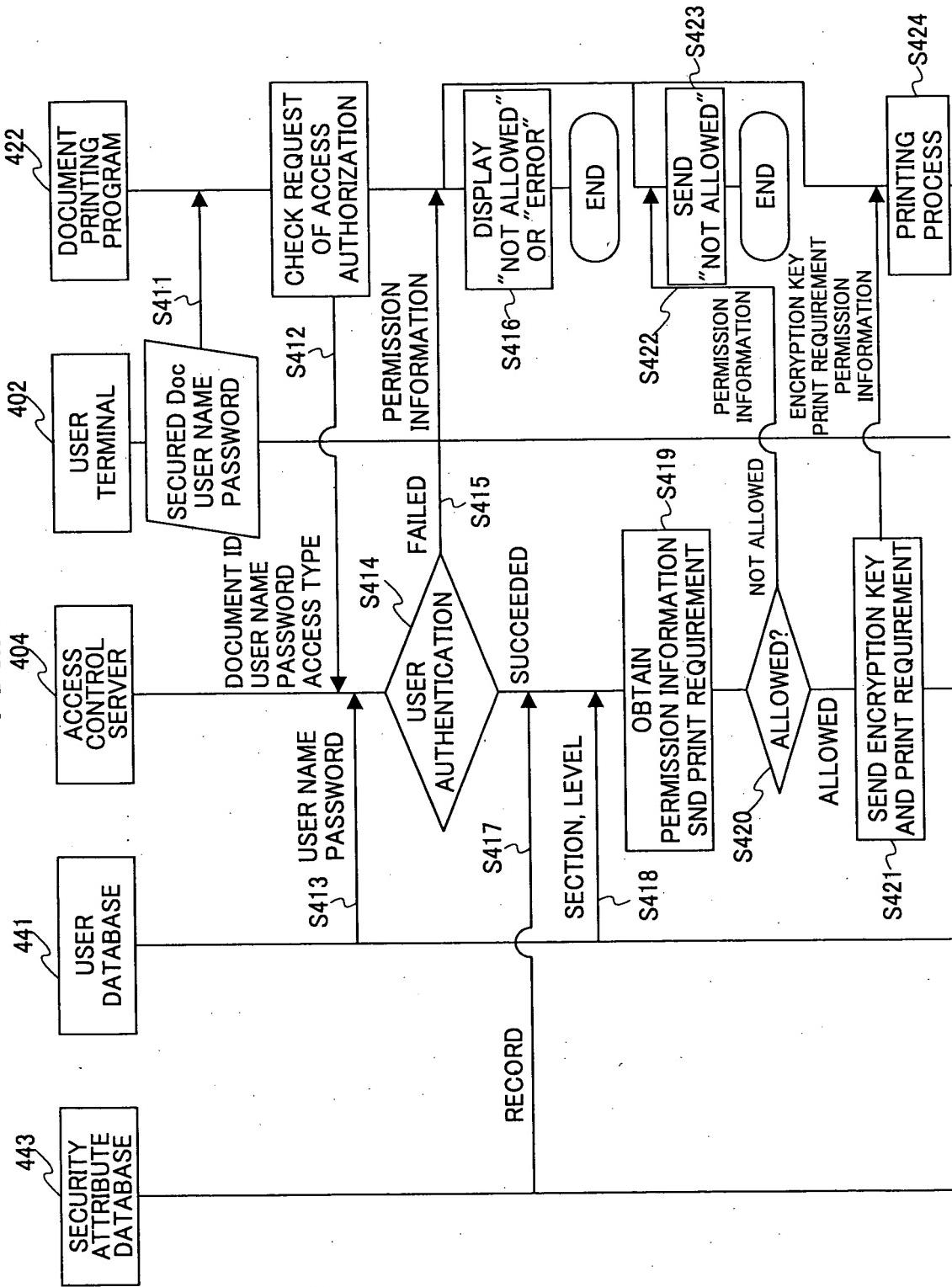
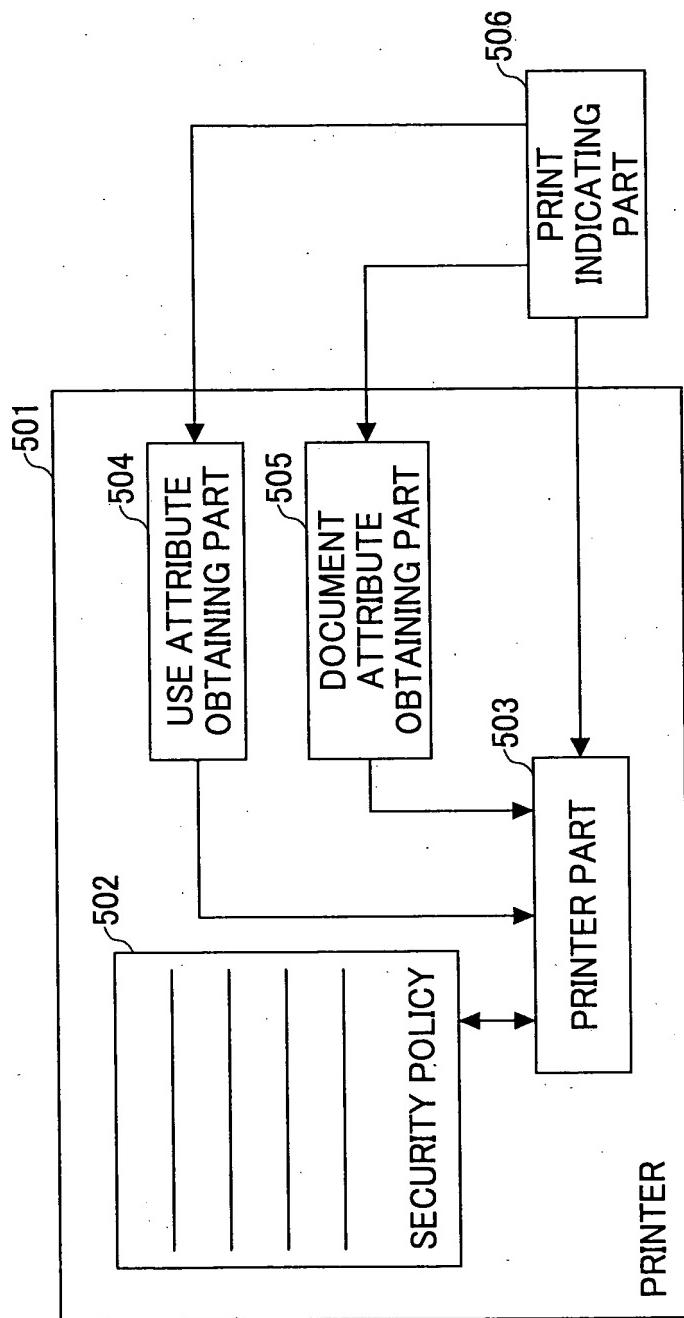


FIG.52



## FIG.53

502

```
<?xml version="1.0" encoding="SHIFT-JIS" ?>
<document_security_policy>
<policy>
  <acc_rule>
    <doc_category>ANY</doc_category>
    <doc_security_level>basic</doc_security_level>
    <acl>
      <ace>
        <user_category>ANY</user_category>
        <user_security_level>ANY</user_security_level>
        <operation>
          <name>print</name>
          <allowed/><!-- allowed without any requirement -->
        </operation>
      </ace>
    </acl>
  </acc_rule>
  <doc_category>ANY</doc_category>
  <doc_security_level>high</doc_security_level>
  <acl>
    <ace>
      <user_category>DOC-CATEGORY</user_category>
      <user_security_level>ANY</user_security_level>
      <operation>
        <name>print</name>
        <requirement>audit</requirement>
        <requirement>embed_trace_info</requirement>
      </operation>
    </ace>
  </acl>
</acc_rule>
</policy>
```

FIG.54

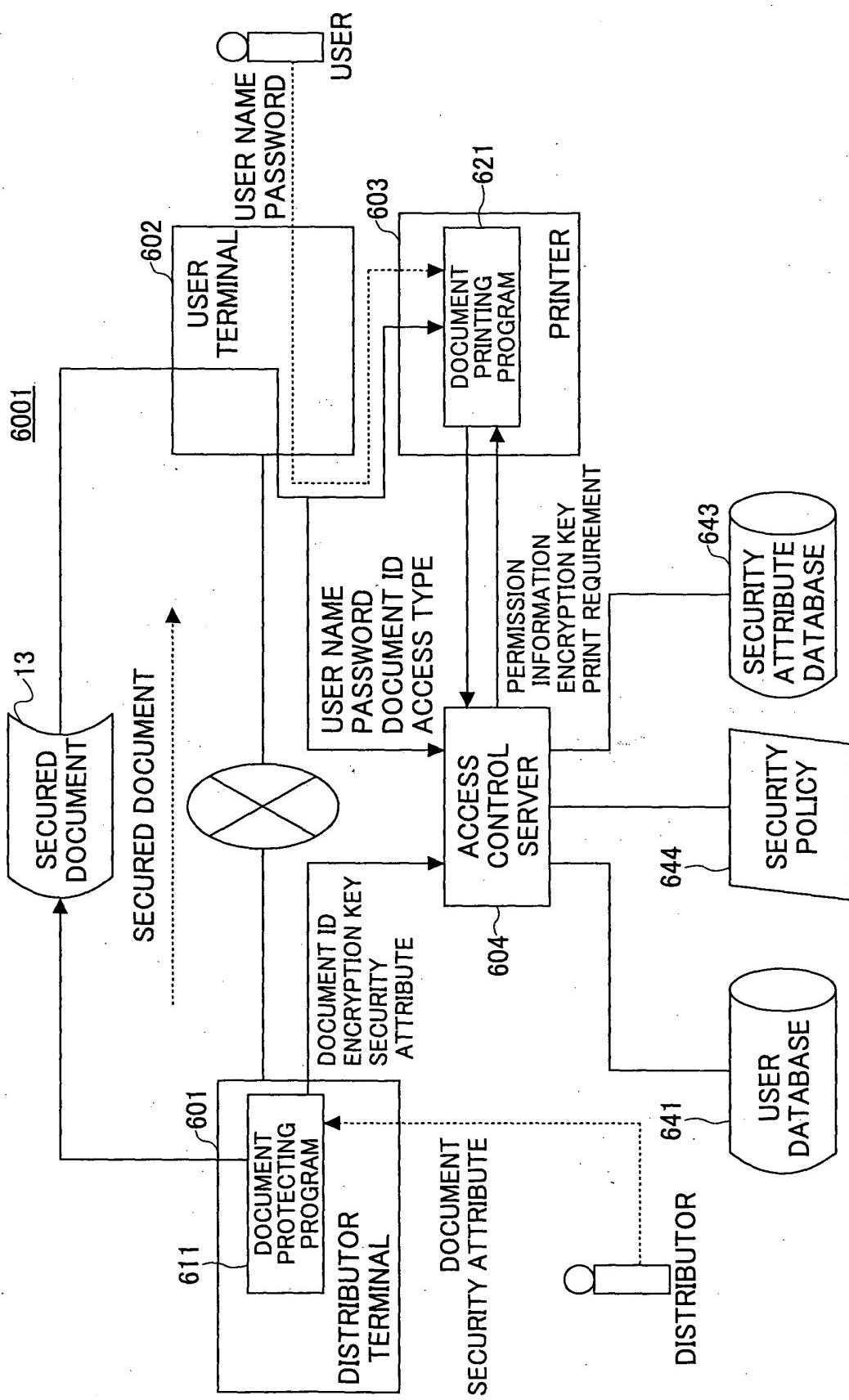


FIG.55

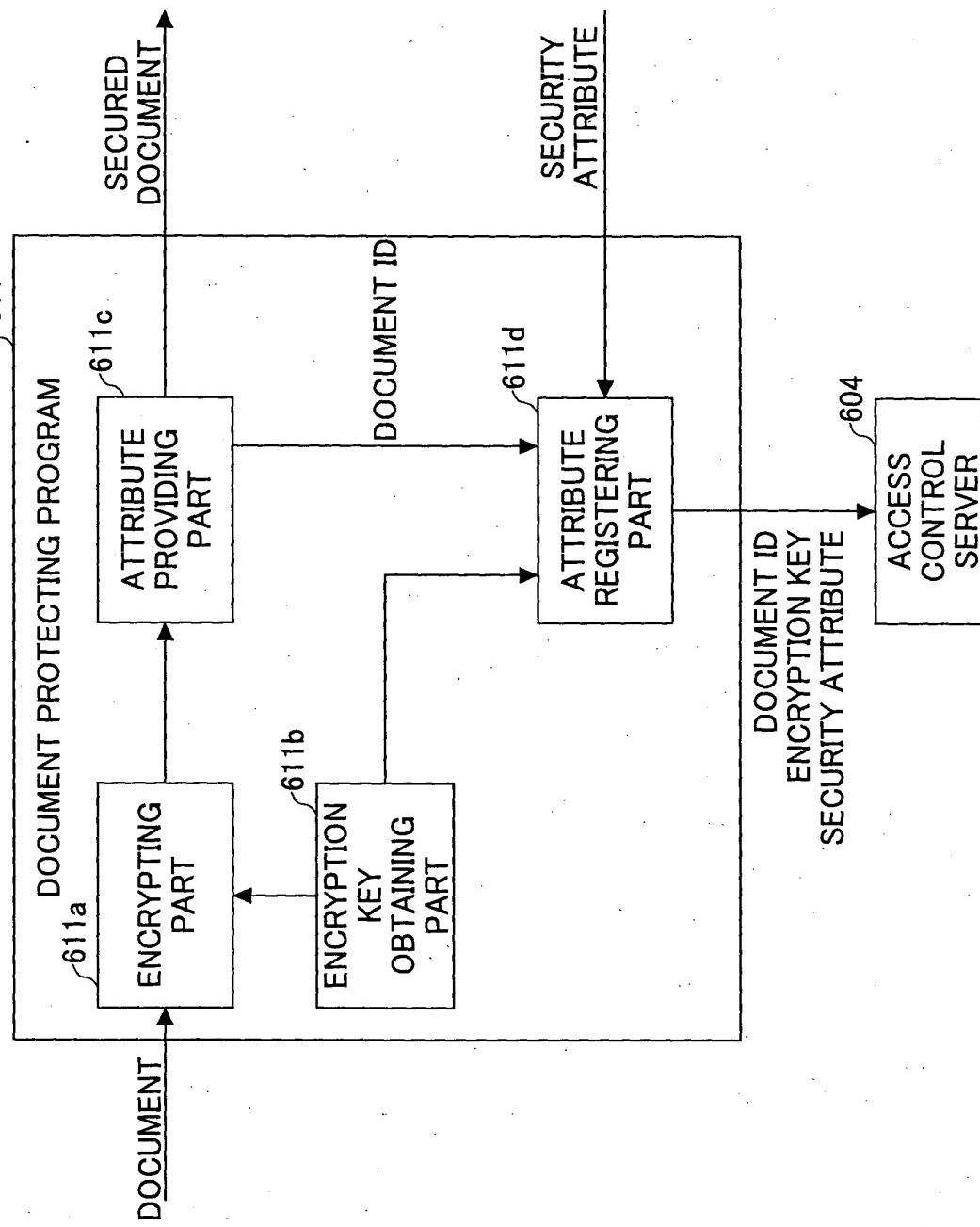


FIG. 56

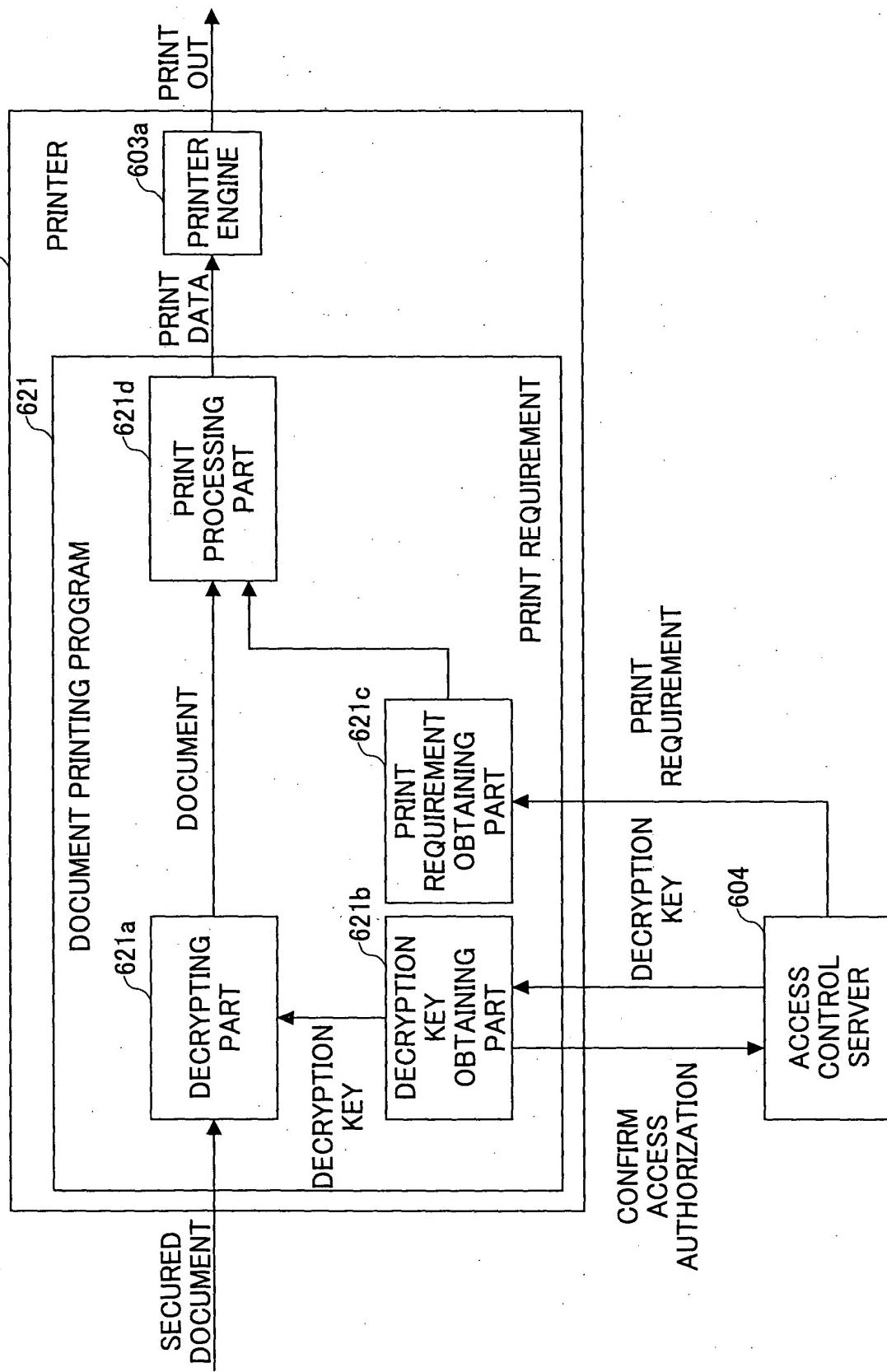


FIG.57

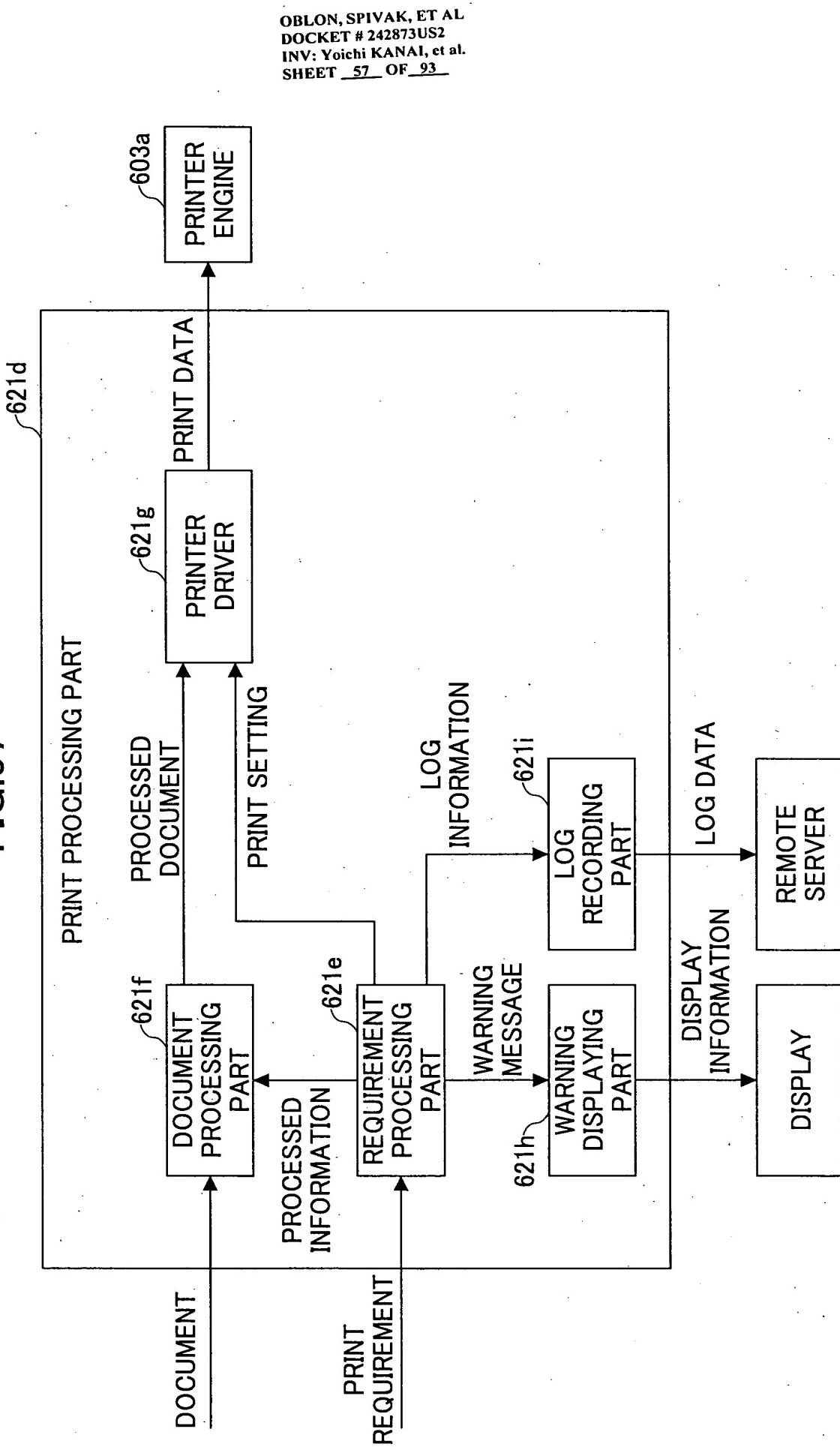


FIG.58

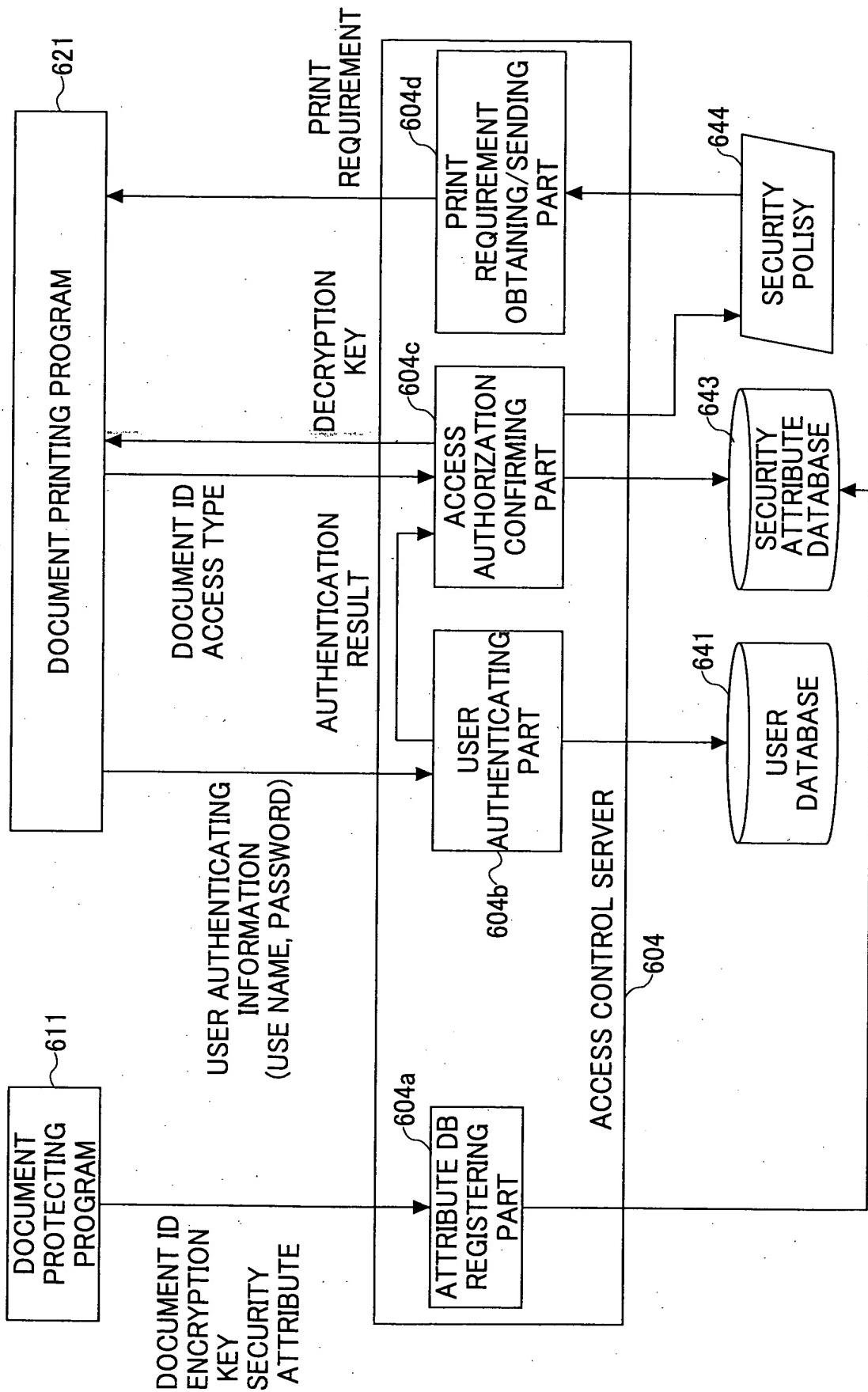


FIG.59

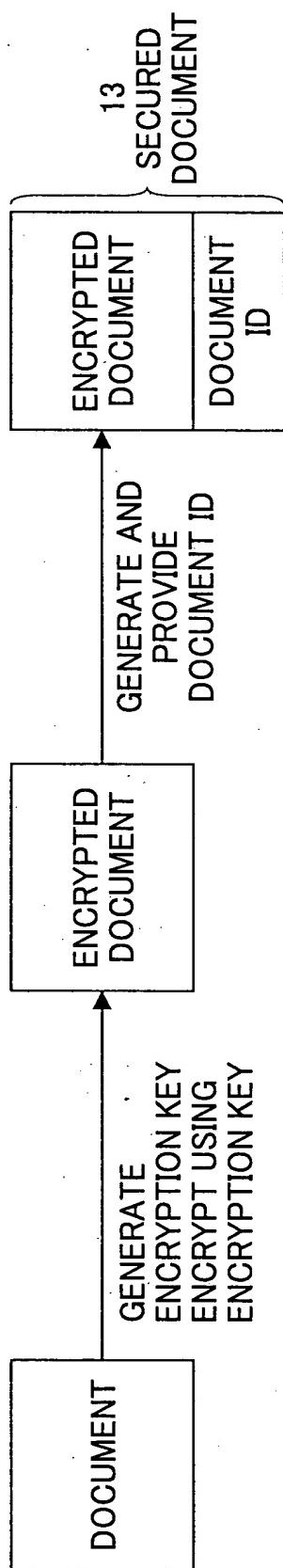


FIG.60

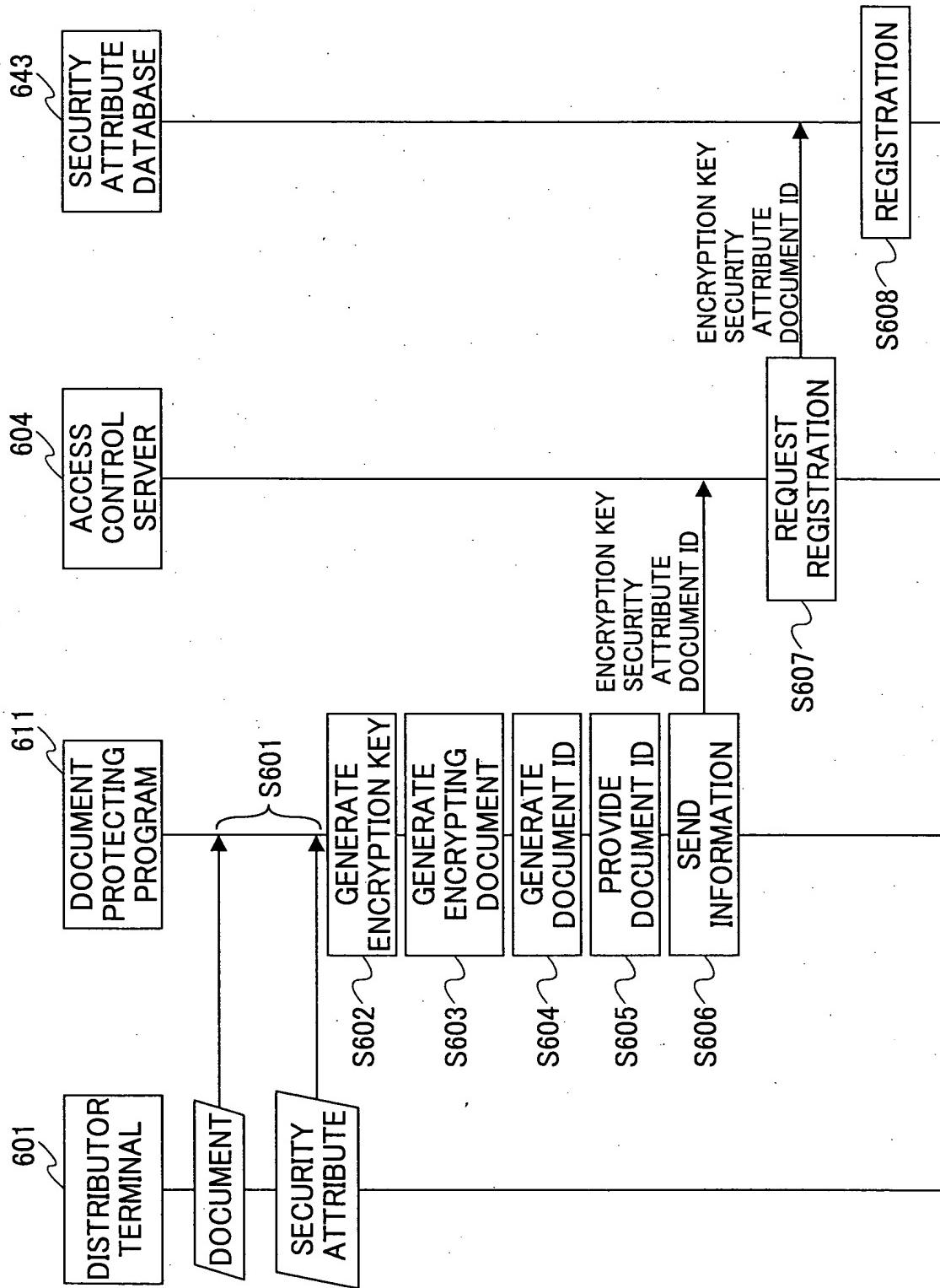


FIG. 61

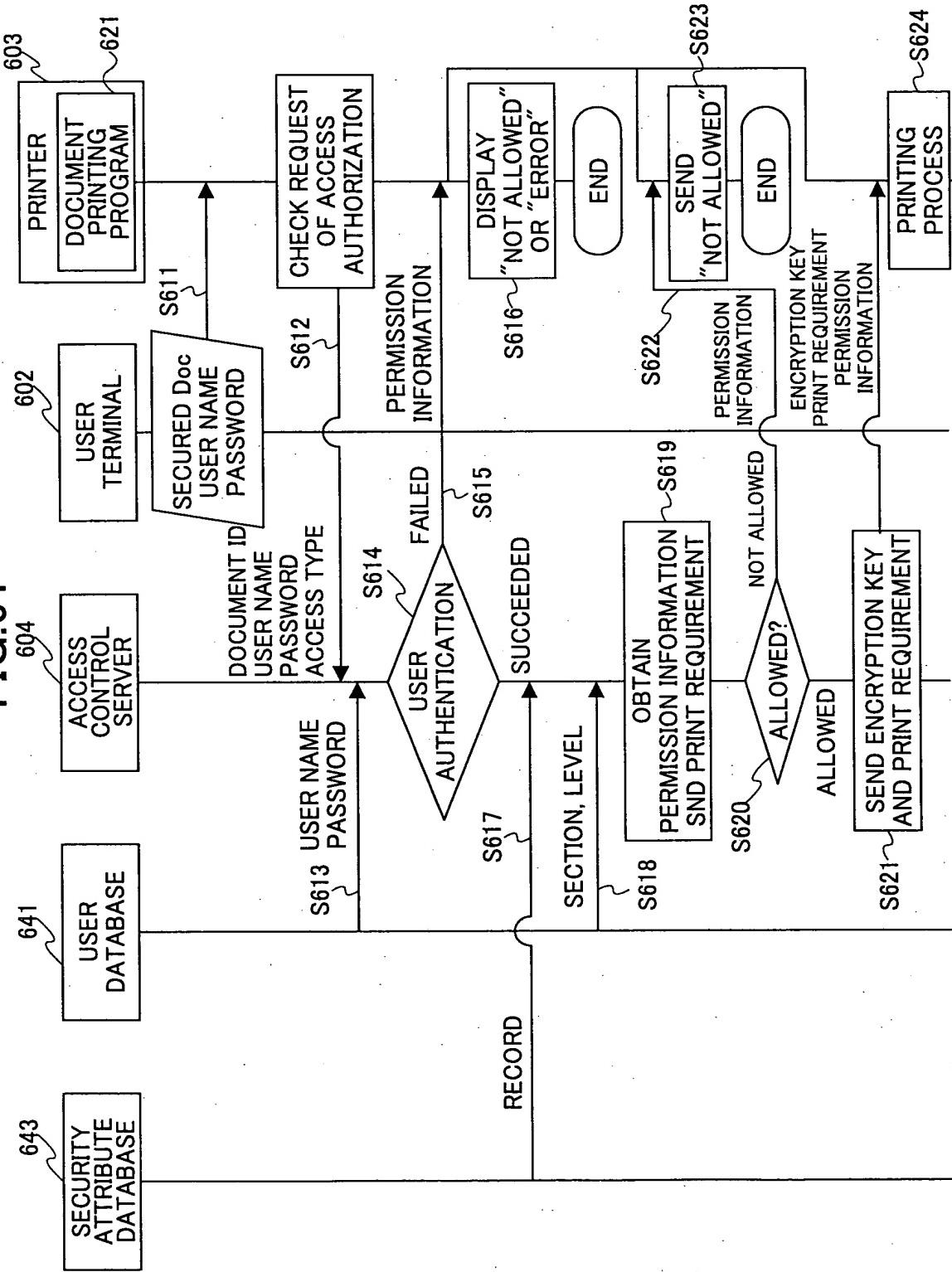


FIG.62

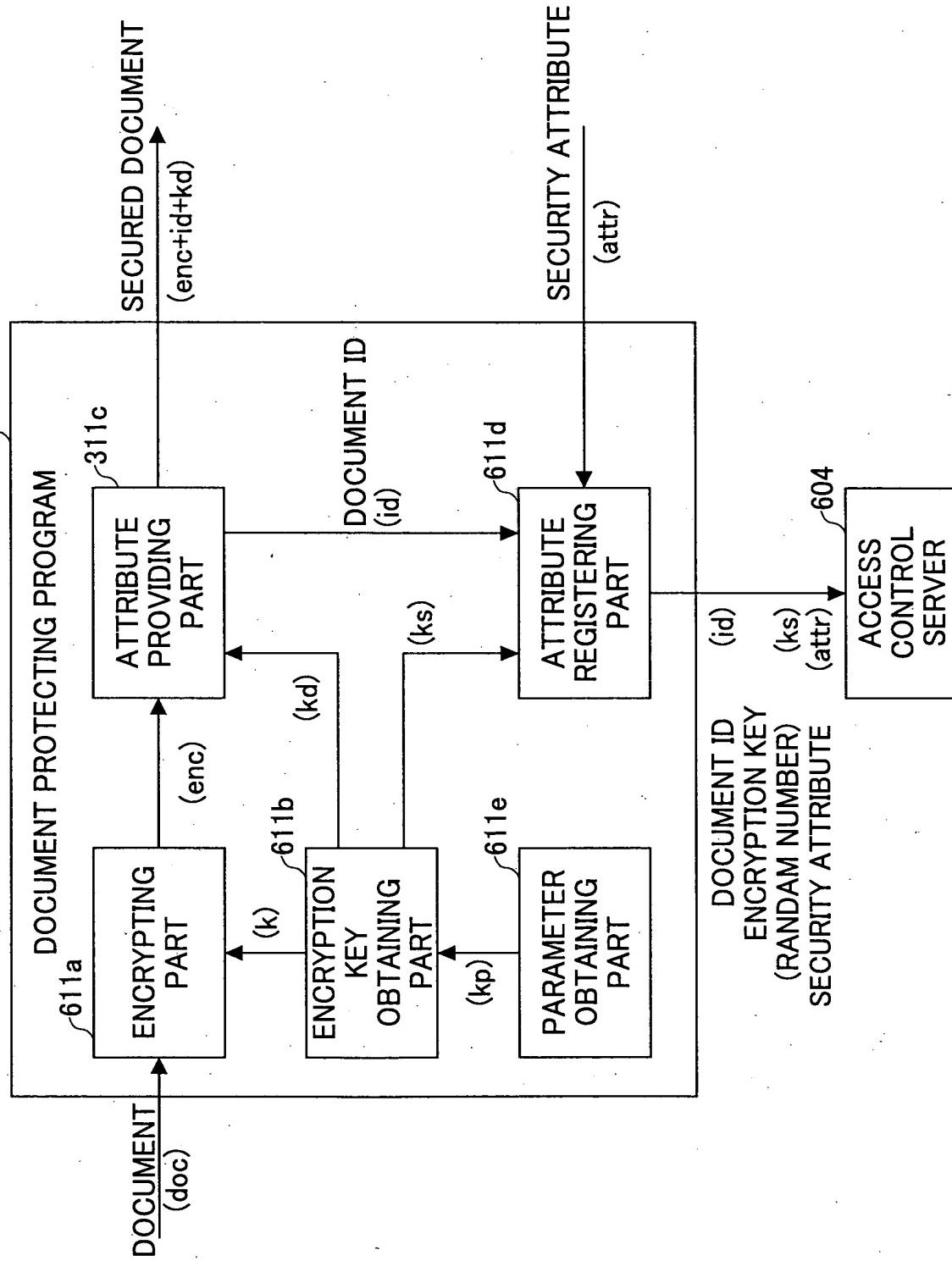


FIG.63

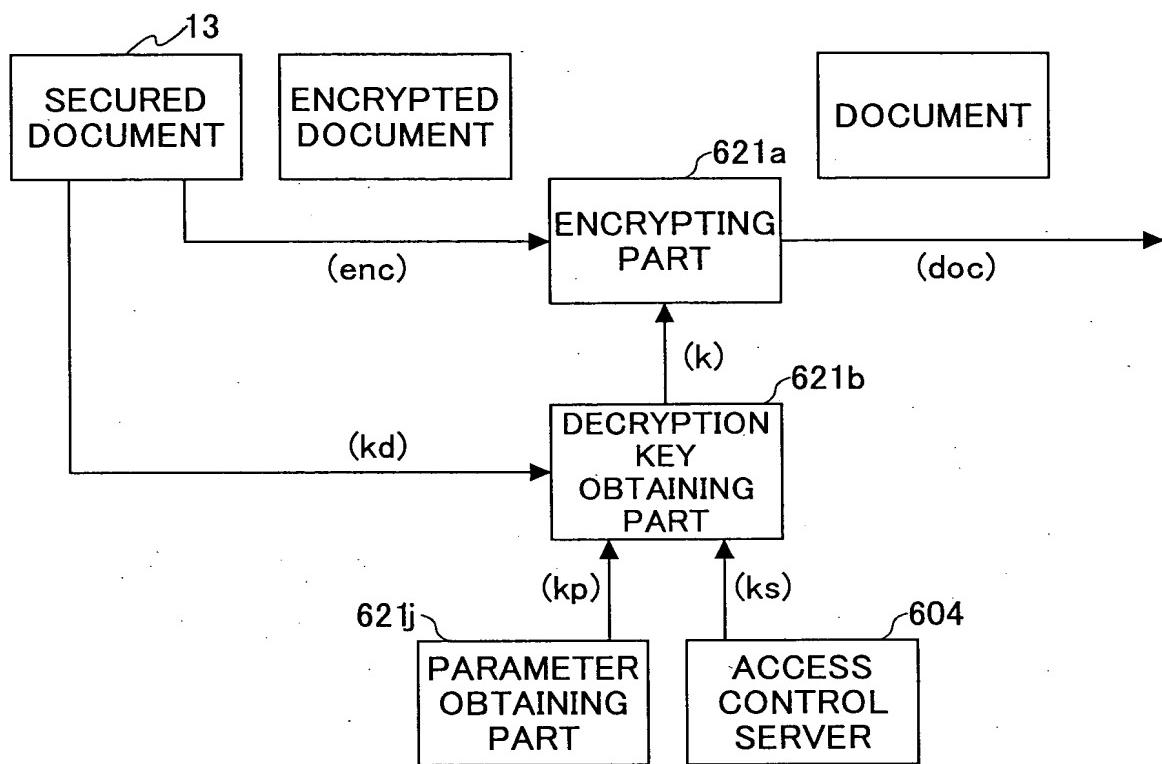


FIG.64

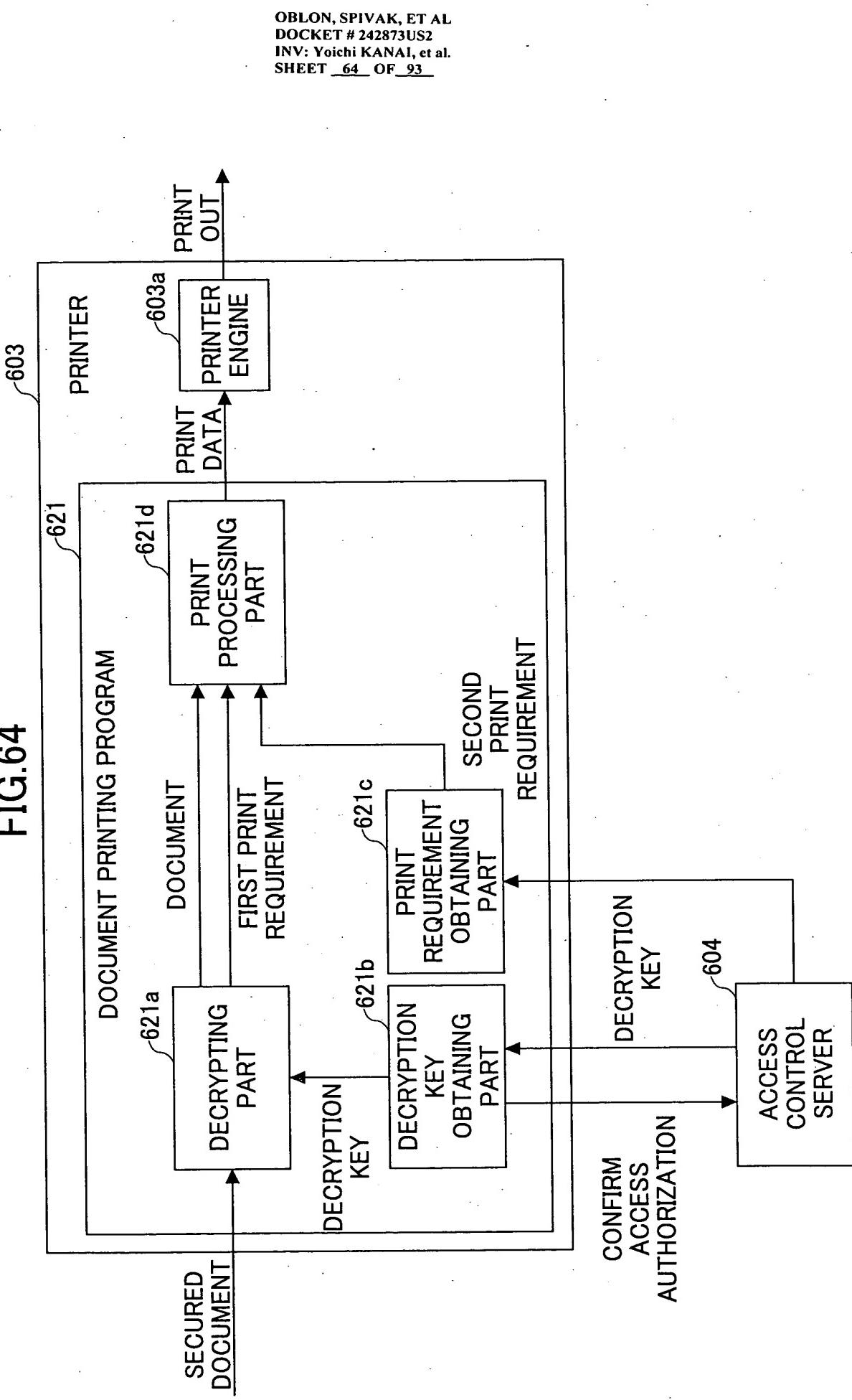


FIG. 65

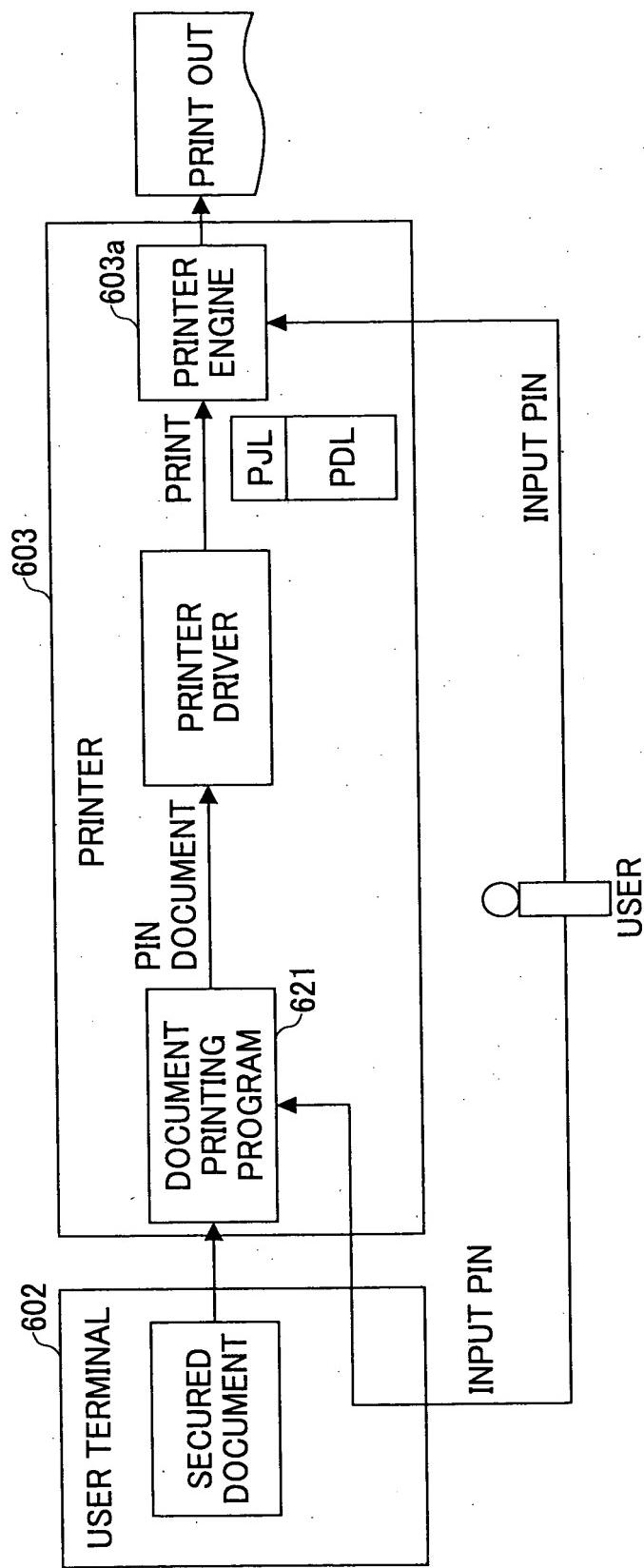


FIG.66A

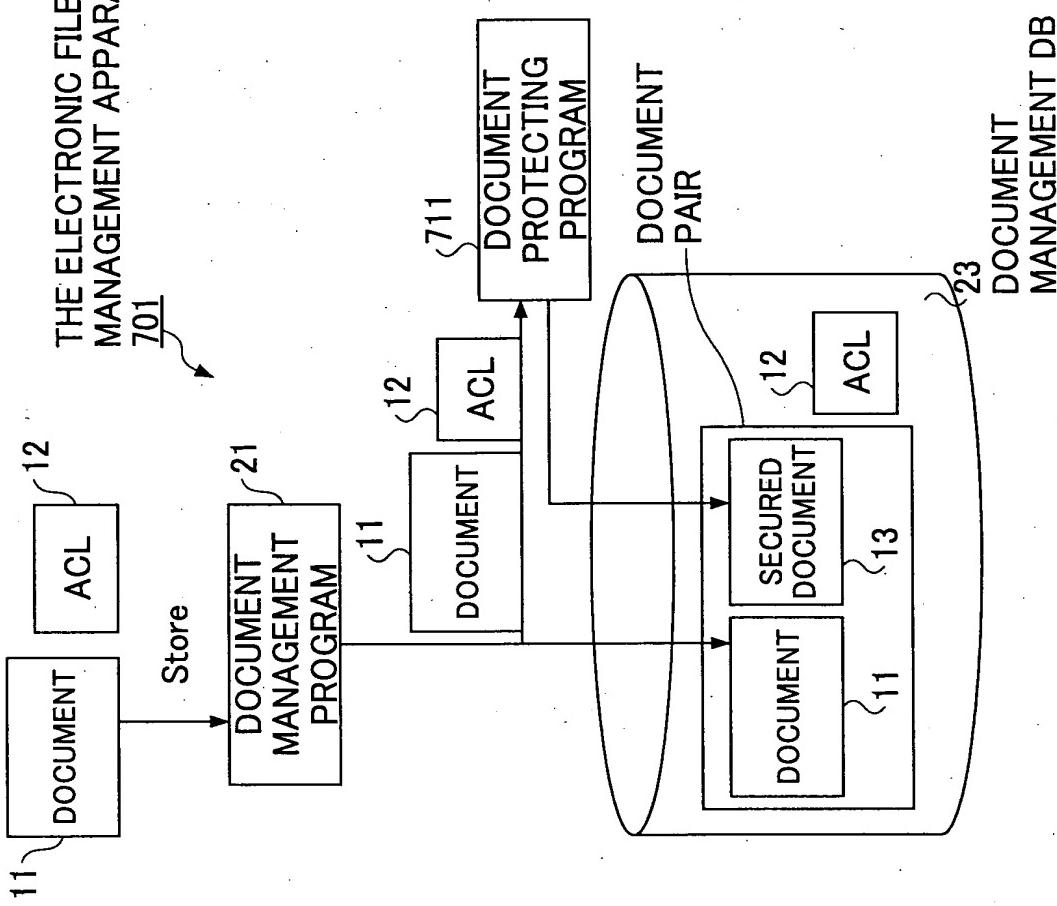


FIG.66B

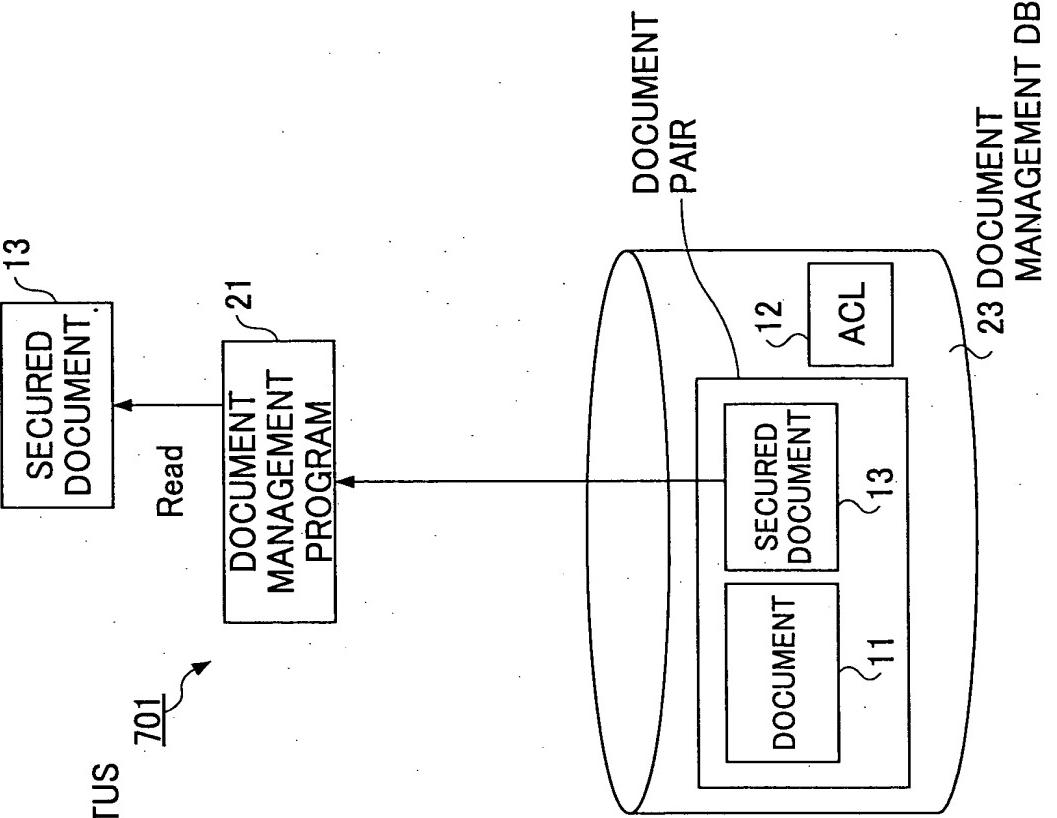


FIG.67

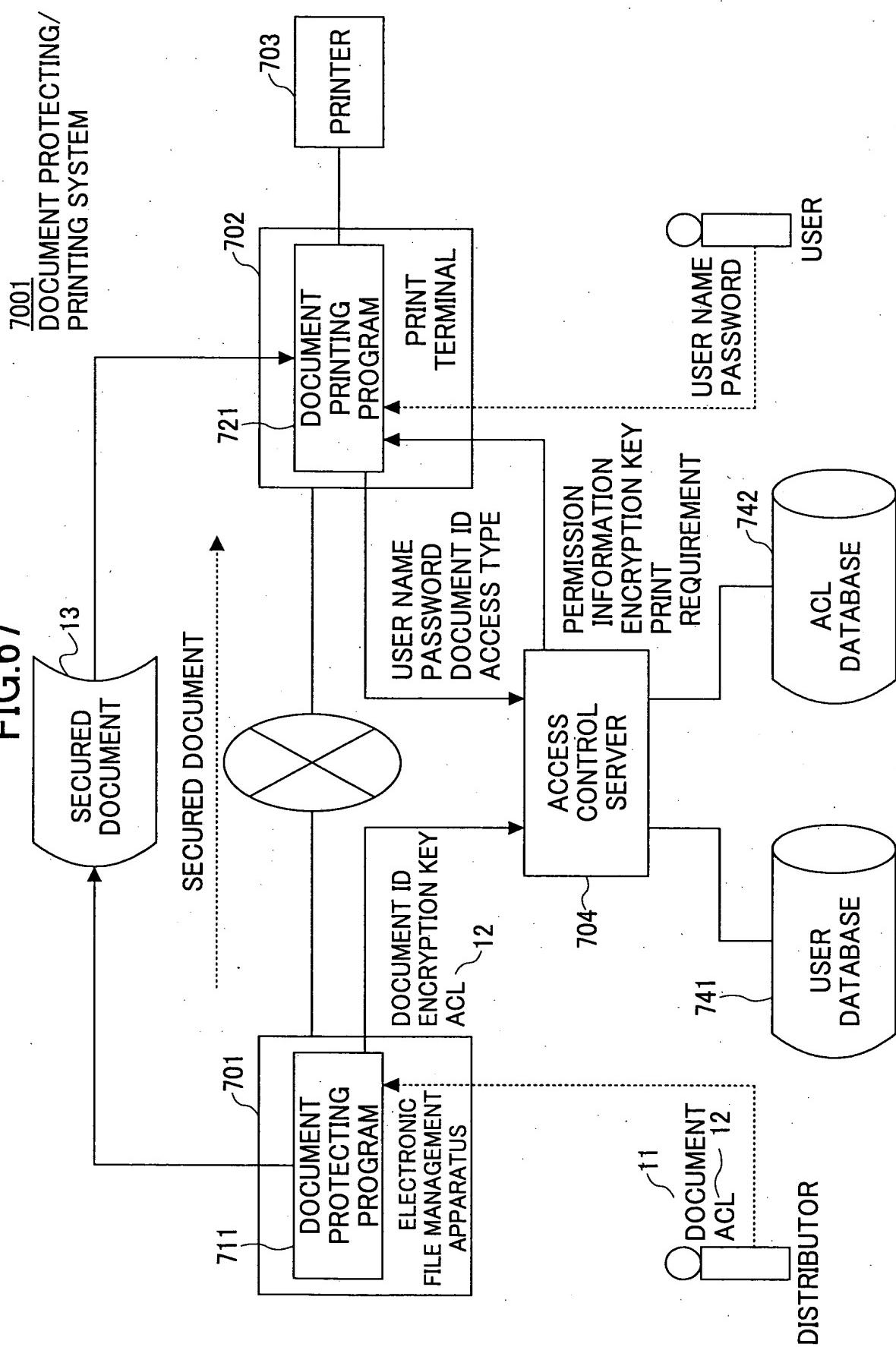


FIG. 68

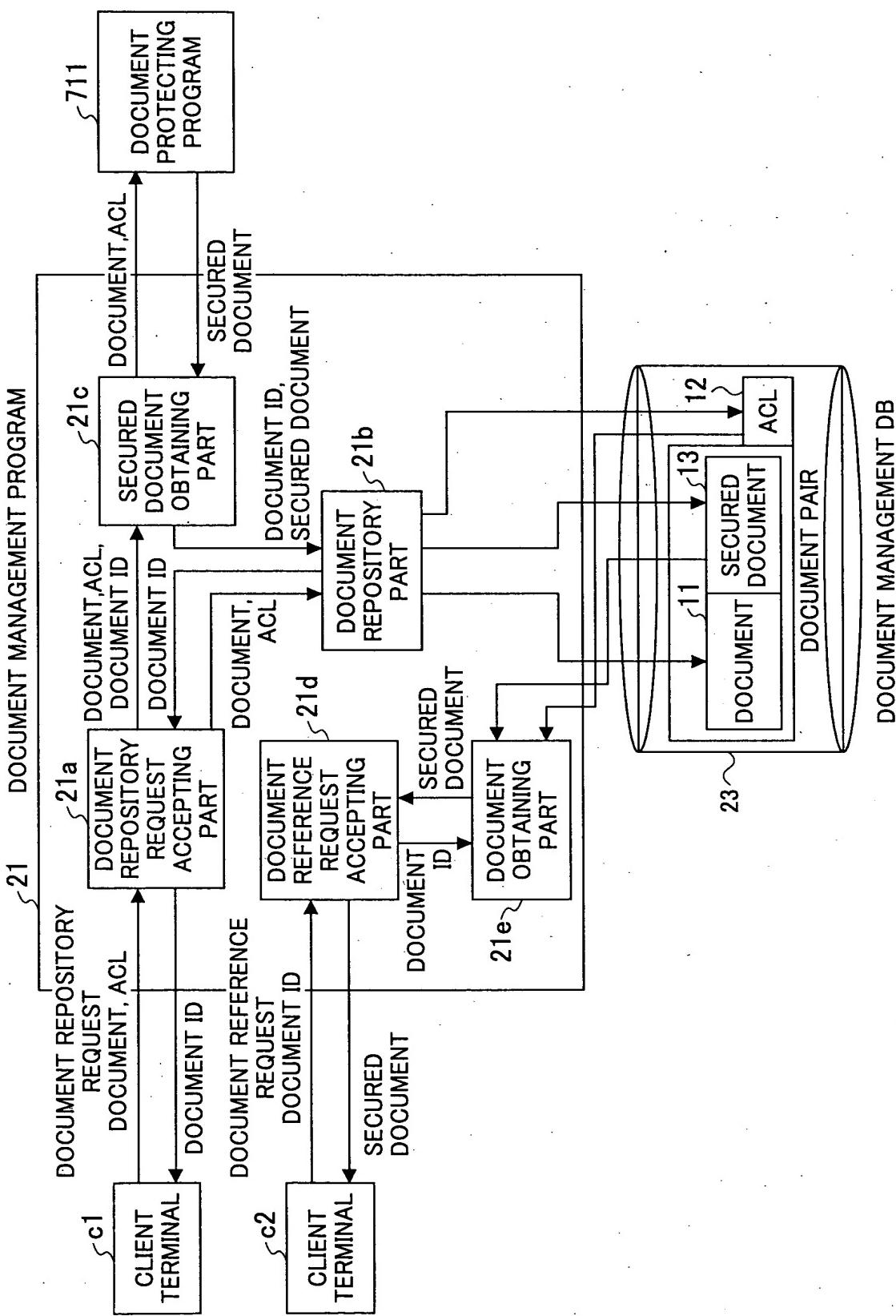
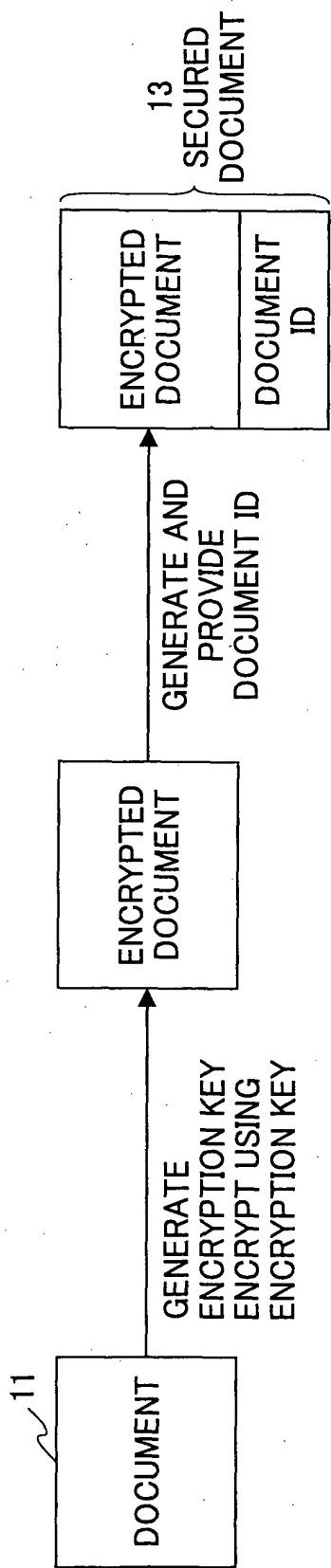
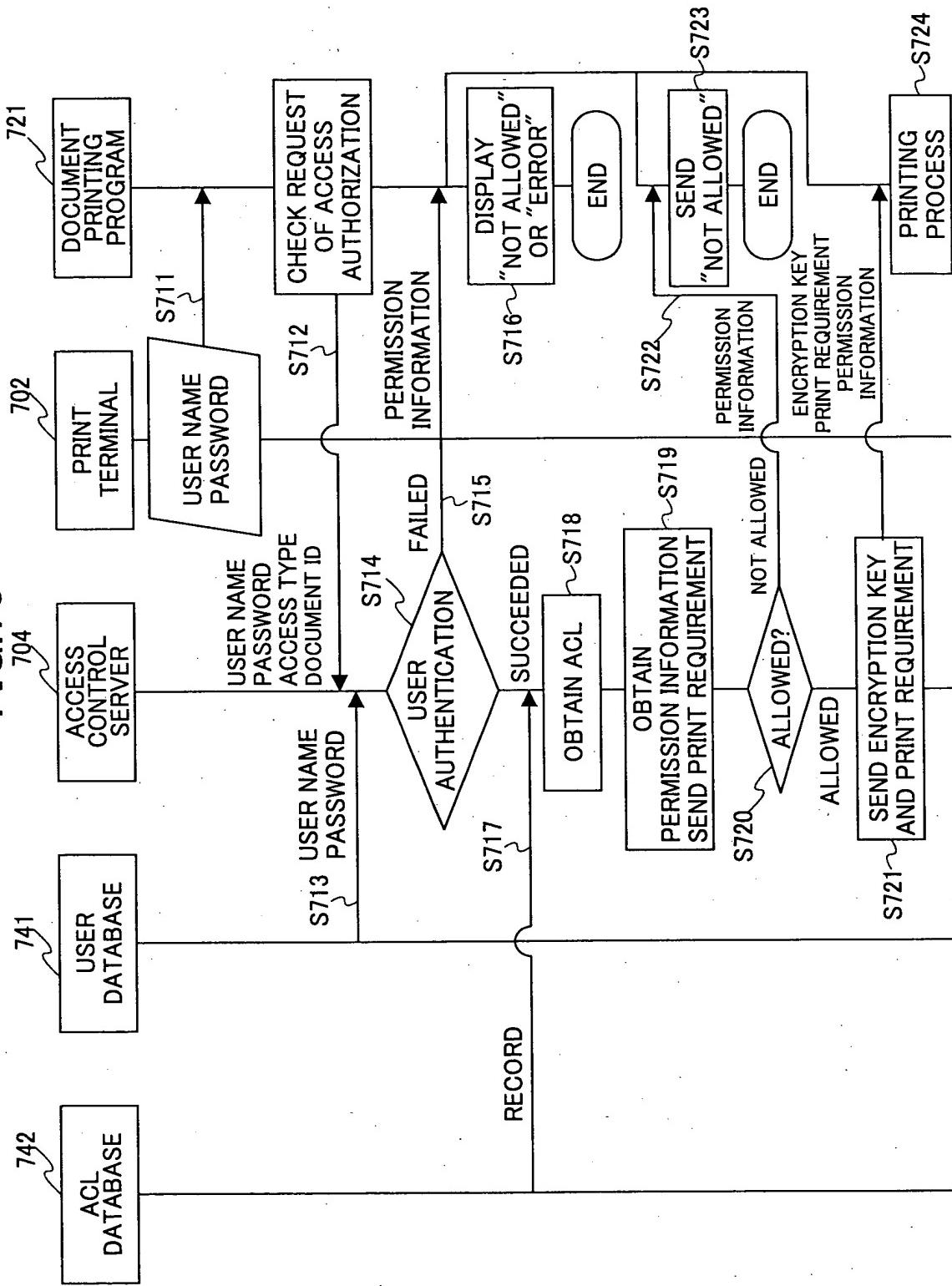


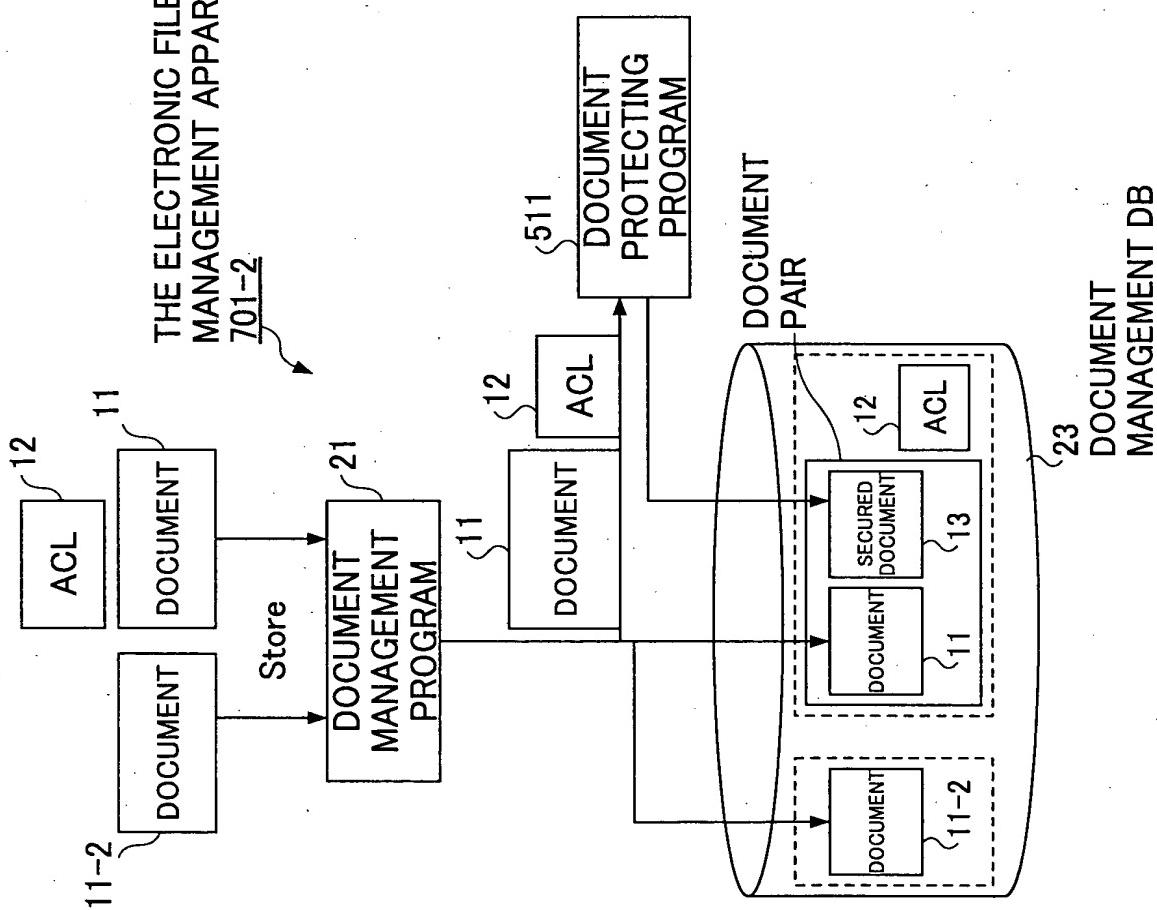
FIG.69



**FIG. 70**



**FIG.71A**



**FIG.71B**

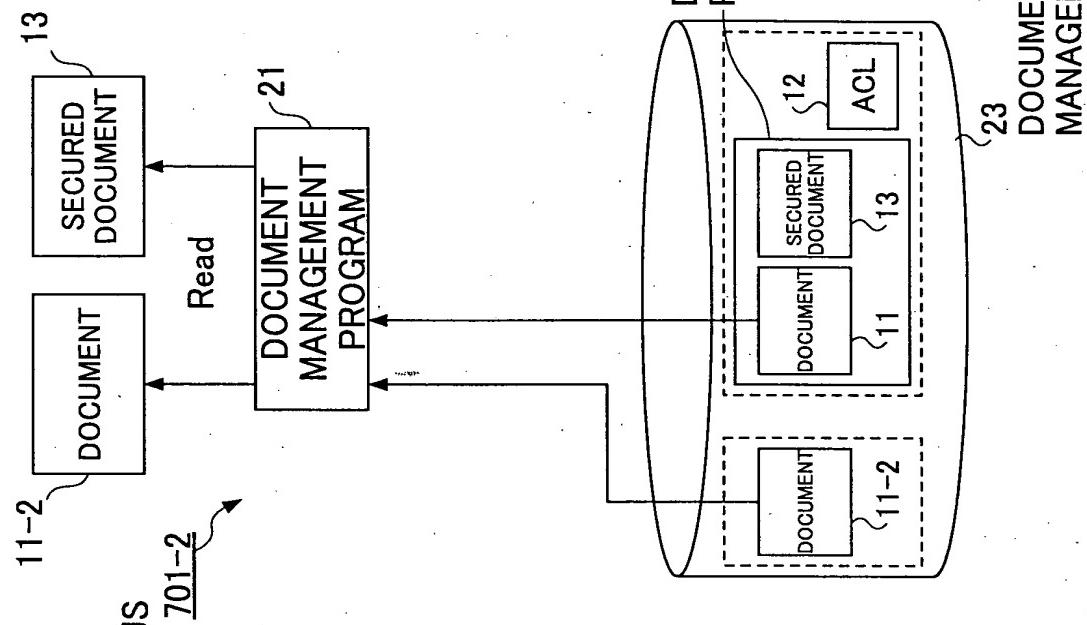


FIG.72B

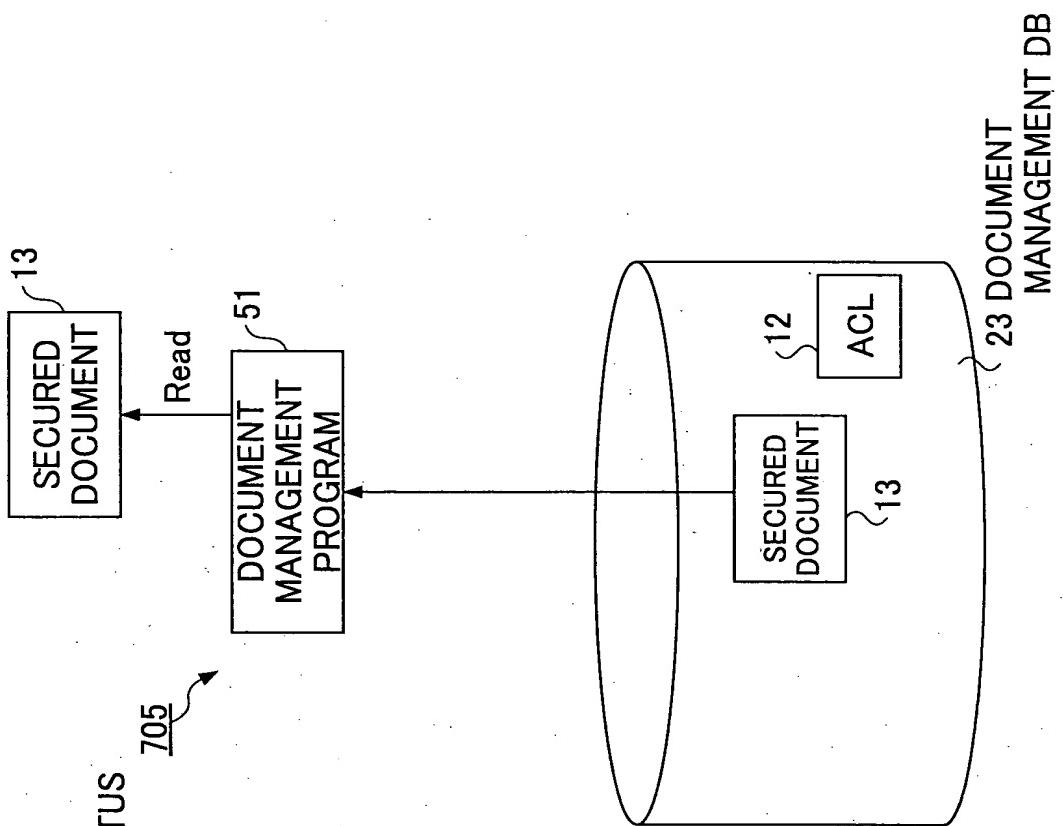


FIG.72A

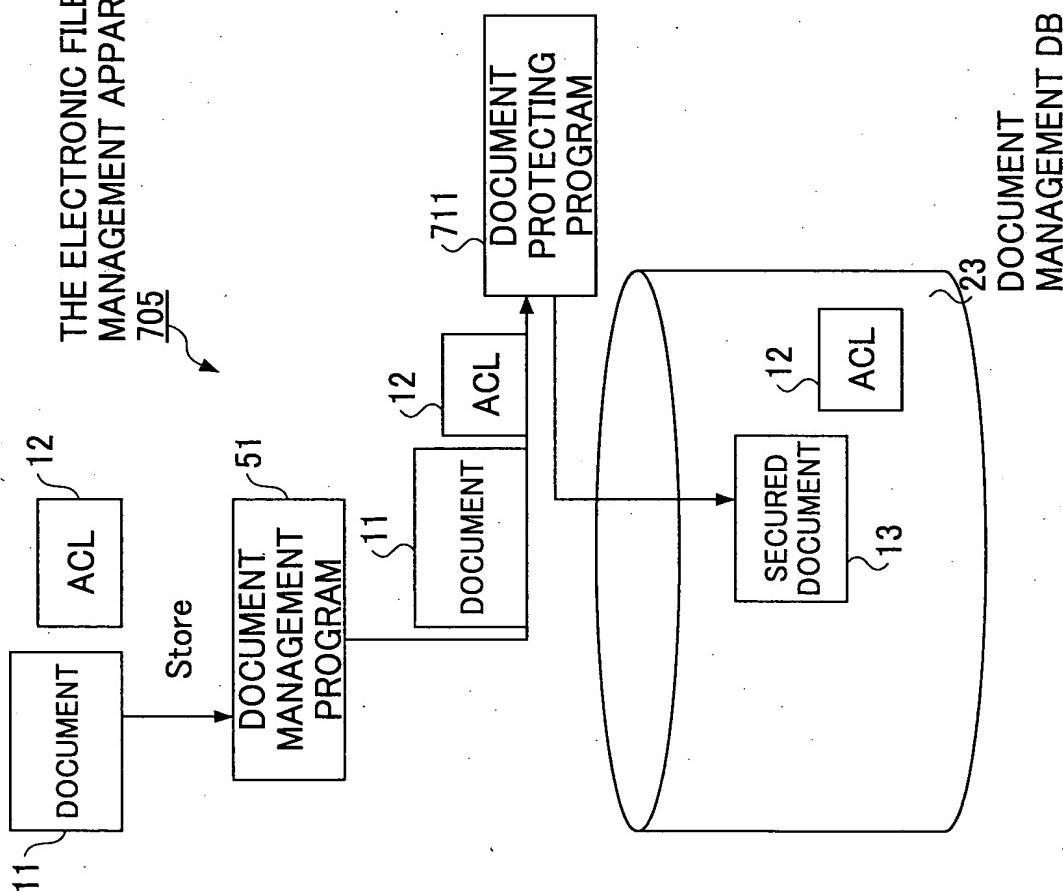


FIG.73A

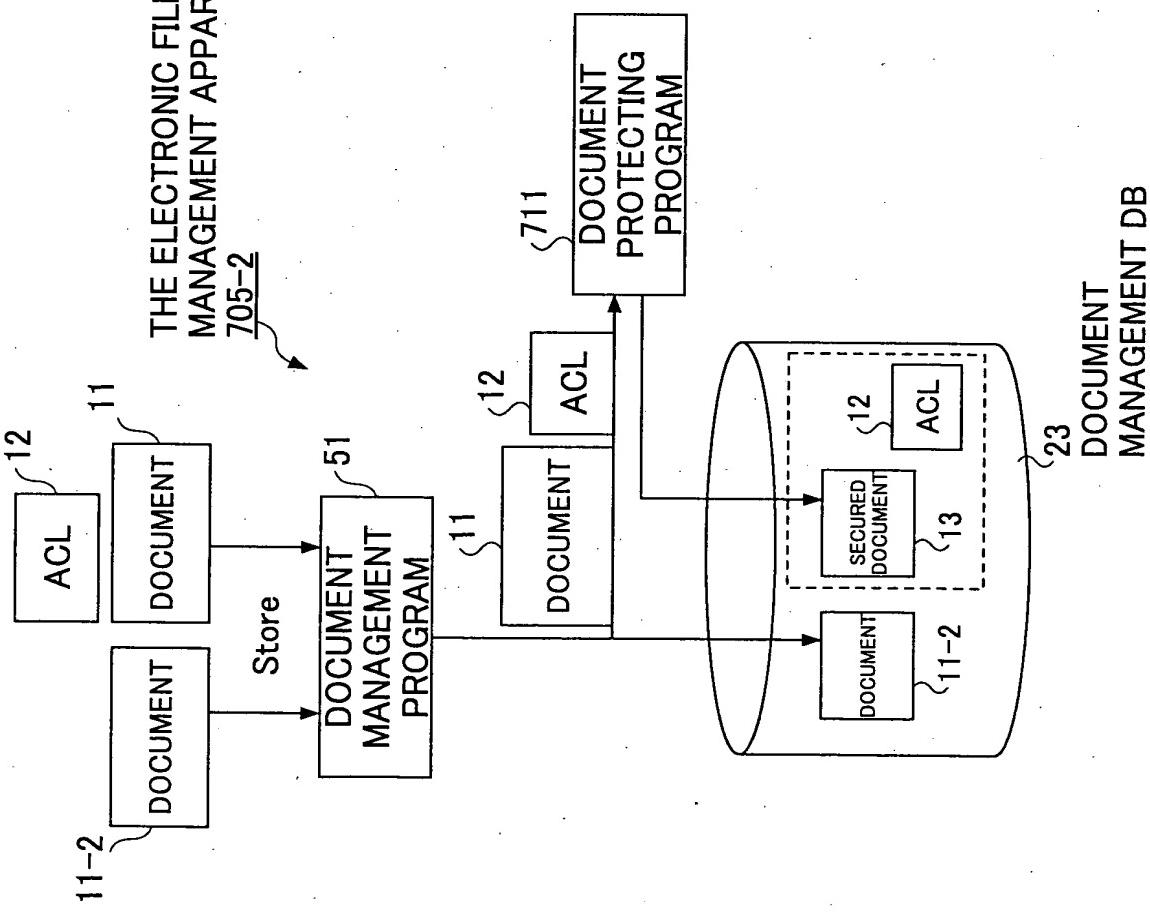
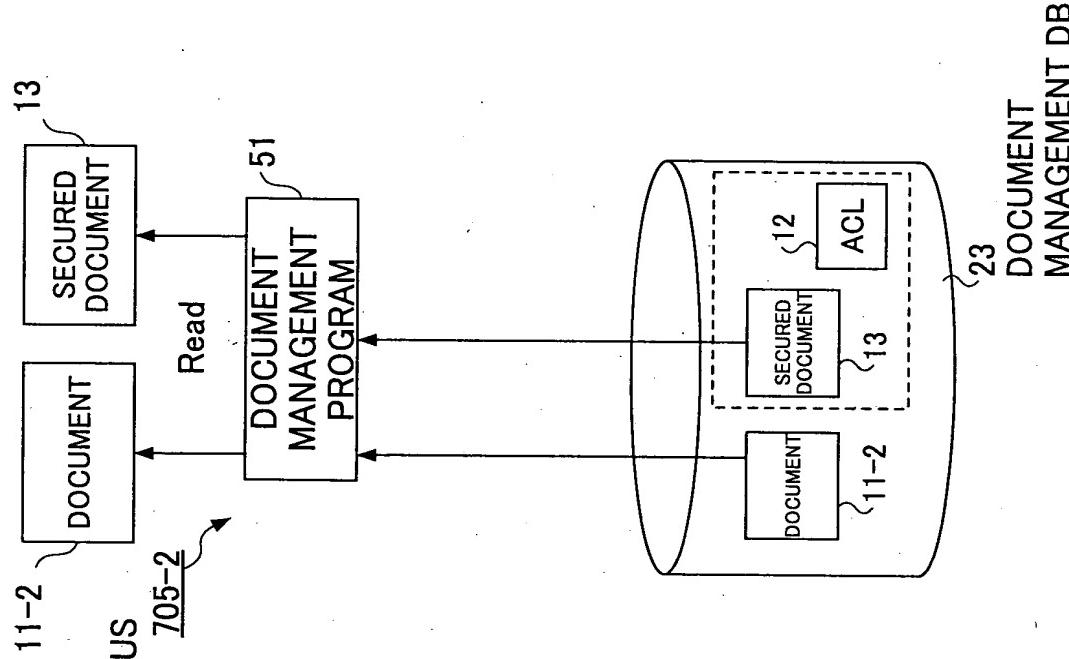


FIG.73B



**FIG. 74**

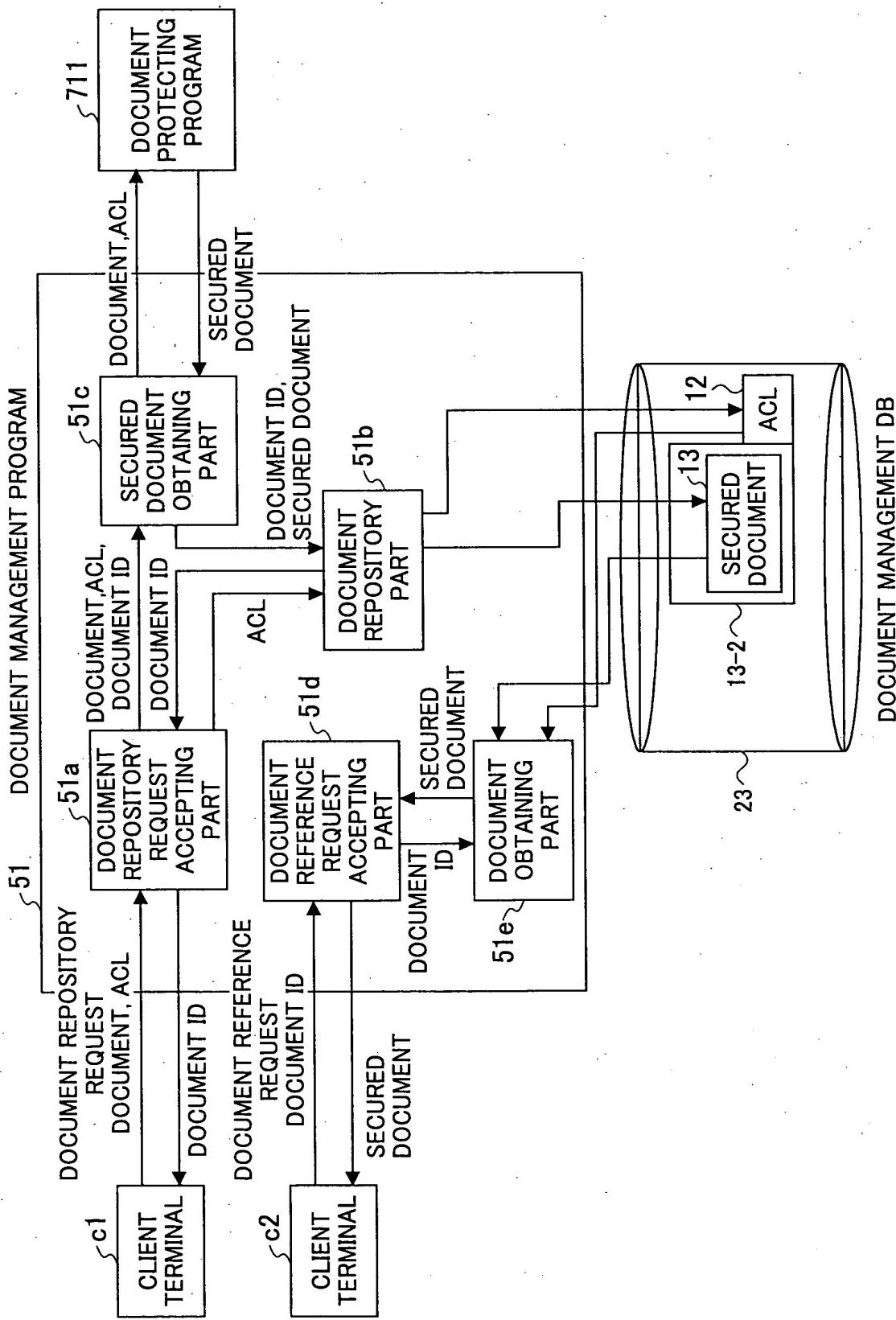


FIG.75A

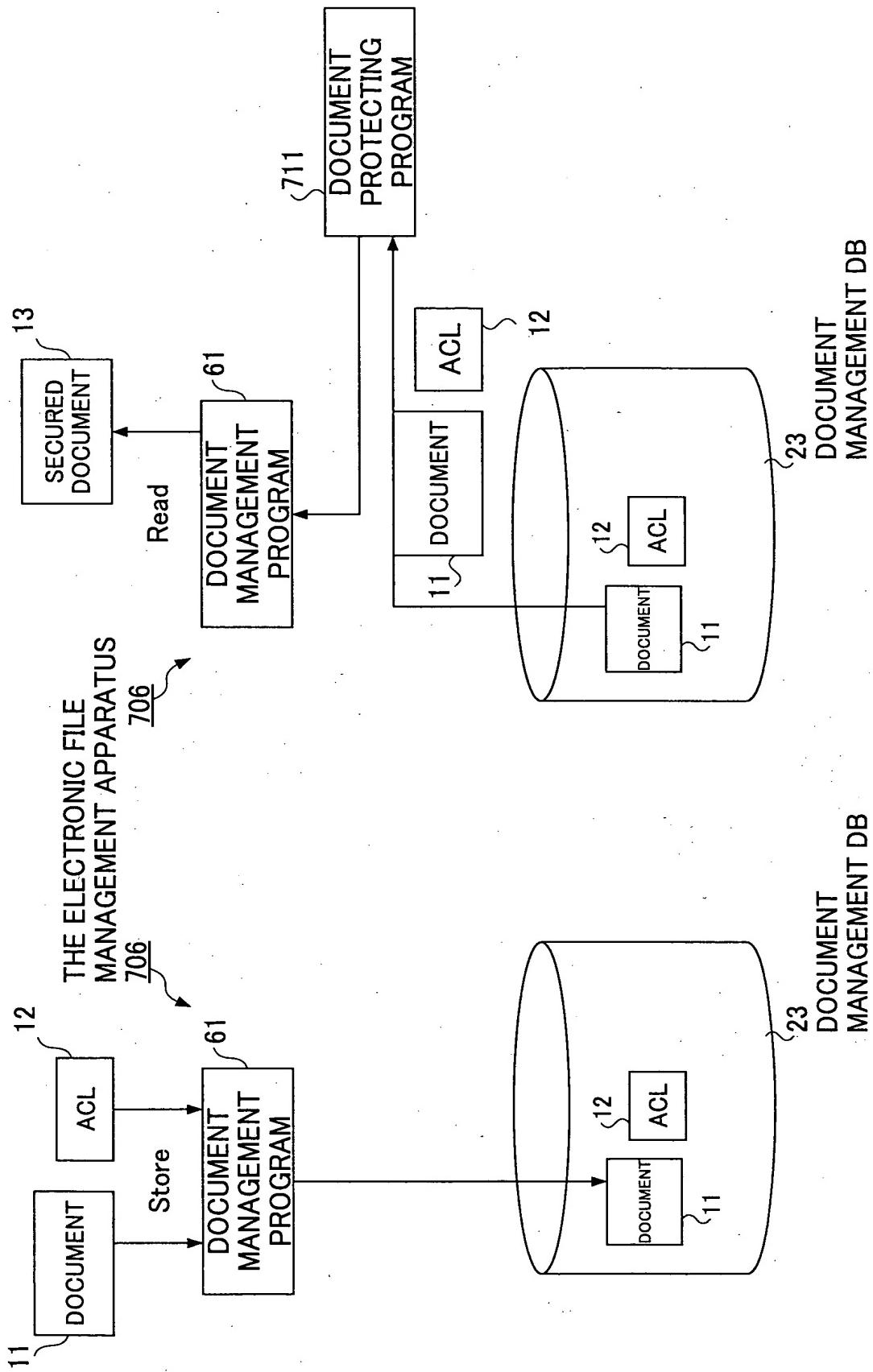


FIG.75B

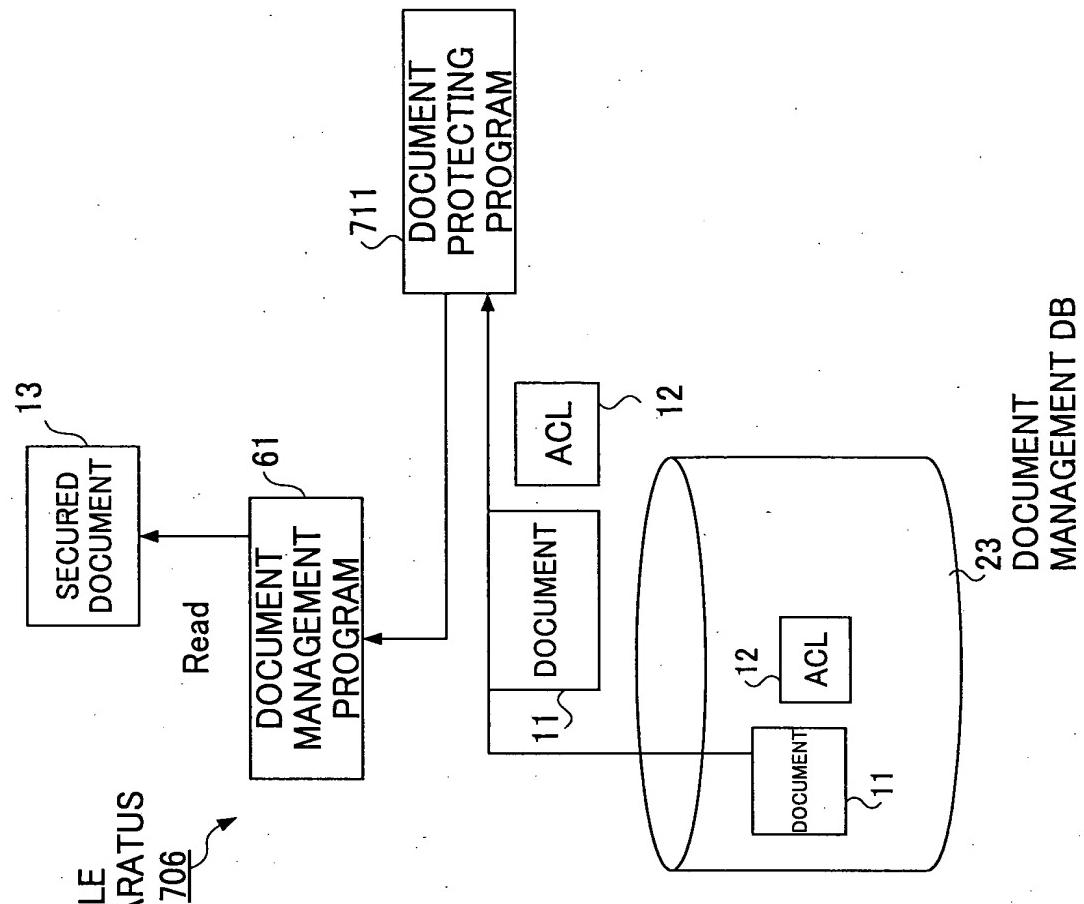


FIG. 76A

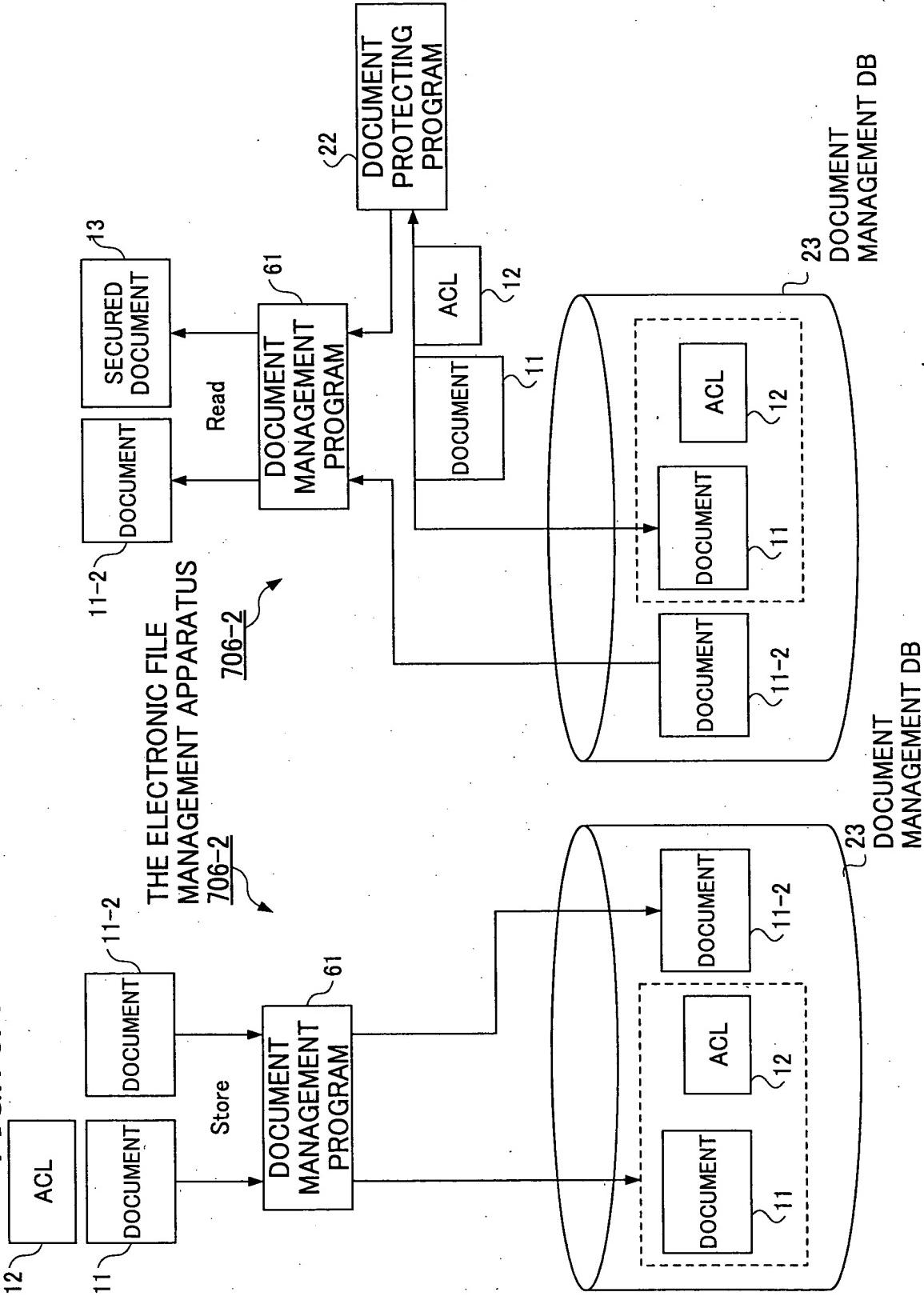


FIG. 76B

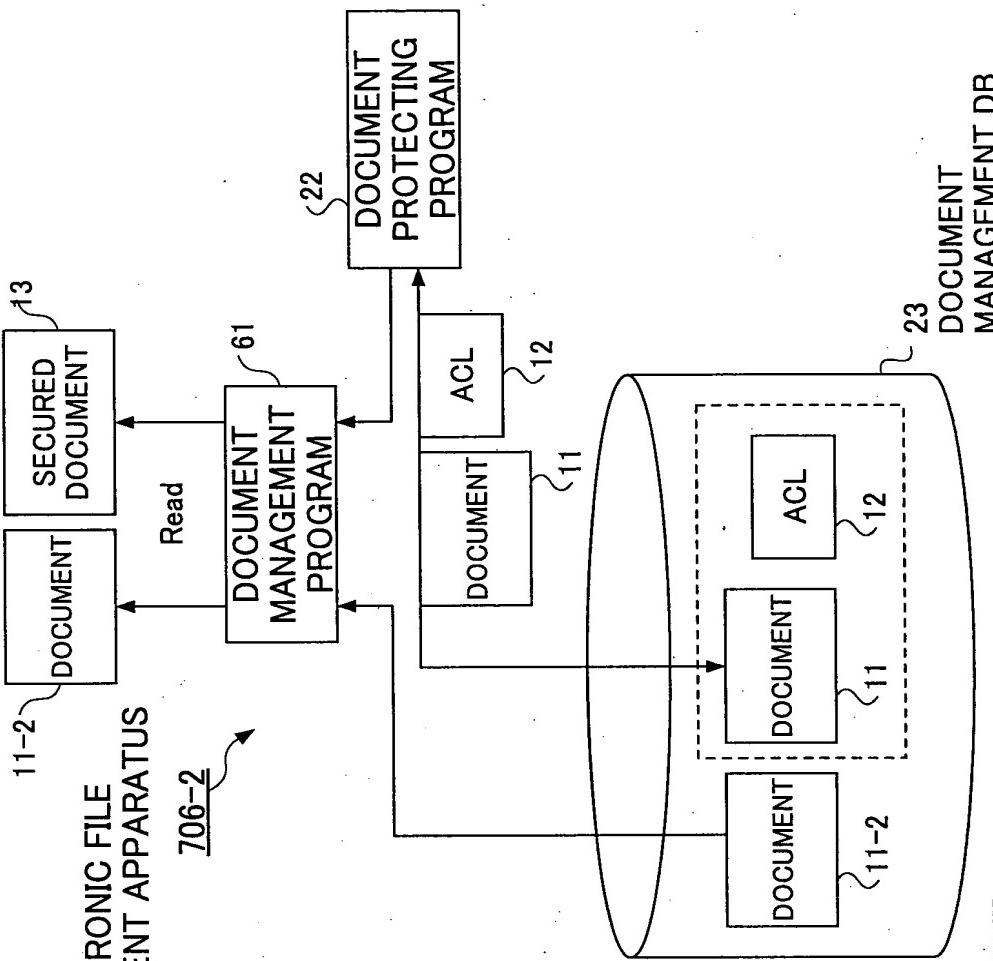
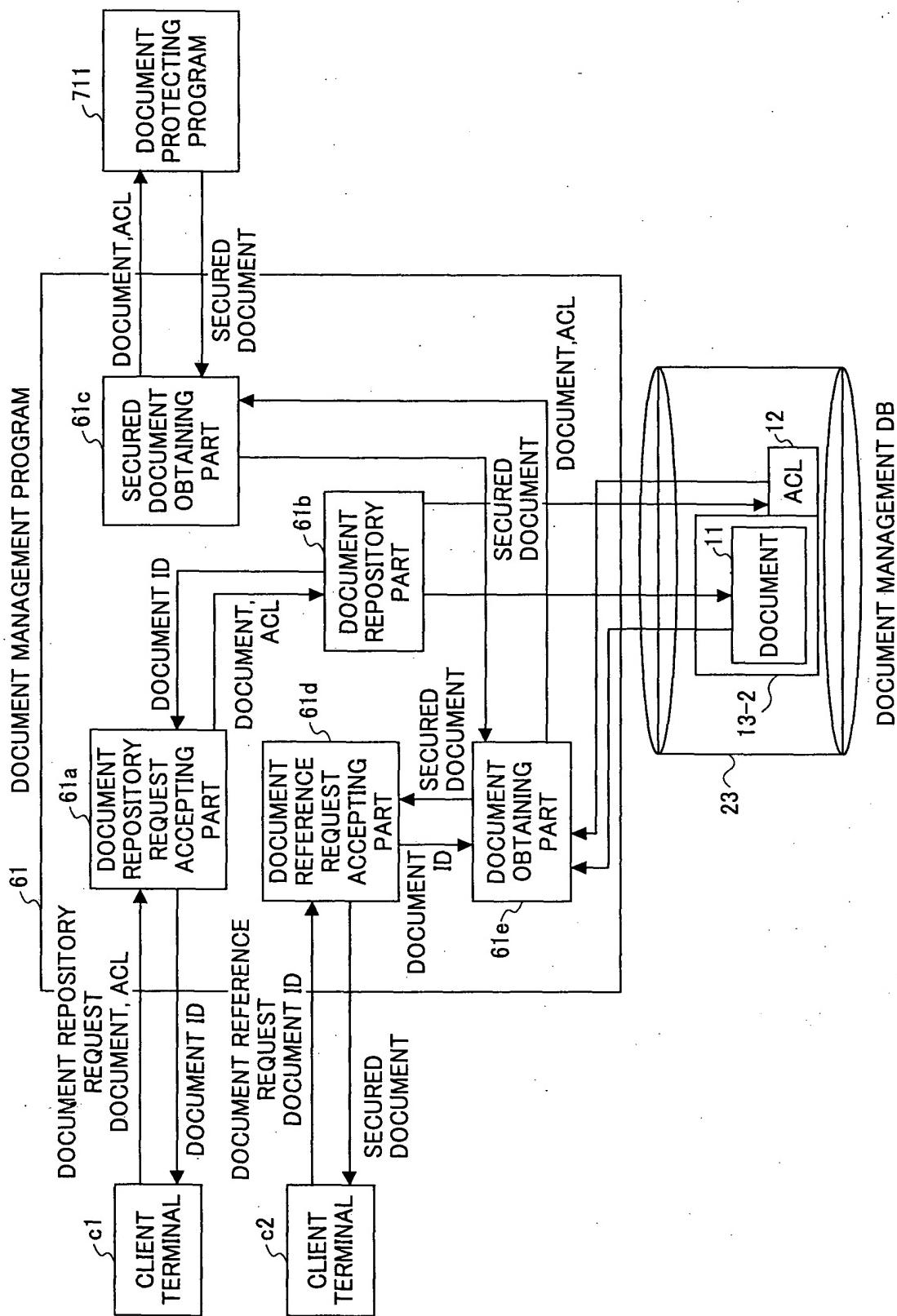
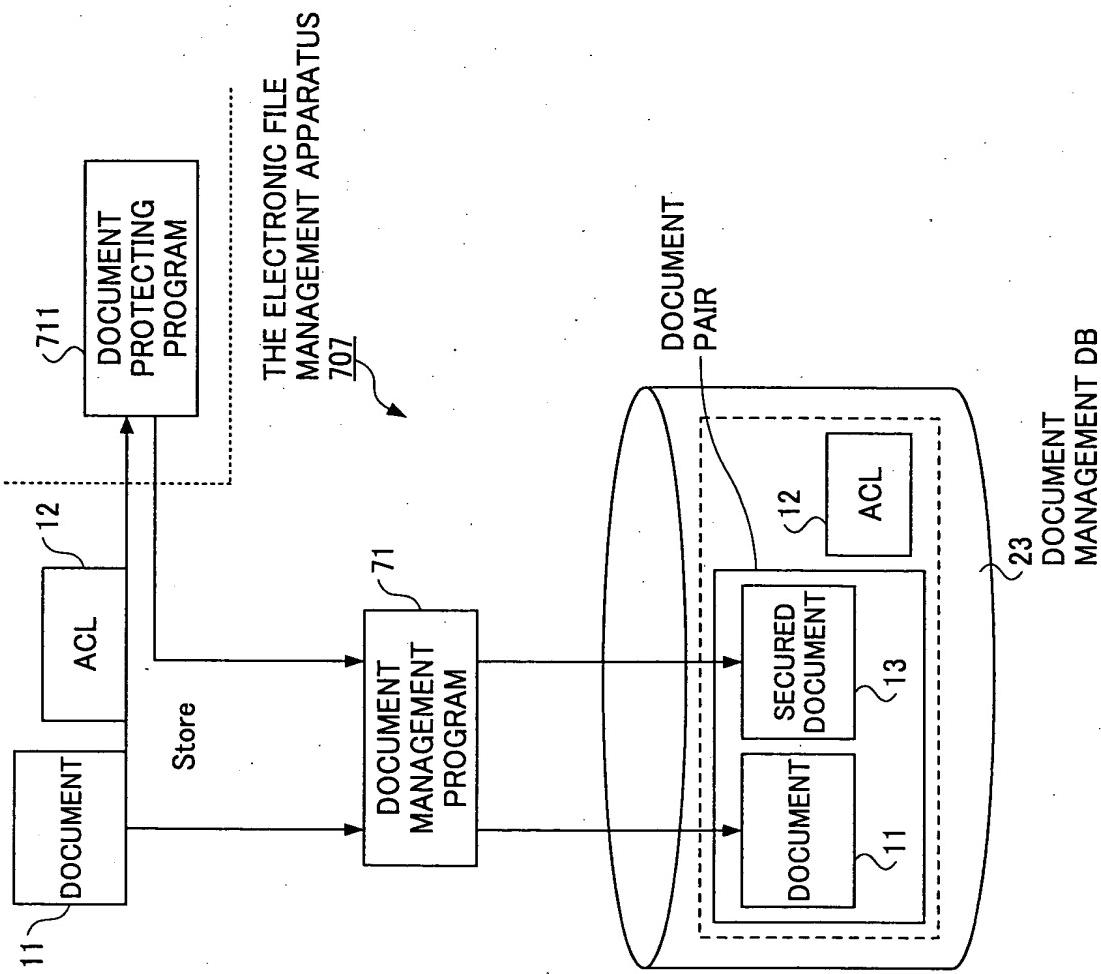


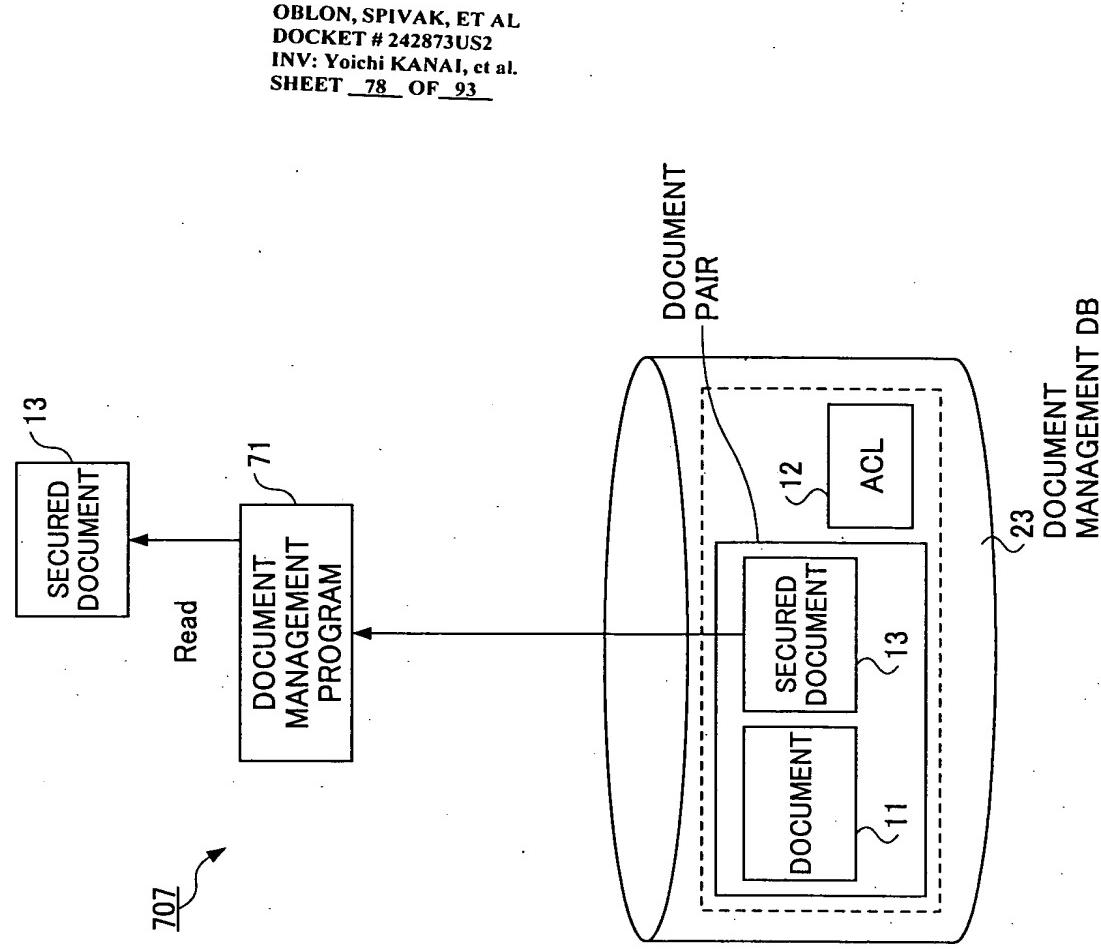
FIG. 77



**FIG. 78A**

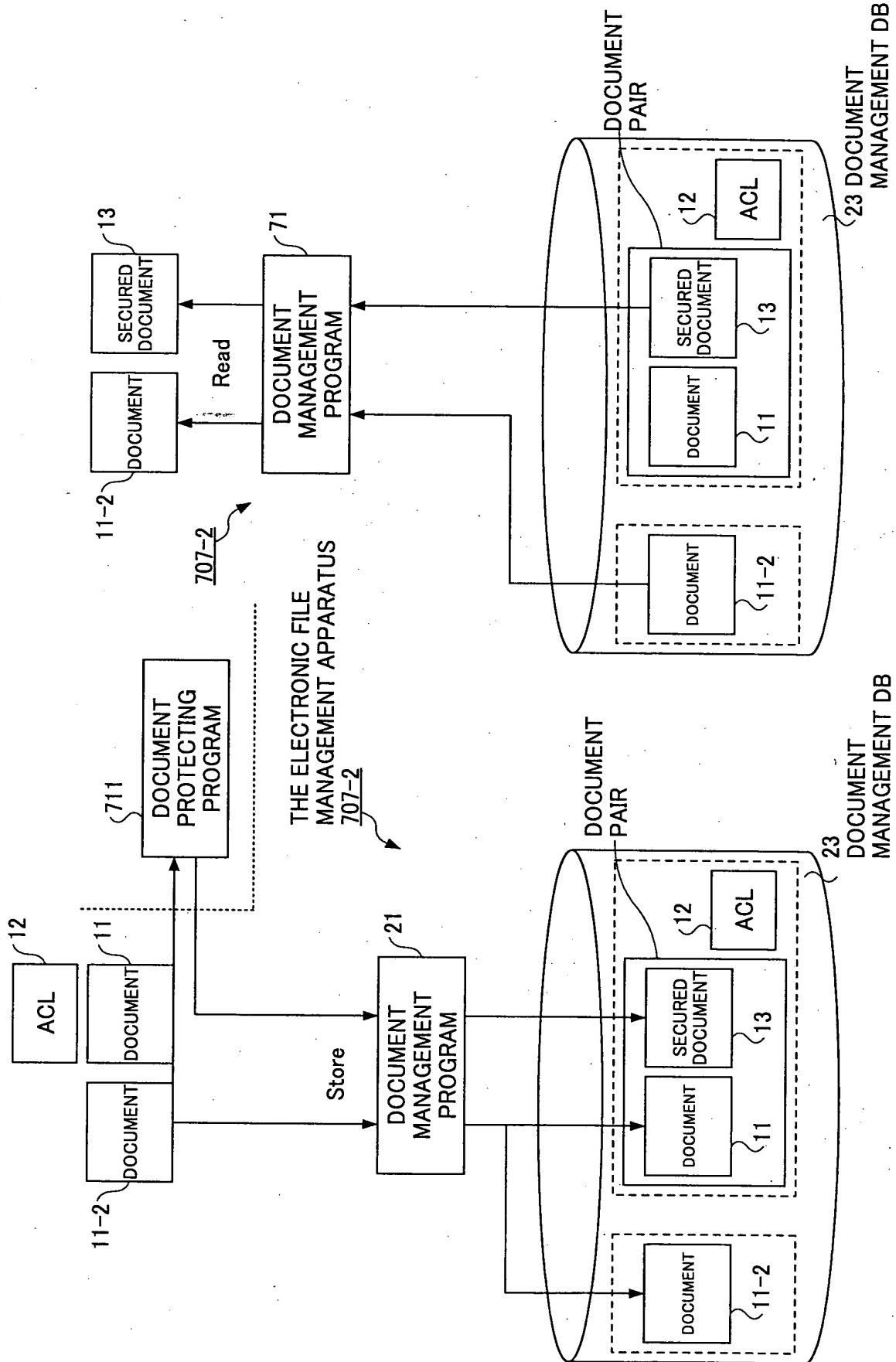


**FIG. 78B**



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**FIG.79A**



**FIG.79B**

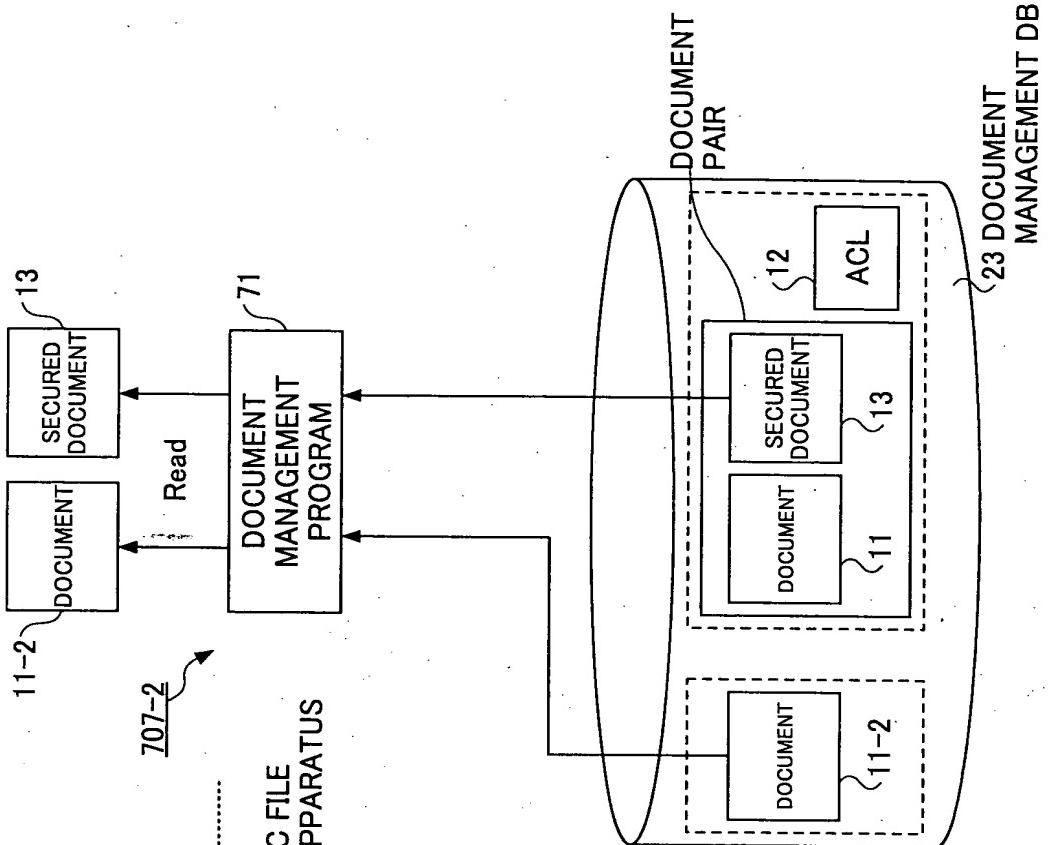


FIG. 80

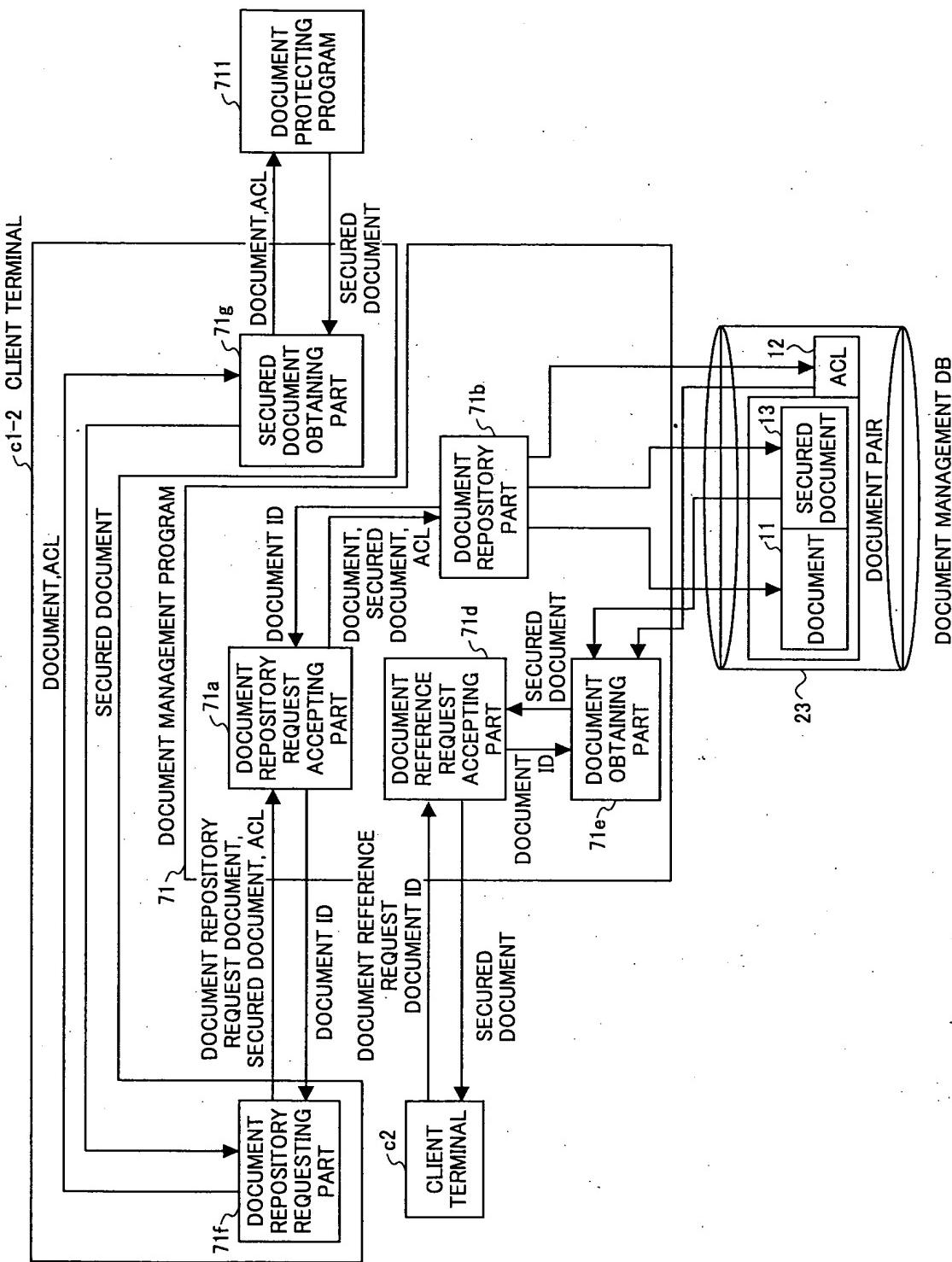


FIG.81

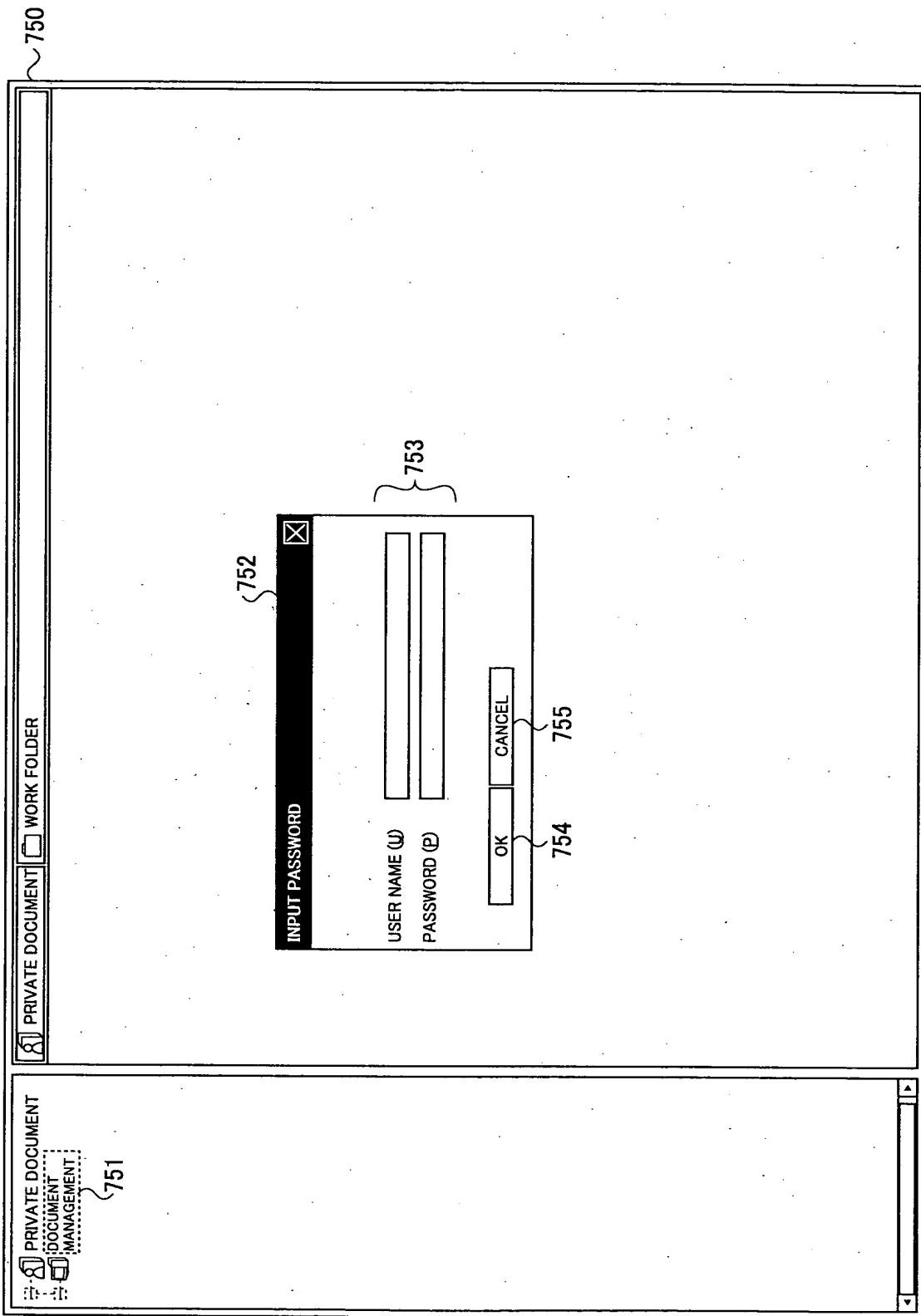


FIG.82

760

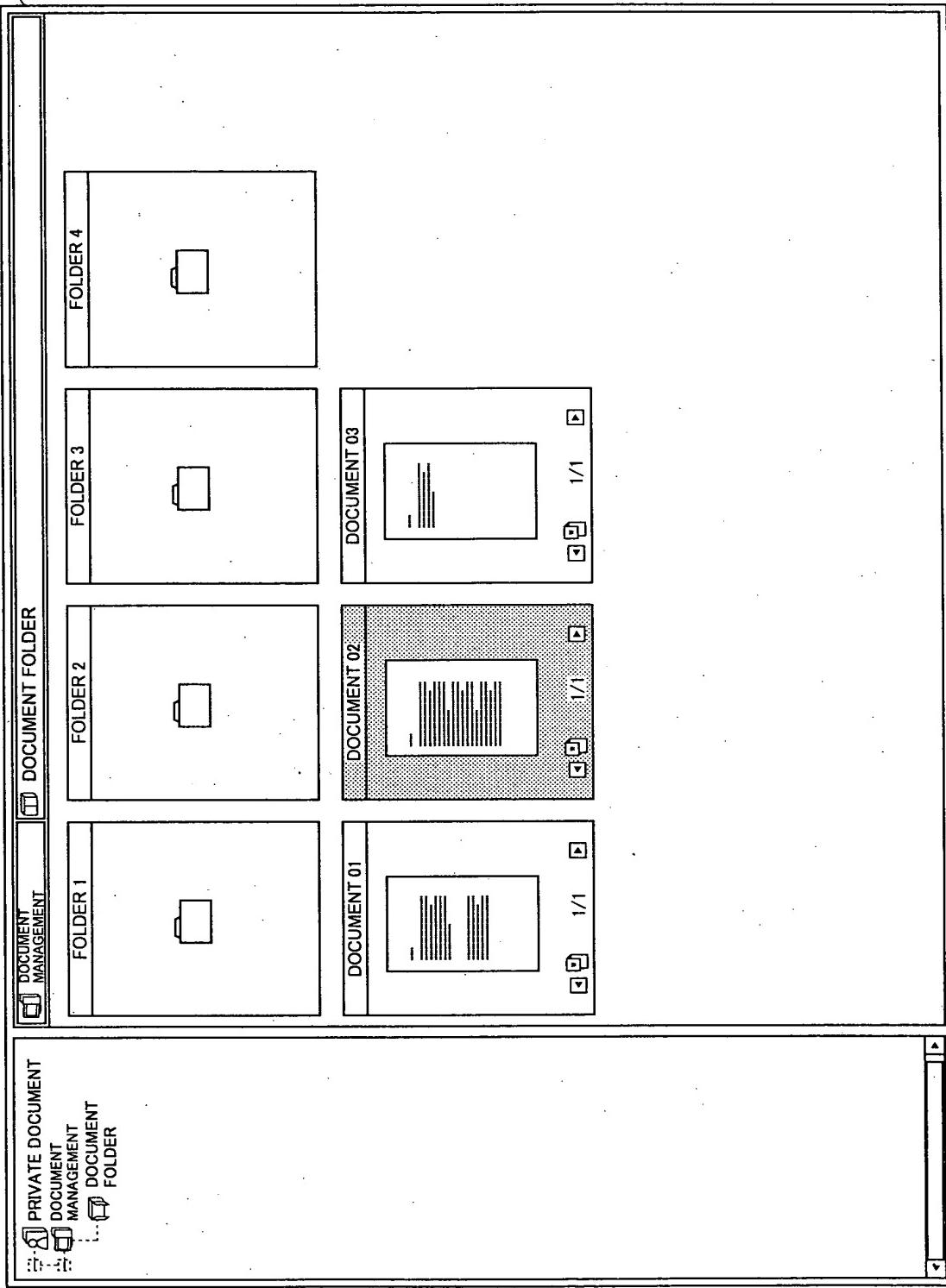
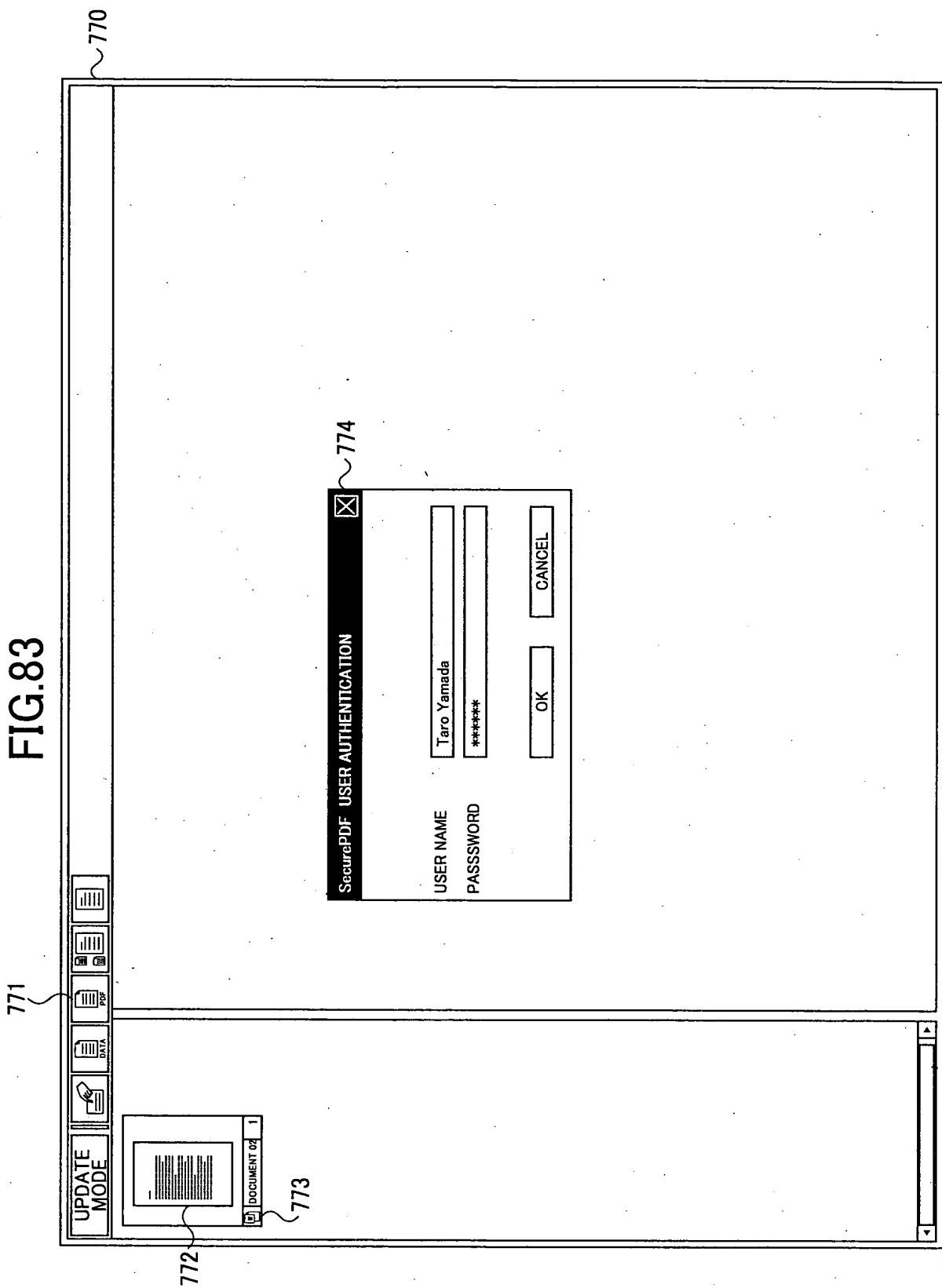


FIG.83



# FIG.84

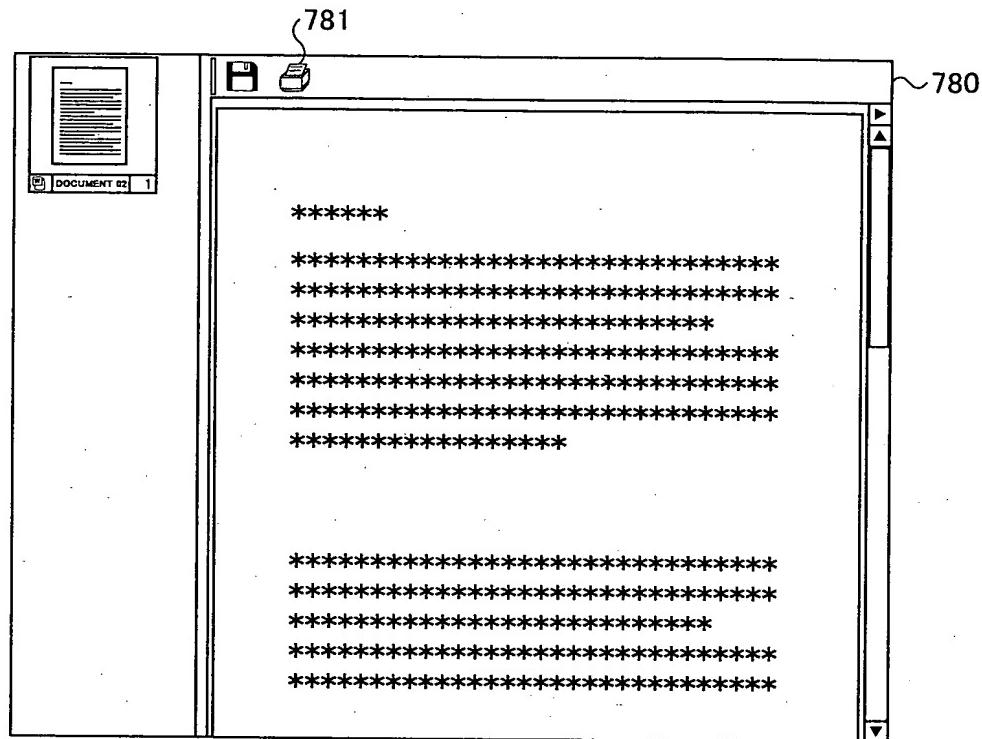


FIG.85

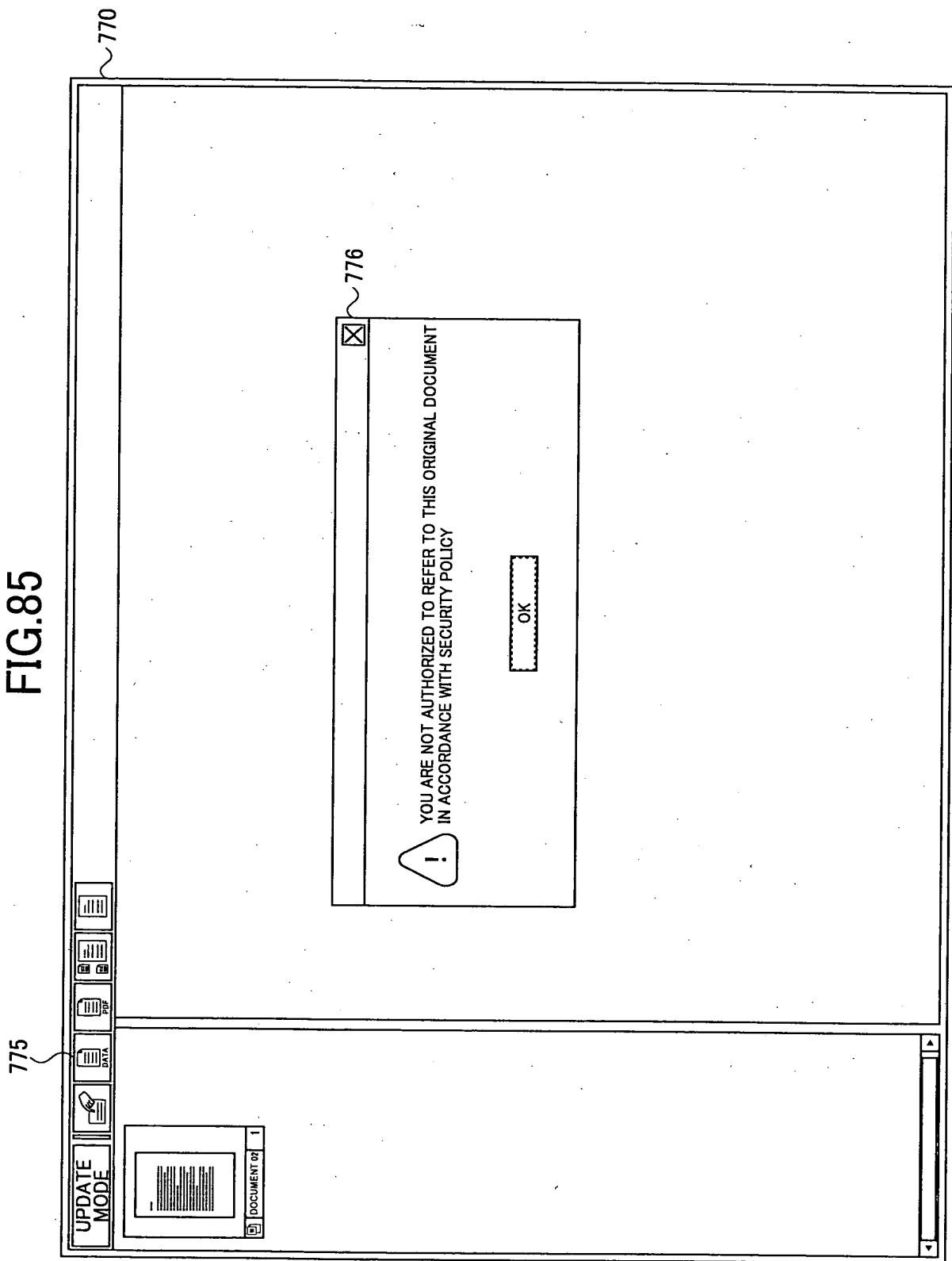
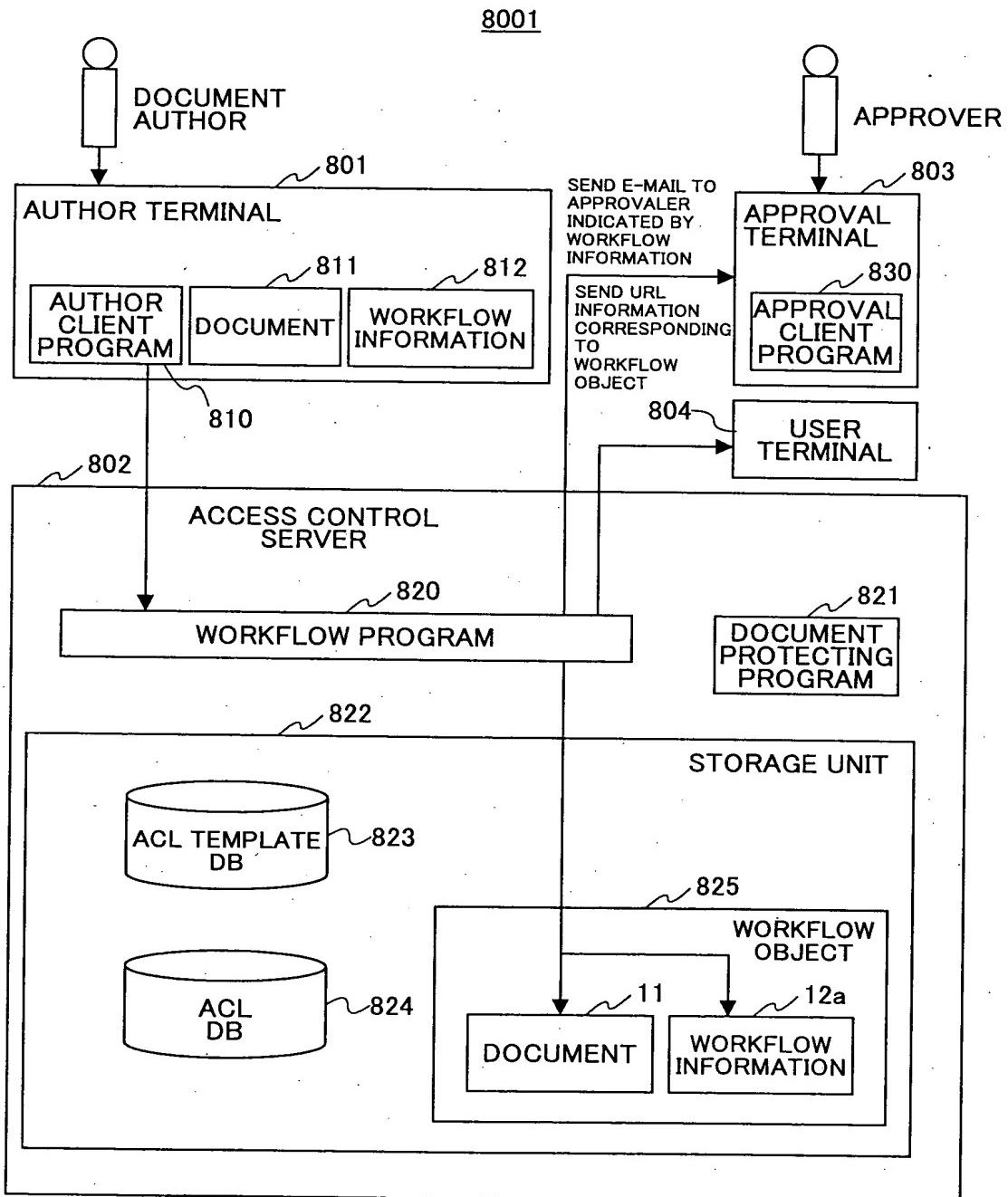


FIG.86



## FIG.87

FILE CREATING SCREEN	
FILE TITLE:	Development of a new security sysytem
FILE TYPE:	<input checked="" type="checkbox"/> RESEARCH_PLAN
AUTHOR:	auther_00@office.com
FILE CONTENTS:	 theme_explanation.doc
DISTRIBUTE:	
TO:	<u>user_10@ofice.com</u> , <u>user_11@ofice.com</u> , <u>user_20@ofice.com</u> , <u>user_21@ofice.com</u>
APPROVER:	<u>approver_01@office.com</u>
APPROVAL REQUEST	

# FIG.88

FILE NAME	Development of a new security system
FILE TYPE	RESEARCH_PLAN
AUTHOR	author 00@office.com
APPROVAL	approver 01@office.com
FILE CONTENTS	theme_explanation.doc
DISTRIBUTE TO	<u>user 10@office.com</u> <u>user 11@office.com</u> <u>user 20@office.com</u> <u>user 21@office.com</u>

FIG.89

```
<?xml version="1.0" encoding="UTF-8"?>
<workflow_info>
  <id>011237835</id>
  <title>Development of a new security system</title>
  <doc_type>RESEARCH_PLAN</doc_type>
  <status>wait_for_approval</status>
  <author>author_00@office.com</author>
  <approver>approver_01@office.com</approver>
  <attribute_to>user_10@office.com</attribute_to>
  <attribute_to>user_10@office.com</attribute_to>
  <attribute_to>user_10@office.com</attribute_to>
  <attribute_to>user_10@office.com</attribute_to>
</workflow_info>
```

FIG.90

8002

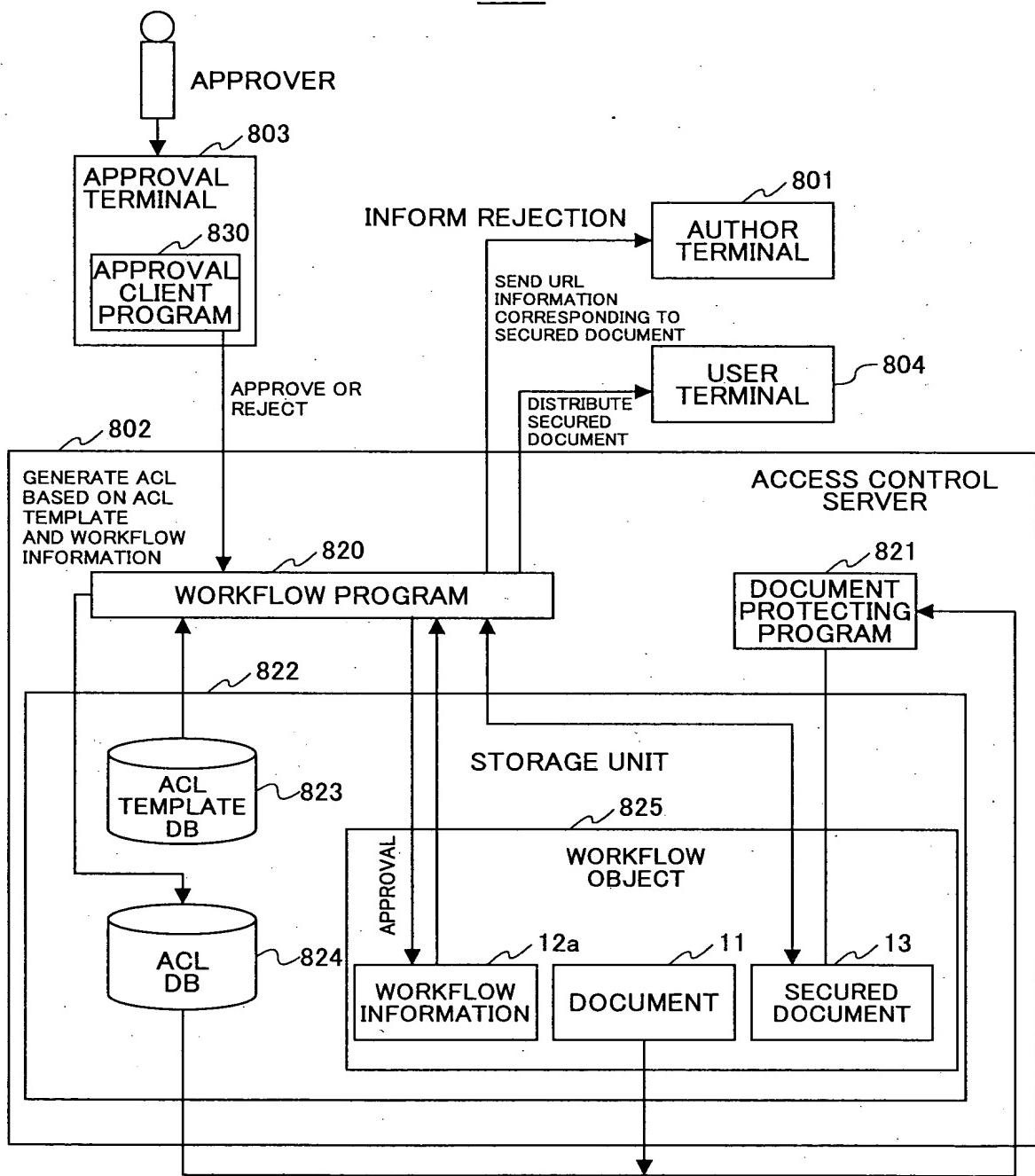


FIG.91

User type	Access type	Permission	Requirements
author	Read	Allowed	-
	Write	Denied	-
	Print	Allowed	PAC(Private Access)
approver	Hardcopy	Allowed	-
	Read	Allowed	-
	Write	Denied	-
distribute_to	Print	Allowed	PAC(Private Access)
	Hardcopy	Allowed	-
	Read	Allowed	-
	Write	Denied	-
	Print	Allowed	PAC(Private Access)
	Hardcopy	Allowed	RAD(Record Audit Data)

## FIG.92

User type	Access type	Permission	Requirements
author_00@office.com	Read	Allowed	—
	Write	Denied	—
	Print	Allowed	PAC(Private Access)
	Hardcopy	Allowed	—
approver_01@offide.com	Read	Allowed	—
	Write	Denied	—
	Print	Allowed	PAC(Private Access)
	Hardcopy	Allowed	—
user_10@office.com	Read	Allowed	—
	Write	Denied	—
	Print	Allowed	PAC(Private Access) BDP(Background Dot Pattern) EBC(Embedding Barcord)
	Hardcopy	Allowed	RAD(Record Audit Data)
user_11@office.com	Read	Allowed	—
	Write	Denied	—
	Print	Allowed	PAC(Private Access) BDP(Background Dot Pattern) EBC(Embedding Barcord)
	Hardcopy	Allowed	RAD(Record Audit Data)
user_20@office.com	Read	Allowed	—
	Write	Denied	—
	Print	Allowed	PAC(Private Access) BDP(Background Dot Pattern) EBC(Embedding Barcord)
	Hardcopy	Allowed	RAD(Record Audit Data)
user_21@office.com	Read	Allowed	—
	Write	Denied	—
	Print	Allowed	PAC(Private Access) BDP(Background Dot Pattern) EBC(Embedding Barcord)
	Hardcopy	Allowed	RAD(Record Audit Data)

# FIG.93

Document type	Security attributes	
	Category	Sensitivity
RESEARCH_PLAN	Technical	Medium
GENERAL_CONTRACT	Contract	Basic
TOP_SECRET	General	High